

RECOMMENDATION BY ICCAT SUPPLEMENTING AND AMENDING RECOMMENDATION 16-01 ON A MULTI-ANNUAL CONSERVATION AND MANAGEMENT PROGRAMME FOR TROPICAL TUNAS

RECOGNIZING that *Recommendation by ICCAT on a Multi-Annual Conservation and Management Programme for Tropical Tunas* (Rec. 16-01), applies in 2016 and subsequent years, but that certain provisions expired in 2018,

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. The Annual Catch Limits in Paragraph 3 of Recommendation 16-01 shall continue to apply through 2019.
2. Paragraphs 2a and 9b of Rec. 16-01 are suspended.
3. For CPCs listed in Paragraph 3, underage or overage of an annual catch limit in 2019 shall be added to/or deducted from their 2021 annual catch limit, subject to the restrictions noted in Paragraphs 9a and 10 of Rec. 16-01.
4. The Commission shall review relevant tropical tunas conservation and management measures in place in 2019.
5. This Recommendation supplements and amends the *Recommendation by ICCAT on a Multi-Annual Conservation And Management Programme For Tropical Tunas* (Rec. 16-01).

**RECOMMENDATION BY ICCAT ESTABLISHING
A MULTI-ANNUAL MANAGEMENT PLAN FOR
BLUEFIN TUNA IN THE EASTERN ATLANTIC AND THE MEDITERRANEAN SEA**

ACKNOWLEDGING that the Standing Committee on Research and Statistics (SCRS) noted in its 2017 advice that a Total Allowable Catch (TAC) could be set at 36,000 t to be reached in 2020 in a gradual stepwise way without undermining the success of the recovery plan;

ACKNOWLEDGING the advice from SCRS to consider moving from the current recovery plan to a management plan and that the current status of the stock no longer appears to require the emergency measures introduced under the Recovery plan for Bluefin tuna in the Eastern Atlantic and the Mediterranean (Recommendation 17-07 by ICCAT amending the Recommendation 14-04);

ACKNOWLEDGING paragraph 4 of Recommendation 17-07 which states that the Commission shall establish a management plan for the stock in 2018.

CONSIDERING that the SCRS is developing a management strategy evaluation (MSE) process, with the aim to evaluate different management procedures that are robust to the main sources of uncertainty and that MSE process is expected to deliver candidate management procedures in the short but not immediate term (e.g. by 2021-22), the selection of the desired management procedure by the Commission could also take some time. Therefore, it is proposed to have an interim management objective that can be revisited at the time the Commission adopts harvest control rules (HCR). In this context, on the basis of the latest stock assessment and on further management recommendations supported by a Management Strategy Evaluation exercise (MSE) and after the management procedure, including HCRs have been defined by the SCRS, the Commission may decide as from 2020 on changes to the management framework for the eastern Atlantic and Mediterranean bluefin tuna, as advised by SCRS.

FURTHER ACKNOWLEDGING the impacts of the Recovery plan for bluefin tuna in the eastern Atlantic and the Mediterranean on the small scale fleets, in particular with regards to the reduction of fishing capacity;

CONSIDERING the capability of the stock to respond to several consecutive years of low recruitment, it will be paramount to ensure that the fishing capacity remains within sustainable limits and that the control of capacity remains effective;

TAKING INTO CONSIDERATION the importance of maintaining the scope and integrity of the control measures, and reinforcing traceability of the catches, in particular with regards to the transport of live fish and farming activities.

THE INTERNATIONAL COMMISSION FOR THE
CONSERVATION OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**Part I
General Provisions**

Objective

1. The Contracting Parties and Cooperating non-Contracting Parties, Entities or Fishing Entities hereinafter referred to as CPCs, whose vessels have been actively fishing for bluefin tuna (*Thunnus thynnus*) in the eastern Atlantic and Mediterranean shall implement a management plan for bluefin tuna in that geographic area starting in 2019 with the goal of maintaining the biomass around $B_{0.1}$, achieved by fishing at or less than $F_{0.1}$, which the SCRS considers to be reasonable proxy for F_{MSY} .

This objective shall be revisited and modified, if necessary, once Management Strategy Evaluation has made sufficient progress, when alternative management objectives can be considered, and Reference Points, Harvest Control rules and/or Management procedures can be adopted.

2. When the SCRS stock evaluation indicates that the status and development of the stock (in terms of biomass and/or fishing mortality) is diverting from this objective, safeguards and review clauses as defined in the final provisions of this plan shall apply.

Definitions

3. For purposes of this Plan:

- a) "fishing vessel" means any powered vessel used for the purposes of the commercial exploitation of bluefin tuna resources, including catching vessels, fish processing vessels, support vessels, towing vessels, vessels engaged in transshipment and transport vessels equipped for the transportation of tuna products and auxiliary vessels, except container vessels.
- b) "catching vessel" means a vessel used for the purposes of the commercial capture of bluefin tuna resources.
- c) "processing vessel" means a vessel on board of which fisheries products are subject to one or more of the following operations, prior to their packaging: filleting or slicing, freezing and/or processing.
- d) "auxiliary vessel" means any vessel used to transport dead bluefin tuna (not processed) from a transport/farming cage, a purse seine or a trap to a designated port and/or to a processing vessel.
- e) "towing vessel" means any vessel used for towing cages.
- f) "support vessel" means any other fishing vessel referred to under 3a).
- g) "fishing actively" means, for any catching vessel, the fact that it targets bluefin tuna during a given fishing season.
- h) "joint fishing operation" (hereinafter referred in the text as JFO) means any operation between two or more purse seine vessels where the catch of one purse seine vessel is attributed to one or more other purse seine vessels in accordance with a previously agreed allocation key.
- i) "transfer operations" means:
 - any transfer of live bluefin tuna from the catching vessel's net to the transport cage;
 - any transfer of live bluefin tuna from the transport cage to another transport cage;
 - any transfer of the cage with live bluefin tuna from a towing vessel to another towing vessel;
 - any transfer of live bluefin tuna from one farm to another, or between different cages in the same farm;
 - any transfer of live bluefin tuna from the trap to the transport cage **independently of the presence of a towing vessel.**
- j) "control transfer" means any additional transfer being implemented at the request of the fishing/farming operators or the control authorities for the purpose of verifying the number of fish being transferred.
- k) "trap" means fixed gear anchored to the bottom, usually containing a guide net that leads bluefin tuna into an enclosure or series of enclosures where it is kept prior to harvesting or farming.
- l) "trap CPC" means the CPC where a tuna trap is installed in the waters under its jurisdiction.
- m) "caging" means the relocation of live bluefin tuna from the transport cage or trap to the farming or fattening cages.
- n) "fattening" or "farming" means caging of bluefin tuna in farms and subsequent feeding aiming to fatten and increase their total biomass.

- o) “farm” means a marine area clearly defined by geographical coordinates, used for the fattening or farming of bluefin caught by traps and/or purse seiners. A farm could have several farming locations, all of them defined by geographical coordinates (with a clear definition of longitude and latitude for each one of the points of the polygon).
- p) “harvesting” means the killing of bluefin tuna in farms or traps.
- q) “transshipment” means the unloading of all or any of the fish on board a fishing vessel to another fishing vessel. However, unloading of dead bluefin tuna from the purse seiner net, the trap or the towing vessel to an auxiliary vessel shall not be considered as transshipment.
- r) “sport fishery” means non-commercial fisheries whose members adhere to a national sport organization or are issued with a national sport license.
- s) “recreational fishery” means non-commercial fisheries whose members do not adhere to a national sport organization or are not issued with a national sport license.
- t) “stereoscopic camera” means a camera with two or more lenses, with a separate image sensor or film frame for each lens, enabling the taking of three-dimensional images for the purpose of measuring the length of the fish.
- u) “control camera” means a stereoscopic camera and/or conventional video camera for the purpose of the controls foreseen in this Recommendation.
- v) “BCD or electronic BCD” means a bluefin catch document. As appropriate, the reference to BCD shall be replaced by eBCD.
- w) Lengths of vessels referred to in this Recommendation shall be understood as overall lengths.
- x) For the purpose of this recommendation, "small scale coastal vessel" is a catching vessel with at least three of the five following characteristics: a) length overall <12 m; b) the vessel is fishing exclusively inside the territorial waters of the flag CPC c) fishing trips have a duration of less than 24 hours d) the maximum crew number is established at four persons, or e) the vessel is fishing using techniques which are selective and have a reduced environmental impact.
- y) "Control caging" means any additional caging being implemented at the request of the fishing/farming operators or the control authorities for the purpose of verifying the number and or the average weight of fish being caged.

Part II Management measures

TAC and quotas and conditions associated with the allocation of quotas to CPCs

4. Each CPC shall take the necessary measures to ensure that the fishing effort of its catching vessels and its traps are commensurate with the bluefin tuna fishing opportunities available to that CPC in the eastern Atlantic and Mediterranean Sea, including by establishing individual quotas for its catching vessels over 24 m included in the list referred in paragraph 49 a) of this Recommendation.
5. The total allowable catches (TACs), inclusive of dead discards, for the years 2019-2020 shall be set at: 32,240 t for 2019 and 36,000 t for 2020, in accordance with the following quota scheme:

<i>CPC</i>	<i>Quota 2019 (t)</i>	<i>Quota 2020 (t)</i>
Albania	156	170
Algeria	1,446	1,655
China	90	102
Egypt	266	330
European Union	17,623	19,460

Iceland*	147	180
Japan	2,544	2,819
Korea	184	200
Libya	2,060	2,255
Morocco	2,948	3,284
Norway	239	300
Syria	73	80
Tunisia	2,400	2,655
Turkey	1,880	2,305
Chinese Taipei	84	90
Subtotal	32,140	35,885
Unallocated Reserves	100	115
TOTAL	32,240	36,000

*Notwithstanding the provision of this Part, Iceland may catch beyond the quota amount each year by 25% while its total catch for 2018, 2019, and 2020 combined shall not exceed 411 t (84 t + 147 t + 180 t).

This table shall not be interpreted to have changed the allocation keys shown in Recommendation 14-04. The new keys shall be established in the future for consideration by the Commission.

Mauritania may catch up to 5 t for research in each year, if they respect the rules of reporting of catches defined in this recommendation. The catch shall be deducted from the unallocated reserve.

The TAC shall be reviewed annually on the advice of the SCRS.

Depending on availability, Chinese Taipei may transfer up to 50 t and 50 t of its quotas to Korea in 2019 and 2020, respectively.

6. The flag CPC may require a catching vessel to proceed immediately to a port designated by it when the individual quota of the vessel is deemed to be exhausted.
7. Carry-over of any unused quota is not authorized. A CPC may request to transfer a maximum of 5% of its 2019 quota to 2020. The CPC shall include this request in its fishing/capacity plan for the endorsement by the Commission, in accordance with paragraph 15.
8. Carry-over of non-harvested live bluefin tuna is not authorized unless a reinforced system of control is implemented and reported to the ICCAT Secretariat as an integral part of the monitoring, control and inspection plan submitted under paragraph 14 of this Recommendation. Such reinforced system shall include at least the provisions defined in paragraphs 54, 103 and 107. Further control measures will be examined at an intersessional meeting of Panel 2 referred to in paragraph 116.
9. Farming CPCs shall ensure that, prior to the start of a fishing season, a thorough assessment is made of any live bluefin tuna carried over after bulk-harvests in the farms under their jurisdiction. To this end, all carried-over live bluefin tuna from a catching year (i.e. fish that were not subject to bulk-harvest in the farms) shall be transferred to other cages using stereoscopic camera systems or alternative methods provided they guarantee the same level of precision and accuracy. Carry-over of bluefin tuna from years that were not subject to bulk-harvest shall be controlled annually by applying the same procedure to appropriate samples based on risk assessment.

Full traceability of carried-over fish should be ensured at all times. The measures to ensure such shall be fully documented.

10. The transfer of quotas between CPCs shall be done only under authorization by the CPCs concerned. Those transfers accepted by the CPCs concerned shall be communicated to the Secretariat at least 48 hours before they enter into force.
11. No chartering operation for the bluefin tuna fishery is permitted.

12. If the catch of a CPC in any given year exceeds its allocation, the CPC shall pay back in the next subsequent management period in accordance with the provisions in paragraphs 2 and 3 of ICCAT Recommendation 96-14.
13. The SCRS shall continue its MSE work, testing candidate management procedures, including harvest control rules (HCRs), which would support management objectives to be agreed by the Commission in 2019. Based on the SCRS inputs and advice, and a dialogue process between scientists and managers, the Commission shall endeavour in 2020 to adopt a management procedure for the stock of Atlantic bluefin in the eastern Atlantic and Mediterranean, including pre-agreed management actions to be taken under various stock conditions.

Submission of annual fishing plans, fishing and farming capacity management and inspection plans and farming management plans

14. By 15 February each year, each CPC with allocated bluefin tuna quota shall submit to the ICCAT Secretariat:
 - a) An annual fishing plan for the catching vessels and traps fishing bluefin tuna in the eastern Atlantic and the Mediterranean drawn up in accordance with paragraphs 16-17.
 - b) An annual fishing capacity management plan ensuring that the CPC authorized fishing capacity is commensurate with the allocated quota drawn up to include the information set forth in paragraphs 18-23.
 - c) A monitoring, control and inspection plan with a view to ensuring compliance with the provisions of this Recommendation.
 - d) An annual farming management plan as appropriate, that is in line with the requirements set out in paragraphs 24-27, including the authorized maximum input per farm and the maximum capacity per farm and the total amount of fish by farm carried over from the previous year, in accordance with paragraph 8.
15. For 2019 and 2020, prior to 31 March of each year and in line with paragraph 116 of this recommendation, the Commission shall convene an inter-sessional meeting of Panel 2 to analyse and eventually endorse the plans referred to under paragraph 14. This obligation may be revised after 2020 to allow endorsement of the plans to be done by electronic means. In case of non-submission of the plans by a CPC or if the Commission finds a serious fault in the plans submitted and cannot endorse these plans, the Commission shall decide on the automatic suspension of bluefin tuna fishing in that year by that CPC. Non-submission of the plan referred to above shall automatically lead to suspension of bluefin tuna fishing in that year.

Annual fishing plans

16. Each CPC shall draw up an annual fishing plan for the catching vessels and traps fishing bluefin tuna in the eastern Atlantic and Mediterranean Sea. The annual fishing plan shall identify, *inter alia*, the quotas allocated to each gear group, when applicable, the method used to allocate and manage quotas as well as the measures to ensure the respect of the individual quotas, the open fishing seasons for each gear category and the rules on by-catch.
17. Any subsequent modification to the annual fishing plan shall be transmitted to the ICCAT Executive Secretary at least one working day before the exercise of the activity corresponding to that modification. Notwithstanding this provision, quota transfers between different gear groups and transfers between by-catch quota and directed quotas from the same CPC shall be allowed, provided that information on the transfers is transmitted to the ICCAT Secretariat at the latest when the transfer enters into force.

Capacity management measures

Fishing capacity

Adjustment of fishing capacity

18. Each CPC shall adjust its fishing capacity to ensure that it is commensurate with its allocated quota by using relevant yearly catch rates by fleet segment and gear proposed by the SCRS and adopted by the Commission in 2009. Those parameters should be reviewed by SCRS no later than 2019 and each time that a stock assessment for eastern bluefin tuna is performed, including specific rates for gear type and fishing area.
19. For that purpose each CPC shall establish, when appropriate, an annual fishing capacity management plan to be analysed and endorsed by Panel 2 inter-sessionally. Such plan shall adjust the number of fishing vessels to demonstrate that the fishing capacity is commensurate with the fishing opportunities allocated to the catching vessels for the same quota period. Regarding small-scale coastal vessels, the minimum quota requirement of 5 t (catch rate defined by SCRS in 2009) shall no longer be applicable and **sectorial quotas** may alternatively be applied to those vessels as follows:
 - a) If a CPC has small-scale coastal vessels authorised to fish for bluefin tuna, it shall allocate a specific sectorial quota for those vessels and indicate in its fishing, and monitoring, control and inspection plans which additional measures it will put in place to closely monitor the quota consumption of this fleet segment.
 - b) For the vessels from the archipelagos of Azores, Canary Islands and Madeira, a sectorial quota may be established for baitboats. Such sectorial quota and the additional conditions to monitor it shall be clearly defined in the fishing plan.
20. The adjustment of fishing capacity for purse-seiners shall be limited to a maximum variation of 20% compared to the baseline fishing capacity of 2018. When calculating this percentage CPCs can eventually round up the number of their purse-seiners.
21. For the period 2019-2020 CPCs may authorize a number of their traps engaged in the eastern Atlantic and Mediterranean Sea bluefin tuna fishery which allows the full exploitation of their fishing opportunities.
22. The adjustments defined in paragraph 20 and 21 shall not apply:
 - a) if developing CPCs can demonstrate that they need to develop their fishing capacity so as to fully use their quota, **by using relevant yearly catch rates by fleet segment and gear proposed by the SCRS**, and if such adjustments are included in their annual fishing plan in accordance to paragraph 14,
 - b) in the Northeast Atlantic, to those CPCs that are fishing mainly in their own economic zones (the Norwegian Economic Zone and the Icelandic Economic Zone).
23. By derogation to the provisions of paragraphs 18, 19 and 21, for 2019 and 2020, CPCs may decide to include in their annual fishing plans referred to in paragraph 16, a different number of traps and vessels to fully utilise their fishing opportunities. The calculations to establish such adjustments shall be made in accordance with the methodology approved at the 2009 annual meeting and with the conditions set in paragraph 19, except when the CPCs concerned fish mainly in the Northeast Atlantic in their own economic zones (the Norwegian Economic Zone and the Icelandic Economic Zone).

Farming capacity

24. Each farming CPC shall establish an annual farming management plan. Such plan shall demonstrate that the total input capacity and the total farming capacity is commensurate with the estimated amount of bluefin tuna available for farming including the information referred to in paragraphs 25 and 27. Reviewed farming management plans, if appropriate, shall be submitted to the ICCAT Secretariat by 1 June each year. The Commission shall ensure that the total farming capacity in the eastern Atlantic and the Mediterranean Sea is commensurate with the total amount of bluefin tuna available for farming in the area.

25. Each CPC shall limit its tuna farming capacity to the total farming capacity of the farms that were registered in the ICCAT list or authorized and declared to ICCAT in 2018.
26. Those developing CPCs without or with less than three tuna farms and that intend to establish new tuna farming facilities shall have the right to establish such facilities with a maximum total farming capacity of up to 1,800 t per CPC. To this end, they shall communicate to ICCAT by including those in their farming plan under paragraph 14 of this Recommendation. This clause should be reviewed as from 2020.
27. Each CPC shall establish an annual maximum input of wild caught bluefin tuna into its farms at the level of the input quantities registered with ICCAT by its farms in 2005, 2006, 2007 or 2008. If a CPC needs to increase the maximum input of wild caught tuna in one or several of its tuna farms, that increase shall be commensurate with the fishing opportunities allocated to that CPC, including live bluefin tuna imports.

Growth rates

28. SCRS, on the basis of a standardized protocol to be established by the SCRS for the monitoring of recognizable individual fish, shall undertake trials to identify growth rates including in weight and size gains during the fattening period. Based on the result of the trials and other scientific information available, SCRS shall review and update the growth table published in 2009, and the growth rates utilized for farming the fish referred to under paragraph 35 c, and present those results to the 2020 Annual meeting of the Commission. In updating the growth table, the SCRS should invite independent scientists who have appropriate expertise to review the analysis. The SCRS shall also consider the difference among geographic area (including Atlantic and Mediterranean) in updating the table. Farm CPCs shall ensure that the scientists tasked by the SCRS for the trials can have access to and, as required by the protocol, assistance to carry out the trials. Farm CPCs shall endeavor to ensure that the growth rates derived from the eBCDs are coherent with the growth rates published by the SCRS. If significant discrepancies are found between the SCRS tables and growth rates observed, that information should be sent to SCRS for analysis.

Part III Technical measures

Open fishing seasons

29. Purse seine fishing for bluefin tuna shall be permitted in the eastern Atlantic and Mediterranean Sea during the period from 26 May to 1 July.

By way of derogation, the season in the eastern Mediterranean Sea (FAO fishing areas 37.3.1 Aegean; 37.3.2 Levant), may be open 15 May if a CPC requests it in its fishing plan.

By way of derogation, the season in the Adriatic Sea (FAO fishing Area 37.2.1) may be open from 26 May until 15 July, for fish farmed in the Adriatic Sea.

By way of derogation the purse-seine fishing season in the Norwegian Economic Zone and in the Icelandic Economic Zone shall be from 25 June to 15 November.

By way of derogation, the purse seine fishing season in the eastern Atlantic and the Mediterranean fishing zones limited to the waters under the sovereignty or jurisdiction of the Kingdom of Morocco may be open from 1 May to 15 June if a CPC requests it in its fishing plan.

30. If weather conditions prevent fishing operations, CPCs may decide that the fishing seasons referred under paragraph 29 be expanded by an equivalent number of lost days up to 10 days.
31. Bluefin tuna fishing shall be permitted in the eastern Atlantic and Mediterranean by large-scale pelagic longlines catching vessels over 24 m during the period from 1 January to 31 May with the exception of the area delimited by West of 10°W and North of 42°N, as well as in the Norwegian Economic Zone, where such fishing shall be permitted from 1 August to 31 January.

32. CPCs shall establish open fishing seasons for their fleets other than purse-seiners and vessels referred to in paragraph 31, and shall provide this information in their fishing plan defined in paragraph 16 to be analysed and endorsed by Panel 2 inter-sessional.
33. Not later than 2020, the Commission shall decide on what extent the fishing seasons for different gear types and/or fishing areas might be extended and/or modified based on the SCRS advice without negatively influencing the stock development and by ensuring the stock is managed sustainably.

Minimum size

34. The minimum size for bluefin tuna caught in the eastern Atlantic and Mediterranean Sea shall be 30 kg or 115 cm fork length. Therefore, CPCs shall take the necessary measures to prohibit catching, retaining on board, transshipping, transferring, landing, transporting, storing, selling, displaying or offering for sale bluefin tuna weighing less than 30 kg or with fork length of less than 115 cm.
35. By derogation from paragraph 34, a minimum size for bluefin tuna of 8 kg or 75 cm fork length shall apply to the following situations **(see Annex 1)**.
 - a) Bluefin tuna caught in the eastern Atlantic by baitboats and trolling boats;
 - b) Bluefin tuna caught in the Mediterranean Sea by the small scale coastal fleet fishery for fresh fish by baitboats, longliners and handliners;
 - c) Bluefin tuna caught in the Adriatic Sea for farming purposes.

Notwithstanding the above, for bluefin tuna caught in the Adriatic Sea for the purpose of farming, the relevant CPC may define a tolerance level for specimens of bluefin tuna with a minimum weight of 6.4 kg or 66 cm fork length, with a maximum of 7% by weight of the quantities caught by Croatian vessels. In addition, up to a maximum of 100 t of bluefin tuna weighing no less than 6.4 kg or 70 cm fork length can be caught by French baitboat vessels with an overall length of less than 17 m operating in the Bay of Biscay.

36. CPCs concerned shall issue specific authorisations to vessels fishing under the derogations referred to in paragraph 35. In addition, fish below these minimum sizes that are discarded dead shall be counted against the CPC quota.

Incidental catches of fish below minimum size

37. For catching vessels fishing actively for bluefin tuna and tuna traps, an incidental catch of maximum 5% by number of bluefin tuna weighing between 8 and 30 kg or, in the alternative, with fork length between 75-115 cm may be authorized.

This percentage shall be calculated in relation to the total catches in number of bluefin tuna retained on board a vessel at any time after each fishing operation in the above mentioned weight or length categories.

General rules on by-catches

38. All CPCs shall allocate a specific quota for by-catch of bluefin tuna. The levels of authorized by-catches as well as the methodology to calculate those by-catches in relation with the total catches on board (in weight or number of specimens) shall be clearly defined in the annual fishing plans submitted to the ICCAT Secretariat under paragraph 14 of this recommendation and shall never exceed 20% of the total catches on board at the end of each fishing trip. Calculation in number of pieces shall only apply to tuna and tuna-like species managed by ICCAT. For the small-scale coastal vessel fleet the amount of by-catch can be calculated on an annual basis.

All by-catches of dead bluefin tuna, whether retained or discarded, shall be deducted from the quota of the flag CPC and reported to ICCAT. If by-catch of bluefin tuna is caught in waters under the fishery jurisdiction of CPCs whose current domestic legislation requires that all dead or dying fish must be landed, this landing obligation shall be complied with also by vessels flying foreign flags.

If no quota has been allocated to the CPC of the fishing vessel or trap concerned or if it has already been consumed, the catching of bluefin tuna as by-catch is not permitted and CPCs shall take the necessary measures to ensure their release. If however, such bluefin tuna is dead it shall be landed, and the appropriate follow-up action taken in accordance with the national law. CPCs shall report information on such quantities on an annual basis to the ICCAT Secretariat who shall make it available to SCRS.

The procedures referred to in paragraphs 77 to 82 and 108 shall apply to by-catch.

For vessels not actively fishing for bluefin tuna, any quantity of bluefin tuna kept on board shall be clearly separated from other fish species to allow control authorities to monitor the respect of this rule. The procedures for non-authorized vessels with regard to the eBCD shall follow as laid down in the relevant provision of Recommendation 18-12.

Recreational fisheries and sport fisheries

39. When CPCs allocate, where appropriate, a specific quota to sport and recreational fisheries; that allocated quota should be set even if catch and release is compulsory for bluefin tuna caught in sport and recreational fisheries to account for possible dead fish. Each CPC shall regulate recreational and sport fisheries by issuing fishing authorizations to vessels for the purpose of sport and recreational fishing.

40. CPCs shall take the necessary measures to prohibit the catch and retention on board, transshipment or landing of more than one bluefin tuna per vessel per day for recreational fisheries.

This prohibition does not apply to CPCs whose domestic legislation requires that all dead fish, including those caught by sport and recreational, shall be landed.

41. The marketing of bluefin tuna caught in recreational and sport fishing shall be prohibited.

42. Each CPC shall take measures to record catch data including weight of each bluefin tuna caught during sport and recreational fishing and communicate to the ICCAT Secretariat the data for the preceding year by 1 July each year.

43. Dead catches from sport and recreational fisheries shall be counted against the quota allocated to the CPC in accordance with paragraph 5.

44. Each CPC shall take the necessary measures to ensure, to the greatest extent possible, the release of bluefin tuna, especially juveniles, caught alive, in the framework of recreational and sport fishing. Any bluefin tuna landed shall be whole, gilled and/or gutted.

45. Any CPC wishing to conduct a sport catch-and-release fishery in the north east Atlantic may allow a limited number of sport vessels to target bluefin tuna with the purpose of "tag and release" without the need to allocate them a specific quota. This applies to those vessels operating in the context of a scientific project of a research institute integrated in a scientific research program results of which shall be communicated to the SCRS. In this context the CPC shall have the obligation to: a) submit the description and associated measures applicable to this fishery as integral part of their fishing and control plans as referred under paragraph 14 of this Recommendation; b) closely monitor the activities of the vessels concerned to ensure their compliance with the existing provisions of this Recommendation; c) ensure that the tagging and releasing operations are performed by trained personnel to ensure high survival of the specimens; and d) annually submit a report on the scientific activities conducted, at least 60 days before the SCRS meeting of the following year. Any bluefin tuna that die during tag and release activities shall be reported and deducted from the CPC's quota.

46. CPCs shall make available upon request from ICCAT the list of sport and recreational vessels which have received an authorization.

47. The format for such list referred to in paragraph 46 shall include the following information:

- a) Name of vessel, register number
- b) ICCAT Record Number (if any)
- c) Previous name (if any)
- d) Name and address of owner(s) and operator(s)

Part IV: Control measures

Section A - Records of vessels and traps

Use of aerial means

48. The use of any aerial means, including aircraft, helicopters or any types of unmanned aerial vehicles to search for bluefin tuna shall be prohibited.

ICCAT Record of vessels authorized to fish bluefin tuna

49. CPCs shall establish and maintain an ICCAT record of all vessels authorized to operate for bluefin tuna in the eastern Atlantic and Mediterranean Sea. That record should consist of two lists:

- a) all catching vessels authorized to fish actively for bluefin tuna in the eastern Atlantic and Mediterranean Sea.
- b) all other fishing vessels used for the purposes of commercial exploitation of bluefin tuna resources other than catching vessels, authorized to operate for bluefin tuna in the eastern Atlantic and Mediterranean Sea.

For vessels with a LOA >24 m (independently of the gear used excluding bottom trawlers) and for purse-seiners, CPCs shall indicate number of vessels to the Executive Secretary as part of their fishing plan defined in paragraph 14 of this recommendation and ICCAT shall establish and maintain an ICCAT record of all vessels authorized to operate for bluefin tuna in the eastern Atlantic and Mediterranean Sea.

50. Each flag CPC shall submit electronically each year to the ICCAT Executive Secretary, at the latest 15 days before the beginning of the fishing activity the list of its catching vessels, authorized to fish actively for bluefin tuna in the eastern Atlantic and Mediterranean Sea referred to in paragraph 49(a). Submissions shall be undertaken in accordance with the format set out in the *Guidelines for Submitting Data and Information Required by ICCAT*.
51. No retroactive submissions shall be accepted. Subsequent changes shall only be accepted if the notified fishing vessel is prevented from participation due to legitimate operational reasons or *force majeure*. In such circumstances, the CPC concerned shall immediately inform the ICCAT Executive Secretary, providing:
- a) full details of the fishing vessel(s) intended to replace a vessel or vessels, included in the records referred to in paragraph 49; CPCs with less than 5 vessels on the list referred to in paragraph 49, may replace a vessel with another vessel not previously included in the records referred to in paragraph 49 and for which an ICCAT number has been provided by the Secretariat.
 - b) a comprehensive account of the reasons justifying the replacement and any relevant supporting evidence or references.

The ICCAT Secretariat will circulate such cases among CPCs. If any CPC notifies that the case is not sufficiently justified or incomplete it shall be brought to the Compliance Committee for further review and the case shall remain pending approval of the Compliance Committee.

52. Conditions and procedures referred to in the Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels 20 Meters in Length Overall or Greater Authorized to operate in the Convention Area (Rec. 13-13) (except paragraph 3) shall apply *mutatis mutandis*.
53. Without prejudice to paragraph 38 for the purposes of this Recommendation, fishing vessels not entered into one of the ICCAT records referred to in paragraph 49 (a) and (b) are deemed not to be authorized to fish for, retain on board, tranship, transport, transfer, process or land eastern Atlantic and Mediterranean bluefin tuna. The prohibition against retention on board does not apply to CPCs whose domestic legislation requires that all dead fish must be landed, providing that the value of the catch is subject to confiscation.

Fishing authorizations for vessels and traps authorized to fish for bluefin tuna

54. CPCs shall issue special authorisations and/or national fishing licences to vessels and traps included in one of the lists described in paragraphs 45, 49 and 56. Fishing authorizations shall contain as a minimum the information set out in **Annex 12**. The Flag CPC shall ensure that the information contained in the fishing authorisation is accurate and consistent with the rules of ICCAT. The Flag CPC shall take the necessary enforcement measures in accordance with their legislation and may require the vessel to proceed immediately to a designated port when the individual quota is deemed to be exhausted.

ICCAT record of tuna traps authorized to fish for bluefin tuna

55. The Commission shall establish and maintain an ICCAT Record of all tuna traps authorized to fish for bluefin tuna in the eastern Atlantic and Mediterranean Sea. For the purposes of this recommendation, tuna traps not entered into the record are deemed not to be authorized to be used to fish for, retain, and participate in any operation to catch, transfer, harvest or land bluefin tuna.
56. Each CPC shall submit electronically to the ICCAT Executive Secretary, as part of their fishing plan defined in paragraphs 16 to 17, the list (including the name of the traps, register number) of its authorized tuna traps referred to in paragraph 55.

Conditions and procedures referred in Recommendation 13-13 (except paragraph 3) shall apply *mutatis mutandis*.

Information on fishing activities

57. By 31 July each year, or within 7 months of the completion of the fishing season for those CPCs that end their fishing campaign in July, each CPC shall notify the ICCAT Secretariat detailed information on bluefin tuna catches in the eastern Atlantic and Mediterranean Sea in the preceding quota allocation period. This information should include:
- a) the name and ICCAT number of each catching vessel;
 - b) the period of authorisation(s) for each catching vessel;
 - c) the total catches of each catching vessel including nil returns throughout the period of authorisation(s);
 - d) the total number of days each catching vessel fished in the eastern Atlantic and Mediterranean Sea throughout the period of authorisation(s); and
 - e) the total catch outside their period of authorisation (by-catch).

For all vessels which were not authorised to fish actively for bluefin tuna in the eastern Atlantic and Mediterranean Sea but which caught bluefin tuna as by-catch:

- a) the name and ICCAT number or national registry number of the vessel, if not registered with ICCAT;
 - b) the total catches of bluefin tuna.
58. Each CPC shall notify the ICCAT Secretariat of any information concerning vessels not covered in paragraph 57 but known or presumed to have fished for bluefin tuna in the eastern Atlantic and Mediterranean Sea. The ICCAT Secretariat shall forward such information to the flag CPC for action as appropriate, with a copy to other CPCs for information.

Joint fishing operations

59. Any joint fishing operation for bluefin tuna shall only be authorized with the consent of the CPCs concerned. To be authorised, each purse-seiner shall be equipped to fish for bluefin tuna, to have a specific individual quota allocation, and to operate in accordance with the requirements defined in

65, 66 and 67. The quota allocated to a given JFO, shall be equal to the total of all the quotas allocated to purse-seiner vessels participating in the concerned JFO. Furthermore, the duration of the JFO shall not be longer than the duration of the fishing season for purse-seiners, as referred to under paragraph 29 of this Recommendation.

60. At the moment of the application for the authorization, following the format set in **Annex 5**, each CPC shall take the necessary measures to obtain from its purse seine vessel(s) participating in the joint fishing operation the following information:
- the period of authorization of the JFO,
 - the identity of the operators involved,
 - the individual vessels' quotas,
 - the allocation key between the vessels for the catches involved, and
 - information on the farms of destination.

Each CPC shall transmit all the information referred above to the ICCAT Secretariat at least five days before the start of the purse-seiners fishing season as defined in paragraph 29.

In the case of *force majeure*, the deadline set out in this paragraph shall not apply regarding the information on the farms of destination. In such cases, CPCs shall provide the ICCAT Secretariat with an update of that information as soon as possible, together with a description of the events constituting *force majeure*. The Secretariat shall compile the information referred under this paragraph provided by CPCs for the review by the Compliance Committee.

61. The Commission shall establish and maintain an ICCAT record of all joint fishing operations authorized by the CPCs in the eastern Atlantic and Mediterranean Sea.
62. No JFOs between purse-seiners from different CPCs shall be permitted. However, a CPC with less than five authorized purse seiners may authorize joint fishing operations with any other CPC. Each CPC conducting a JFO shall be responsible and accountable for the catches made under this JFO.

Part IV: Control measures

Section B - Catches and transshipments

Recording requirements

63. The masters of catching vessels shall maintain a bound or electronic fishing logbook of their operations in accordance with the requirements set out in Section A of **Annex 2**.
64. The masters of towing vessels, auxiliary vessels and processing vessels shall record their activities in accordance with the requirements set out in Sections B, C and D of **Annex 2**.

Catch reports sent by masters and trap operators

65. Each CPC shall ensure that its catching vessels fishing actively for bluefin tuna shall communicate to their authorities during the whole period in which they are authorized to fish bluefin tuna by electronic or any other effective means daily information from logbooks, including the date, time, location (latitude and longitude) the weight and number of bluefin tuna caught in the area covered by this plan, including releases and discards of dead fish under the minimum size referred to in paragraph 34. Masters shall send that information in the format set out in **Annex 2** or through the CPCs reporting requirement.
66. Masters of purse seiners shall produce reports referred to in paragraph 65 on a fishing operation by fishing operation basis, including operations where the catch was zero. The reports shall be transmitted by the operator to its flag CPC authorities by 9.00 GMT for the preceding day.

67. Trap operators or their authorised representatives fishing actively for bluefin tuna shall send electronically a daily catch report, including the ICCAT register number, date, time, catches (weight and number of fish), including zero catches. They shall send that information within 48 hours electronically in the format set out in **Annex 2** to their flag CPC authorities during the whole period they are authorised to fish bluefin tuna.
68. For catching vessels other than purse seiners and traps, masters shall transmit to their control authorities, reports referred to in paragraph 65 by the latest Tuesday noon for the preceding week ending Sunday.

Designated ports

69. Each CPC who has been allocated a bluefin tuna quota shall designate ports where landing or transshipping operations of bluefin tuna are authorized. This list shall be communicated each year to the ICCAT Secretariat as part of the annual fishing plan communicated by each CPC. Any amendment shall be communicated to the ICCAT Secretariat. Other CPCs may designate ports in which landing or transshipping operations of bluefin tuna is authorised and communicate a list of these ports to ICCAT Secretariat.
70. For a port to be determined as a designated port, the port State shall ensure that the following conditions are met:
 - a) established landing and transshipment times;
 - b) established landing and transshipment places; and
 - c) established inspection and surveillance procedures ensuring inspection coverage during all landing and transshipment times and at all landing and transshipment places in accordance with paragraph 73.
71. It shall be prohibited to land or tranship from catching vessels as well as processing vessels and auxiliary vessels any quantity of bluefin tuna fished in the eastern Atlantic and the Mediterranean Sea at any place other than ports designated by CPCs in accordance with paragraphs 69 to 70. However, exceptionally, the transport of dead bluefin tuna, harvested from a trap/cage, to a processing vessel using an auxiliary vessel, is not prohibited.
72. On the basis of the information received by CPCs under paragraph 69 the ICCAT Secretariat shall maintain a list of designated ports on the ICCAT website.

Prior notification of landings

73. Prior to entry into any port, masters of catching vessels as well as processing vessels and auxiliary vessels or their representative shall provide the relevant authorities of the port, at least 4 hours before the estimated time of arrival, with the following:
 - a) estimated time of arrival;
 - b) estimate of quantity of bluefin tuna retained on board;
 - c) the information on the geographic area where the catch was taken.

If the fishing grounds are less than four hours from the port of arrival, the estimated quantities of bluefin tuna retained on board may be modified at any time prior to arrival.

CPCs may decide to apply these provisions only for catches equal or superior to three fish or one ton. They should provide this information in their monitoring control and inspection plan referred to in paragraph 14.

Port State authorities shall keep a record of all prior notices for the current year.

All landings and harvest operations shall be controlled by the relevant control authorities and a percentage shall be inspected based on a risk assessment system involving quota, fleet size and fishing effort. Full details of this control system adopted by each CPC including the target percentage of landings to be inspected shall be detailed in their annual inspection plan referred to in paragraph 14 of this recommendation.

After each trip, Masters of catching vessels shall submit within 48 hours a landing declaration to the competent authorities of the CPC where the landing takes place and to its flag CPC. The master of the authorized catching vessel shall be responsible and certify its completeness and accuracy of the declaration, which shall indicate, as a minimum requirement, the quantities of bluefin tuna landed and the area where they were caught. All landed catches shall be weighed and not only estimated. The relevant authority shall send a record of the landing to the flag CPC authority of the fishing vessel, within 48 hours after the landing has ended.

Reporting of catches from CPCs to the ICCAT Secretariat

74. CPCs shall send weekly to the ICCAT Secretariat the reports from purse-seiners and traps defined in paragraphs 66 and 67, as well as weekly reports for vessels using any other gears. On the basis of that information, the ICCAT Secretariat shall within 10 days following the monthly deadlines for receipt of the provisional catch statistics collect the information received and circulate it to CPCs.
75. CPCs shall report to the ICCAT Secretariat the dates when their entire quota of bluefin tuna has been utilized. The ICCAT Secretariat shall promptly circulate this information to all CPCs.

Cross check

76. CPCs shall verify inspection reports and observer reports, VMS data, and where appropriate e-BCDs, as well as the timely submission of logbooks and required information recorded in the logbooks of their fishing vessels, in the transfer/transshipment document and in the catch documents.

The competent authorities shall carry out cross checks on all landings, all transshipment, transfers or caging between the quantities by species recorded in the fishing vessel logbook or quantities by species recorded in the transshipment declaration and the quantities recorded in the landing declaration or caging declaration, and any other relevant document, such as invoice and/or sales notes.

Transshipment

77. Transshipment operations of bluefin tuna in the eastern Atlantic and Mediterranean Sea shall be allowed only at designated ports defined and conditioned in paragraphs 69 to 72.
78. Prior to entry into any port, the receiving fishing vessel, or its representative, shall provide the relevant authorities of the port State at least 72 h before the estimated time of arrival, with the information listed in **Annex 3**, according to the port state's domestic law. Any transshipment requires the prior authorization from the flag CPC of the transshipping fishing vessel concerned. Furthermore, the master of the transshipping fishing vessel shall, at the time of the transshipment, inform its flag CPC of the data required in **Annex 3**.
79. The relevant authority of the port State shall inspect the receiving vessel on arrival and check the cargo and documentation related to the transshipment operation.
80. The masters of fishing vessels shall complete and transmit to their flag CPC the ICCAT transshipment declaration no later than 15 days after the date of transshipment in port as per Recommendation 16-15. The masters of the transshipping fishing vessels shall complete the ICCAT transshipment declaration in accordance with the format set out in **Annex 3**. The transshipment declaration shall be linked with the e-BCD to facilitate cross-checking of data contained thereof.
81. The relevant authority of the port State shall send a record of the transshipment to the flag CPC authority of the transshipping fishing vessel, within 5 days after the transshipment has ended.
82. All transshipments shall be inspected by the relevant authorities of the designated port CPC authorities.

Part IV: Control measures

Section C - Observer programmes

CPC Observer Programme

83. Each CPC shall ensure coverage by observers, issued with an official identification document, on vessels and traps active in the bluefin tuna fishery on at least:

- 20% of its active pelagic trawlers (over 15 m),
- 20% of its active longline vessels (over 15 m),
- 20% of its active baitboats (over 15 m),
- 100% of towing vessels;
- 100% of harvesting operations from traps.

CPCs with less than five catching vessels of the first three segments defined above authorized to fish actively for bluefin tuna shall ensure coverage by observers 20% of the time the vessels are active in the bluefin tuna fishery.

The observer tasks shall be, in particular, to:

- a) monitor fishing vessels and traps compliance with the present Recommendation,
- b) record and report upon the fishing activity, which shall include, *inter alia*, the following:
 - amount of catch (including by-catch), that also includes species disposition, such as retained on board or discarded dead or alive,
 - area of catch by latitude and longitude,
 - measure of effort (e.g., number of sets, number of hooks, etc.), as defined in the ICCAT Manual for different gears,
 - date of catch.
- c) observe and estimate catches and verify entries made in the logbook,
- d) sight and record vessels that may be fishing contrary to ICCAT conservation measures.

In addition, the observer shall carry out scientific work, such as collecting all the necessary data required by the Commission, based on the instructions from the SCRS.

In implementing this observer requirement, CPCs shall:

- a) ensure representative temporal and spatial coverage to ensure that the Commission receives adequate and appropriate data and information on catch, effort, and other scientific and management aspects, taking into account characteristics of the fleets and fisheries;
- b) ensure robust data collection protocols;
- c) ensure observers are properly trained and approved before deployment;
- d) ensure, to the extent practicable, minimal disruption to the operations of vessels and traps fishing in the Convention area.

Data and information collected under each CPC's observer programme shall be provided to the SCRS and the Commission, as appropriate, in accordance with requirements and procedures to be developed by the Commission by 2019 taking into account CPC confidentiality requirements.

For the scientific aspect of the programme, the SCRS shall report on the coverage level achieved by each CPC, and provide a summary of the data collected and any relevant findings associated with that data. SCRS shall also provide any recommendations to improve the effectiveness of CPCs observer programmes.

ICCAT Regional Observer Programme

84. An ICCAT Regional Observer Programme shall be implemented to ensure observer coverage of 100%:

- on all purse seiners authorised to fish bluefin tuna;
- during all transfers of bluefin tuna from purse seiners;
- during all transfers of bluefin tuna from traps to transport cages;
- during all transfers from one farm to another;
- during all cagings of bluefin tuna in farms;
- during all harvesting of bluefin tuna from farms; and
- during the release of bluefin tuna from farming cages into the sea.

Purse seine vessels without an ICCAT regional observer shall not be authorized to fish or to operate in the bluefin tuna fishery.

ICCAT regional observers should not be of the same nationality as the catching vessel/tug boat/trap or farm for which their services are required, to the extent possible, ICCAT Secretariat should ensure that Regional observers deployed have a satisfactory knowledge of the language of the flag CPC of the vessel or farm or traps.

One ICCAT regional observer shall be assigned to each farm for the whole period of caging operations. In cases of *force majeure*, and following confirmation by the competent authorities of the CPC, an ICCAT regional observer could be shared by more than one farm to guarantee the continuity of farming operations. However, the farming authorities shall immediately request the deployment of an additional regional observer.

85. The ICCAT Regional observer tasks shall be, in particular, to:

- observe and monitor fishing and farming operations in compliance with the relevant ICCAT conservation and management measures, including access to stereoscopic camera footages at the time of caging that enables the measuring of length and estimating the corresponding weight;
- sign the ICCAT transfer declarations and BCDs when he/she is in agreement that the information contained within them is consistent with his/her observations. If he/she is not in agreement he/she should indicate his/her presence on the transfer declarations and BCDs and the reasons of disagreement quoting specifically the rule(s) or procedure(s) that has not been respected;
- carry out such scientific work, for example collecting samples, as required by the Commission based on the directions from the SCRS.

Part IV: Control measures

Section D - Live fish

Transfers

Transfer authorisation

86. Before any transfer operation, the master of the catching or towing vessel or its representatives or the representative of the farm or trap, where the transfer in question originates, as appropriate, shall send to its flag CPC or farm State CPC authorities before the transfer, a prior transfer notification indicating:
- name of the catching vessel or farm or trap and ICCAT number record,
 - estimated time of transfer,
 - estimated quantity of bluefin tuna to be transferred,
 - information on the position (latitude/longitude) where the transfer will take place and identifiable cage numbers,
 - name of the towing vessel, number of cages towed and ICCAT number record where appropriate,
 - port, farm, cage destination of the bluefin tuna.

For this purpose, CPCs shall assign a unique number to each transport cage. If several transport cages need to be used when transferring a catch corresponding to one fishing operation, only one transfer declaration is required, but the numbers of each transport cage used need to be recorded in the transfer declaration, clearly indicating the bluefin tuna quantity transported in each cage.

Cage numbers shall be issued with a unique numbering system that includes at least the three letter CPC code followed by three numbers.

Unique cage numbers shall be permanent and not transferable (i.e. numbers cannot be changed from one cage to another).

87. The flag CPC shall assign and communicate to the master of the fishing vessel, or trap or farm as appropriate, an authorization number for each transfer operation. The transfer operation shall not begin without the prior authorization issued in accordance with a unique numbering system that includes the 3 letter CPC code, 4 numbers showing the year and 3 letters that indicate either positive authorization (AUT) or negative authorization (NEG) followed by sequential numbers, by the flag CPC authorities of the catching vessel, the towing vessel, farm or trap. Information regarding dead fish shall be recorded in accordance with the procedures set out in **Annex 11**.

The transfer shall be authorized or not authorized by the flag CPC of the catching vessel, farm or trap as appropriate within 48 hours following the submission of the prior transfer notification.

Refusal of transfer authorisation and release of bluefin tuna

88. If the flag CPC of the catching vessel, the towing vessel or the authorities of the CPC where the farm or trap is located considers on receipt of the prior transfer notification that:
- a) the catching vessel or the trap declared to have caught the fish does not have sufficient quota,
 - b) the quantity of fish has not been duly reported by the catching vessel or trap, or had not been authorized to be caged and therefore not taken into account for the consumption of the quota that may be applicable,
 - c) the catching vessel declared to have caught the fish has not a valid authorisation to fish for bluefin tuna issued in accordance with paragraph 54 of this recommendation, or

- d) the towing vessel declared to receive the transfer of fish is not registered in the ICCAT record of all other fishing vessels referred to in paragraph 49.b) or is not equipped with a fully functioning Vessel Monitoring System (VMS) and/or, any other VMS equivalent tracking device,

it shall not authorize the transfer.

In case the transfer is not authorized, the catching CPC shall immediately issue a release order to the master of the catching vessel or trap or farm as appropriate to inform them that the transfer is not authorized and to proceed to the release of the fish into the sea in accordance with **Annex 10** of this Recommendation.

In the event of a technical failure of its VMS during the transport to the farm, the towing vessel shall be replaced by another towing vessel with a fully functioning VMS or a new operative VMS system shall be installed on board or used if already installed, as soon as feasible and not later than 72 hours, except in case of *force majeure* or legitimate operational constraints that should be communicated to the Secretariat. In the meantime, the master or his representative shall, starting from the time that the event was detected and/or informed, communicate to the control authorities of the Flag CPC every 4 hours the up-to-date geographical coordinates of the fishing vessel by appropriate telecommunication means.

Transfer declaration

89. The masters of catching or towing vessels or the representative of the farm or trap shall complete and transmit to their flag CPC the ICCAT transfer declaration at the end of the transfer operation in accordance with the format set out in **Annex 4**.
- a) The transfer declaration forms shall be numbered by the flag authorities of the vessel, farm or trap from where this transfer originates. The numbering system shall include the 3 letters CPC code, followed by 4 numbers showing the year and 3 sequential numbers followed by the 3 letters ITD (CPC- 20**/xxx/ITD).
- b) The original transfer declaration shall accompany the transfer of fish. A copy of the declaration must be kept by the catching vessel or trap and towing vessel.
- c) Masters of vessels carrying out transfer operations shall report their activities in accordance with the requirements set out in **Annex 2**.
90. The authorization for transfer by the flag CPC does not prejudice the confirmation of the caging operation.

Monitoring by video camera of a transfer

91. For transfers of live bluefin tuna the master of the catching vessel or the representative of the farm or trap, where appropriate, shall ensure that the transfer activities shall be monitored by video camera in the water with a view to verify the number of fish being transferred. The minimum standards and procedures for the video recording shall be in accordance with **Annex 8**.

The CPCs shall provide copies of video records to the SCRS upon request. SCRS shall keep confidentiality of commercial activities.

Verification by ICCAT Regional observers and launching and conduct of investigation

92. The ICCAT Regional Observer on board the catching vessel and trap, as referred to in the ICCAT Regional Observer Programme (**Annex 6**) and paragraphs 84 and 85, shall record and report upon the transfer activities carried out, observe and estimate catches transferred and verify entries made in the prior transfer authorization as referred to in paragraph 86 and in the ICCAT transfer declaration as referred to in paragraph 87.

In cases where there is more than a 10% difference by number between the estimates made by either the regional observer, relevant control authorities and/or the master of the catching vessel, or representative of the trap, an investigation shall be initiated by the flag CPC of the catching vessel, farm or trap and concluded prior to the time of caging at the farm or in any case within 96 hours of it being initiated, except on cases of *force majeure*. Pending the results of this investigation, caging shall not be authorized and the relevant section of the BCD shall not be validated.

However, in cases when the video record is of insufficient quality or clarity to make such estimations, the operator may request to the flag authorities of the vessel to conduct a new transfer operation and to provide the corresponding video record to the regional observer. If that voluntary transfer control is not performed with satisfactory results, the flag CPC shall initiate an investigation. If after that investigation, it is confirmed that the quality of the video does not permit estimate the quantities involved in the transfer/caging, the enforcement authorities of the flag CPC of the catching vessel shall order a control transfer operation and provide the corresponding video record to the Regional Observer. New transfers shall not be conducted as control transfer(s)/control caging(s) until the quality of the video record could allow estimating the quantities transferred.

93. Without prejudice to the verifications conducted by inspectors, the ICCAT Regional Observer shall sign with clearly written name and ICCAT number the ICCAT transfer declaration only when his/her observations are in accordance with ICCAT conservation and management measures and that the information contained within it is consistent with his/her observations, including a compliant video record as per the requirements in paragraph 92. He/she shall also verify that the ICCAT transfer declaration is transmitted to the master of the tug vessel or farm/trap representative where applicable. If he/she is not in agreement he/she should indicate his/her presence on the transfer declarations and BCDs and the reasons of disagreement quoting specifically the rule(s) or procedure(s) that has not being respected.

Operators shall complete and transmit to their CPC the ICCAT transfer declaration at the end of the transfer operation to their respective competent authorities, in accordance with the format set out in **Annex 4**.

Caging operations

Caging authorisations and possible refusal of an authorization

94. Prior to the start of caging operations for each transport cage, the anchoring of transport cages within 0.5 nautical miles of farming facilities, shall be prohibited. To this end, geographical coordinates corresponding to the polygon where the farm is placed need to be available in the farming management plans transmitted to ICCAT under paragraph 24 of this recommendation.
95. Before any caging operation into a farm, the CPC of the catching vessel or trap shall be informed by the competent authority of the farm CPC of the caging of quantities caught by catching vessels or traps flying its flag.

If the CPC of the catching vessel or trap considers on receipt of this information that:

- a) the catching vessel or trap declared to have caught the fish had not sufficient quota for bluefin tuna put into the cage,
- b) the quantity of fish has not been duly reported by the catching vessel or trap and not taken into account for the calculation of any quota that may be applicable,
- c) the catching vessel or trap declared to have caught the fish does not have a valid authorisation to fish for bluefin tuna, issued in accordance with paragraph 54 of this Recommendation,

it shall inform the competent authority of the farm CPC to proceed to the seizure of the catches and the release of the fish into the sea according to the procedures described in paragraph 87 and **Annex 10**.

The caging shall not begin without the prior confirmation, within 24 hours/1 working day of the request, of the catching vessels or trap CPC, or of the farm CPC authorities if agreed with the CPC authorities of the catching vessel/trap. If no response is received within 24 hours/1 working day from the CPC authorities of the catching vessel/trap, the CPC authorities of the farm may authorize the caging operation. This does not prejudice the sovereign rights of the farm CPC.

Fish shall be caged before the 22 August unless the farm CPC receiving the fish provides valid reasons including *force majeure*, which shall accompany the caging report when submitted. In any case the fish shall not be caged after 7 September.

Bluefin tuna catch documentation

96. The farm CPC under whose jurisdiction the farm for bluefin tuna is located shall prohibit placing bluefin tuna in cages for farming bluefin tuna that are not accompanied by the documents required by ICCAT as confirmed and validated by the catching vessel or trap CPC authorities.

Monitoring by video camera

97. The farm CPC under whose jurisdiction the farm is located shall ensure that transfer activities from cages to the farm shall be monitored by their enforcement authorities by video camera in the water.

One video record shall be produced for each caging operation in accordance with the procedures in **Annex 8**.

Launching and conduct investigations

98. In cases where there is more than a 10% difference by number between the estimates made by either the regional observer, relevant control authorities and/or the farm operator, an investigation shall be initiated by the farm CPC in cooperation with the CPC of the catching vessel and/or trap where appropriate. The catching and farm CPCs undertaking the investigations may use other information at their disposal, including the results of the caging programmes referred to under paragraph 99 which use stereoscopic cameras systems or alternative methods provided they guarantee the same level of precision and accuracy.

Measures and programmes to estimate the number and weight of bluefin tuna to be caged

99. A programme using stereoscopic cameras systems or alternative methods that guarantee the same level of precision and accuracy shall cover 100% of all caging operations, in order to refine the number and weight of the fish. This programme using stereoscopic cameras shall be conducted in accordance with the procedures set out in **Annex 9**. In case of the use of alternative methods, those methods should be duly analysed by the SCRS, who should present its conclusions regarding their precision and accuracy for endorsement by the Commission during the Annual meeting before an alternative methodology could be considered as valid for the purpose of monitoring the caging operations.

The farm CPC shall communicate the results of this programme to the catching CPC, and, to the Regional Observer. When these results indicate that the quantities of bluefin tuna being caged differ from the quantities reported caught and/or transferred, an investigation shall be launched. If the investigation is not concluded within 10 working days from the communication of the assessment of the video from the stereoscopic camera or alternative techniques conducted in accordance with the procedures laid down in **Annex 9**, for a single caging operation or complete assessment of all caging operations from a JFO, or if the outcome of the investigation indicates that the number and/or average weight of bluefin tuna is in excess of that declared caught and transferred, the CPC's authorities of the catching vessel and or trap shall issue a release order for the excess which must be released in accordance with the procedures laid down in paragraph 88 and **Annex 10** and in the presence of enforcement authorities.

The quantities derived in the programme shall be used to decide if releases are required and the caging declarations and relevant sections of the BCD shall be completed accordingly. When a release order has been issued, the farm operator shall request the presence of a national enforcement authority and an ICCAT observer to monitor the release.

The results of this programme shall be submitted by 15 September annually to SCRS by all farm CPCs. The SCRS should evaluate such procedures and results and report to the Commission by the Annual meeting in accordance with **Annex 9**.

100. The transfer of live bluefin tuna from one farming cage to another farming cage shall not take place without the authorization and the presence of the farm CPC control authorities. Each transfer shall be recorded to control the number of specimens. National enforcement authorities shall monitor those transfers and ensure that each intra farm transfer is recorded in the e-BCD system.
101. A difference superior or equal to 10% between the quantities of bluefin tuna reported caught by the vessel/trap and the quantities established by the control camera at the moment of caging shall constitute a Potential Non-Compliance of the vessel/trap concerned and shall therefore be duly investigated.

Caging report

102. The CPC under whose jurisdiction the farm for bluefin tuna is located shall submit within one week of the completion of the caging operation (a caging operation is not complete until a potential investigation and release are also completed) a caging report to the CPC whose flag vessels has fished the tuna and to the ICCAT Secretariat. When the farming facilities authorized to operate for farming of bluefin tuna caught in the Convention area (hereafter referred to as FFBs) are located beyond waters under jurisdiction of CPCs, the provisions of the previous paragraph shall apply, *mutatis mutandis*, to CPCs where the natural or legal persons responsible for FFBs are located.

Intra-farm transfers and random controls

103. A traceability system in farms shall be required including the video-recording of internal transfers. On the basis of a risk analysis, random controls shall be undertaken by the flag CPC farm control authorities on bluefin tuna in farm cages between the time of completion of caging operations and the first caging of the following year. Each CPC shall fix a minimum percentage of fish to be controlled, which shall be reflected in its control plan referred to under paragraph 14 of this Recommendation. The results of those checks shall be communicated to ICCAT in April of the year following the correspondent quota period.

Access to and requirements for video records

104. Each CPC shall take the necessary measures to ensure that the video records as referred to in paragraphs 97 and 99 are made available to the national inspectors, as well as regional and ICCAT inspectors and ICCAT and CPC observers at request.

Each CPC shall establish the necessary measures to avoid any replacement, edition or manipulation of the original video records.

Part IV: Control measures

Section E - Tracking of fishing activities

VMS

105. CPCs shall implement a vessel monitoring system for their fishing vessels with a length equal to or greater than 15m, in accordance with the *Recommendation by ICCAT Concerning Minimum Standards for Vessel Monitoring Systems in the ICCAT Convention Area* (Rec. 18-10).

The ICCAT Executive Secretary shall make available without delay the information received under this paragraph to CPCs with an active inspection presence in the **Plan Area** and to SCRS, at its request.

On request from CPCs engaged in inspection at sea operations in the Convention area in accordance with the ICCAT Scheme of Joint International Inspection referred to in paragraphs 109 to 112 of this Recommendation, the ICCAT Secretariat shall make available the messages received from all fishing vessels under **paragraph 3** of *Recommendation by ICCAT Concerning Data Exchange Format and Protocol in Relation to the Vessel Monitoring System (VMS) for the Bluefin Tuna Fishery in the ICCAT Convention Area* (**Rec. 07-08**).

The transmission of VMS data to ICCAT by fishing vessels with a length equal to or greater than 15m in length included in the ICCAT bluefin tuna record of 'catching' and 'other' vessels to ICCAT shall start at least 5 days before their period of authorisation and shall continue at least 5 days after their period of authorisation, unless the vessel is removed from the lists of authorized vessels by the flag CPC authorities.

For control purposes, the transmission of VMS by bluefin tuna authorised fishing vessels shall not be interrupted when vessels are in port, unless there is a system of hailing in and out of port.

The ICCAT Secretariat shall immediately inform CPCs in term of delays or non-receipt of VMS transmissions and distribute monthly reports to all CPCs with specific information on the nature and the scope of these delays. Such reports shall be sent weekly during the period 1 May to 30 July.

Part IV: Control measures

Section F - Enforcement

Enforcement

106. CPCs shall take appropriate enforcement measures with respect to a fishing vessel, **where it has been established**, in accordance with its law that the fishing vessel flying its flag does not comply with the provisions of this recommendation.

The measures shall be commensurate with the gravity of the offence and in accordance with the pertinent provisions of national law in such way to make sure that they effectively deprive those responsible of the economic benefit derived from their infringement without prejudice to the exercise of their profession. Those sanctions shall also be capable of producing results proportionate to the seriousness of such infringement, thereby effectively discouraging further offences of the same kind.

107. The CPC under whose jurisdiction the farm for bluefin tuna is located shall take appropriate enforcement measures with respect to a farm, **where it has been established**, in accordance with its law that this farm does not comply with the provisions of paragraphs 94 to 104.

The measures may include in particular depending on the gravity of the offence and in accordance with the pertinent provisions of national law, suspension or withdrawal of the record of bluefin tuna farms, together with fines.

Part IV: Control measures

Section G - Market measures

Market measures

108. Consistent with their rights and obligations under international law, exporting and importing CPCs shall take the necessary measures:

- to prohibit domestic trade, landing, imports, exports, placing in cages for farming, re-exports and transshipments of eastern Atlantic and Mediterranean Sea bluefin tuna that are not accompanied by accurate, complete, and validated documentation required by this Recommendation and the *Recommendation by ICCAT Replacing Recommendation 11-20 on an ICCAT Bluefin Tuna Catch Documentation Program* (Rec. 18-13) and the *Recommendation by ICCAT Replacing Recommendation 17-09 on the Application of the eBCD System* (Rec. 18-12) on the Bluefin Tuna Catch Documentation Programme.
- to prohibit domestic trade, imports, landings, placing in cages for farming, processing, exports, re-exports and the transshipment within their jurisdiction, of eastern and Mediterranean Sea bluefin tuna caught by fishing vessels or traps whose CPC either does not have a quota, catch limit or allocation of fishing effort for that species, under the terms of ICCAT management and conservation measures, or when the CPC fishing possibilities are exhausted, or when the individual quotas of catching vessels referred to in paragraph 4 are exhausted.

- to prohibit domestic trade, imports, landings, processing, and exports of eastern Atlantic and Mediterranean bluefin tuna from farms that do not comply with Recommendation 06-07.

Part V

ICCAT Scheme of Joint International Inspection

109. In the framework of the Multi-annual Management Plan for Bluefin Tuna, each Contracting Party agrees, in accordance with Article IX, paragraph 3, of the ICCAT Convention, to apply the ICCAT Scheme of Joint International Inspection adopted during its 4th Regular Meeting, held in November 1975 in Madrid, as modified in **Annex 7**.
110. The Scheme referred to in paragraph 109 shall apply until ICCAT adopts a monitoring, control and surveillance scheme which will include an ICCAT scheme for joint international inspection, based on the results of the Integrated Monitoring Measures Working Group, established by the *Resolution by ICCAT for Integrated Monitoring Measures* (Res. 00-20).
111. When at any time, more than 15 fishing vessels of any one Contracting Party are engaged in eastern Atlantic and Mediterranean bluefin tuna fishing activities in the Convention area, the Contracting Party shall, on the basis of risk assessment have an inspection vessel in the Convention area, or shall cooperate with another Contracting Party to jointly operate an inspection vessel. If a Contracting Party does not deploy its inspection vessel or conducting joint operations, the Contracting Party shall report the result of the risk assessment and its alternative measures in its inspection plan referred to in paragraph 14.
112. In the cases when enforcement measures need to be taken as a result of an inspection, the **enforcement powers of the flag Contracting Party inspectors** of the fishing vessel, farm or trap subject to inspection shall always **prevail** on their territory, their jurisdictional waters and/or on board of their inspection platform.

Part VI

Final provisions

Availability of data to the SCRS

113. The ICCAT Secretariat shall make available to the SCRS all data received in accordance with the present recommendation. All data shall be treated in a confidential manner.

Safeguards

114. When as a result of a scientific evaluation the goal of maintaining the biomass around $B_{0.1}$ (to be achieved by fishing at or less than $F_{0.1}$) is not achieved and the objectives of this plan are in danger, the SCRS shall propose a new advice on TAC for the following year.

Review clause

115. For the first time in 2020 and, in any case, after the stock assessment for bluefin tuna in the eastern Atlantic and the Mediterranean Sea that confirms the full recovery of the stock, the Commission following the scientific advice provided by the SCRS, shall decide on the continuity of this management plan or in a possible revision of the rules defined therein.
116. Notwithstanding paragraph 115, an ICCAT Intersessional Working Group of Panel 2 shall be established each year in March in order to:
- a) Approve the annual fishing plans, annual capacity plans, farming plans and inspection plans sent to ICCAT under paragraph 14 of this Recommendation;
 - b) Discuss any possible doubts about the interpretation of this Recommendation and produce draft amendments to this recommendation to be discussed at the Annual Commission meeting.

c) Discuss potential additional measures to further strengthening the traceability of live bluefin tuna.

Evaluation

117. All CPCs shall submit at the request of the Secretariat regulations and other related documents adopted by them to implement this Recommendation. In order to have greater transparency in implementing this Recommendation, the ICCAT Secretariat shall elaborate bi-yearly a report on the implementation of this Recommendation.

Exemptions for CPCs with a landing obligation for bluefin tuna

118. The provisions in this recommendation prohibiting retention on board, transshipping, transferring, landing, transporting, storing, selling, displaying or offering for sale of bluefin tuna do not apply to CPCs with a domestic legislation introduced before 2013 requiring that all dead or dying fish be landed, provided that the value of such fish is confiscated in order to prevent the fishermen from drawing any commercial profit from such fish. The CPC shall take necessary measures to prevent the confiscated fish from being exported to other CPCs. The quantities of bluefin tuna in excess of the quota allocated to the CPC, in accordance with this derogation shall be deducted the following year from the CPC quota.

Repeals

119. This Recommendation repeals *Recommendation by ICCAT Amending the Recommendation 14-04 on Bluefin Tuna in the Eastern Atlantic and Mediterranean* (Rec. 17-07).

Specific Conditions Applying to the Catching Vessels fishing under paragraph 35

1. CPCs shall limit:
 - The maximum number of its baitboats and trolling boats authorized to fish actively bluefin tuna to the number of the vessels participating in directed fishery for bluefin tuna in 2006.
 - The maximum number of its artisanal fleet authorized to fish actively bluefin tuna in the Mediterranean Sea to the number of the vessels participating in the fishery for bluefin tuna in 2008.
 - The maximum number of its catching vessel authorized to fish actively bluefin tuna in the Adriatic to the number of the vessel participating in the fishery for bluefin tuna in 2008. Each CPC shall allocate individual quotas to the concerned vessels.

CPCs shall issue specific authorizations to the vessels referred to in paragraph 1 of this Annex. Such vessels shall be indicated in the list of catching vessels referred to in paragraph 49 (a) of this recommendation, where the conditions for changes shall also apply.

2. Each CPC may allocate no more than 7% of its quota for bluefin tuna among its baitboats and trolling boats.
3. Each CPC may allocate no more than 2% of its quota for bluefin tuna among its coastal artisanal fishery for fresh fish in the Mediterranean Sea.

Each CPC may allocate no more than 90% of its quota for bluefin tuna among its catching vessels in the Adriatic for farming purposes.

4. CPCs whose baitboats, longliners, handliners and trolling boats are authorized to fish for bluefin tuna in the eastern Atlantic and Mediterranean Sea shall institute tail tag requirements as follows:
 - a) Tail tags must be affixed on each bluefin tuna immediately upon offloading.
 - b) Each tail tag shall have a unique identification number and be included on bluefin tuna catch documents and written legibly and indelibly on the outside of any package containing tuna.

Logbook requirements

A - Catching Vessels

Minimum specification for fishing logbooks:

1. The logbook must be numbered by sheets.
2. The logbook must be filled in every day (midnight) or before port arrival.
3. The logbook must be completed in case of at sea inspections.
4. One copy of the sheets must remain attached to the logbook.
5. Logbooks must be kept on board to cover a period of one-year operation.

Minimum standard information for fishing logbooks:

1. Master name and address
2. Dates and ports of departure, dates and ports of arrival
3. Vessel name, register number, ICCAT number international radio call sign and IMO number (if available)
4. Fishing gear:
 - a) Type by FAO code
 - b) Dimension (length, number of hooks...)
5. Operations at sea with one line (minimum) per day of trip, providing:
 - a) Activity (fishing, steaming)
 - b) Position: Exact daily positions (in degree and minutes), recorded for each fishing operation or at noon when no fishing has been conducted during this day
 - c) Record of catches including:
 - i) FAO code
 - ii) round (RWT) weight in kg per day
 - iii) number of pieces per day

For purse seiners this should be recorded by fishing operation including nil returns
6. Master signature
7. Means of weight measure: estimation, weighing on board and counting
8. The logbook is kept in equivalent live weight of fish and mentions the conversion factors used in the evaluation.

Minimum information for fishing logbooks in case of landing or transshipment:

1. Dates and port of landing/transshipment
2. Products
 - a) species and presentation by FAO code
 - b) number of fish or boxes and quantity in kg
3. Signature of the Master or Vessel Agent
4. In case of transshipment: receiving vessel name, its flag and ICCAT number

Minimum information for fishing logbooks in case of transfer into cages:

1. Date, time and position (latitude/longitude) of transfer
2. Products:
 - a) Species identification by FAO code
 - b) Number of fish and quantity in kg transferred into cages
3. Name of towing vessel, its flag and ICCAT number
4. Name of the farm of destination and its ICCAT number
5. In case of joint fishing operation, in complement of information laid down in points 1 to 4, the masters shall record in their logbook:
 - a) as regards the catching vessel transferring the fish into cages:
 - amount of catches taken on board,
 - amount of catches counted against its individual quota,
 - the names of the other vessels involved in the JFO
 - b) as regards the other catching vessels not involved in the transfer of the fish:
 - the name of the other vessels involved in the JFO, their international radio call signs and ICCAT numbers,
 - that no catches have been taken on board or transferred into cages,
 - amount of catches counted against their individual quotas,
 - the name and the ICCAT number of the catching vessel referred to in a).

B - Towing Vessels

1. Masters of towing vessels shall record on their daily logbook, the date, time and position of transfer, the quantities transferred (number of fish and quantity in kg), the cage number, as well as the catching vessel name, flag and ICCAT number, the name of the other vessel(s) involved and their ICCAT number, the farm of destination and its ICCAT number, and the ICCAT transfer declaration number.
2. Further transfers to auxiliary vessels or to other towing vessels shall be reported including the same information as in point 1 as well as the auxiliary or towing vessel name, flag and ICCAT number and the ICCAT transfer declaration number.

3. The daily logbook shall contain the details of all transfers carried out during the fishing season. The daily logbook shall be kept on board and be accessible at any time for control purposes.

C - Auxiliary Vessels

1. Masters of auxiliary vessels shall record their activities daily in their logbook including the date, time and positions, the quantities of bluefin tuna taken onboard, and the fishing vessel, farm or trap name they are operating in association with.
2. The daily logbook shall contain the details of all activities carried out during the fishing season. The daily logbook shall be kept on board and be accessible at any time for control purposes.

D - Processing Vessels

1. Masters of processing vessels shall report on their daily logbook, the date, time and position of the activities and the quantities transshipped and the number and weight of bluefin tuna received from farms, traps or catching vessel where applicable. They should also report the names and ICCAT numbers of those farms, traps or catching vessel.
2. Masters of processing vessels shall maintain a daily processing logbook specifying the round weight and number of fish transferred or transshipped, the conversion factor used, the weights and quantities by product presentation.
3. Masters of processing vessels shall maintain a stowage plan that shows the location and the quantities of each species and presentation.
4. The daily logbook shall contain the details of all transshipments carried out during the fishing season. The daily logbook, processing logbook, stowage plan, original of ICCAT transshipment declarations shall be kept on board and be accessible at any time for control purposes.

No. Document

ICCAT Transshipment Declaration

Carrier vessel		Fishing Vessel	Final destination:
Name of vessel and radio call sign:		Name of the vessel and radio call sign:	Port:
Flag:		Flag:	Country:
Flag CPC authorization No.		Flag CPC authorization No.	State:
National Register No.		National Register No.	
ICCAT Register No.		ICCAT Register No.	
IMO No.		External identification:	
		Fishing logbook sheet No.	

	Day	Month	Hour	Year	2_ 0_ _ _	F.V Master's name:	Carrier vessel Master's name:
Departure	_ _	_ _	_ _		From: _ _ _ _		
Return	_ _	_ _	_ _		To: _ _ _ _	Signature:	Signature:
Tranship.	_ _	_ _	_ _				

For transshipment, indicate the weight in kg or the unit used (e.g. box, basket) and the landed weight in kilograms of this unit |_| Kg.

LOCATION OF TRANSHIPMENT

Port	Sea		Species	Number of unit of fishes	Type of product live	Type of product whole	Type of product gutted	Type of product head off	Type of product filleted	Type of product	Further transshipments
	Lat.	Long.									Date: _____ Place/Position: _____ Authorization CPC No. _____ Transfer vessel Master signature: _____
											Name of receiver vessel: _____ Flag _____ ICCAT Register No. _____ IMO No. _____ Master's signature _____
											Date: _____ Place/Position: _____ Authorization CPC No. _____ Transfer vessel Master's signature: _____
											Name of receiver vessel: _____ Flag _____ ICCAT Register No. _____ IMO No. _____ Master's signature _____

Obligations in case of transshipment:

1. The original of the transshipment declaration must be provided to the recipient vessel (processing/transport).
2. The copy of the transshipment declaration must be kept by the correspondent catching vessel or trap.
3. Further transshipping operations shall be authorized by the relevant CPC which authorized the vessel to operate.
4. The original of the transshipment declaration has to be kept by the recipient vessel which holds the fish, up to the landing place.
5. The transshipping operation shall be recorded in the logbook of any vessel involved in the operation.

Document No.		ICCAT Transfer Declaration		
1 - TRANSFER OF LIVE BFT DESTINATED FOR FARMING				
Fishing vessel name: Call sign: Flag: Flag State transfer authorisation No. ICCAT Register No. External identification: Fishing logbook No. JFO No. eBCD No.	Trap name: ICCAT Register No.	Tug vessel name: Call sign: Flag: ICCAT Register No. External identification:	Name of destination farm: ICCAT Register No.: Cage Number:	
2 - TRANSFER INFORMATION				
Date: / /	Place or position:	Port:	Lat:	Long:
Number of individuals:	Species:			
Type of product: Live <input type="checkbox"/> Whole <input type="checkbox"/> Gutted <input type="checkbox"/> Other (Specify):				
Master of fishing vessel / trap operator / farm operator name and signature:			Observer	Names ICCAT No. Signature
Master of receiver vessel (tug, processing, carrier) name and signature:				
3 - FURTHER TRANSFERS				
Date: / /	Place or position:	Port:	Lat:	Long:
Tug vessel name:	Call sign:	Flag:	ICCAT Register No.	
Farm State transfer authorisation No:	External identification:	Cage no.	Master of receiver vessel name and signature:	
Date: / /	Place or position:	Port:	Lat:	Long:
Tug vessel name:	Call sign:	Flag:	ICCAT Register No.	
Farm State transfer authorisation No:	External identification:	Cage no.	Master of receiver vessel name and signature:	
Date: / /	Place or position:	Port:	Lat:	Long:
Tug vessel name:	Call sign:	Flag:	ICCAT Register No.	
Farm State transfer authorisation No:	External identification:	Cage No.	Master of receiver vessel name and signature:	
4 - SPLIT CAGES				
Donor Cage No.	Kg:	Nbr of fish:		
Donor Tug vessel name:	Call sign:	Flag:	ICCAT Register no.	
Receiving Cage No.	Kg:	Nbr of fish:		
Receiving Tug vessel name:	Call sign:	Flag:	ICCAT Register no.	
Receiving Cage No.	Kg:	Nbr of fish:		
Receiving Tug vessel name:	Call sign:	Flag:	ICCAT Register no.	
Receiving Cage No.	Kg:	Nbr of fish:		
Receiving Tug vessel name:	Call sign:	Flag:	ICCAT Register no.	

ICCAT Regional Observer Programme

1. Each CPC shall require its farms, traps and purse seine vessels as referred to in paragraph 84 to deploy an ICCAT regional observer.
2. The Secretariat of the Commission shall appoint the observers before 1 April each year, and shall place them on farms, traps and on board the purse seine vessels flying the flag of Contracting Parties and of non-Contracting Cooperating Parties, Entities or Fishing Entities that implement the ICCAT observer programme. An ICCAT observer card shall be issued for each observer.
3. The Secretariat shall issue a contract listing the rights and duties of the observer and the master of the vessel or farm or trap operator. This contract shall be signed by both parties involved.
4. The Secretariat shall establish an ICCAT Observer Programme Manual.

Designation of the observers

5. The designated observers shall have the following qualifications to accomplish their tasks:
 - sufficient experience to identify species and fishing gear;
 - satisfactory knowledge of the ICCAT conservation and management measures and based on ICCAT training guidelines;
 - the ability to observe and record accurately;
 - a satisfactory knowledge of the language of the flag of the vessel or farm or trap observed.

Obligations of the observer

6. Observers shall:
 - a) have completed the technical training required by the guidelines established by ICCAT;
 - b) be nationals of one of the CPCs and, to the extent possible, not of the farm CPC, trap CPC or flag CPC of the purse seine vessel;
 - c) be capable of performing the duties set forth in point 7 below;
 - d) be included in the list of observers maintained by the Secretariat of the Commission;
 - e) not have current financial or beneficial interests in the bluefin tuna fishery.
7. The observer tasks shall be, in particular:
 - a) As regards observers on purse-seine vessels, to monitor the purse seine vessels' compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:
 - i) In cases where the observer observes what may constitute non-compliance with ICCAT recommendations, he/she shall submit this information without delay to the observer implementing company who shall forward it without delay to the flag CPC authorities of the catching vessel. For this purpose, the observer implementing company shall set up a system through which this information can be securely communicated;
 - ii) record and report upon the fishing activities carried out;
 - iii) observe and estimate catches and verify entries made in the logbook;
 - iv) issue a daily report of the purse seiner vessels' transfer activities;
 - v) sight and record vessels which may be fishing in contravention to ICCAT conservation and management measures;

- vi) record and report upon the transfer activities carried out;
 - vii) verify the position of the vessel when engaged in transfer;
 - viii) observe and estimate products transferred, including through the review of video recordings;
 - ix) verify and record the name of the fishing vessel concerned and its ICCAT number;
 - x) carry out scientific work such as collecting Task II data when required by the Commission, based on the directives from the SCRS.
- b) As regards observers in the farms and traps to monitor their compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:
- i) verify the data contained in the transfer declaration, caging declaration and BCDs, including through the review of video records;
 - ii) certify the data contained in the transfer declaration, caging declaration and BCDs;
 - iii) issue a daily report of the farms' and traps transfer activities;
 - iv) countersign the transfer declaration and caging declarations and BCDs only when he/she agrees that the information contained within them are consistent with his/her observations including a compliant video record as per the requirements in paragraphs 91 and 92;
 - v) carry out such scientific work, for example collecting samples, as required by the Commission, based on the directives from the SCRS;
 - vi) register and verify the presence of any type of tag, including natural marks, and notify any sign of recent tag removals. For all individuals tagged with electronic tags, conduct full biological sampling (otoliths, spine and genetic sample) following guidelines by the SCRS.
- c) Establish general reports compiling the information collected in accordance with this paragraph and provide the master and farm operator the opportunity to include therein any relevant information.
- d) Submit to the Secretariat the aforementioned general report within 20 days from the end of the period of observation.
- e) Exercise any other functions as defined by the Commission.
8. Observers shall treat as confidential all information with respect to the fishing and transfer operations of the purse seiners and of the farms and traps and accept this requirement in writing as a condition of appointment as an observer.
9. Observers shall comply with requirements established in the laws and regulations of the flag or farm State which exercises jurisdiction over the vessel, farm or trap to which the observer is assigned.
10. Observers shall respect the hierarchy and general rules of behaviour which apply to all vessel, farm and trap personnel, provided such rules do not interfere with the duties of the observer under this program, and with the obligations of vessel and farm personnel set forth in paragraph 11 of this Programme.

Obligations of the flag CPCs of purse seine vessels and farm and trap State

11. The responsibilities regarding observers of the flag CPCs of the purse seine vessels and their masters shall include the following, notably:
- a) Observers shall be allowed to access to the vessel, farm and trap personnel and to the gear, cages and equipment;
 - b) Upon request, observers shall also be allowed access to the following equipment, if present on the vessels to which they are assigned, in order to facilitate the carrying out of their duties set forth in paragraph 7 of this Programme.

- i) satellite navigation equipment;
 - ii) radar display viewing screens when in use;
 - iii) electronic means of communication.
- c) Observers shall be provided accommodations, including lodging, food and adequate sanitary facilities, equal to those of officers;
 - d) Observers shall be provided with adequate space on the bridge or pilot house for clerical work, as well as space on deck adequate for carrying out observer duties; and
 - e) The flag CPCs shall ensure that masters, crew, farm, trap and vessel owners do not obstruct, intimidate, interfere with, influence, bribe or attempt to bribe an observer in the performance of his/her duties.

The Secretariat, in a manner consistent with any applicable confidentiality requirements, is requested to provide to the farm State, trap State or flag CPC of the purse seine vessel, copies of all raw data, summaries, and reports pertaining to the trip. The Secretariat shall submit the observer reports to the Compliance Committee and to the SCRS.

Observer fees and organization

- 12.a) The costs of implementing this program shall be financed by the farm and trap operators and purse seiner's owners. The fee shall be calculated on the basis of the total costs of the program. This fee shall be paid into a special account of the ICCAT Secretariat and the ICCAT Secretariat shall manage the account for implementing the program;
- b) No observer shall be assigned to a vessel, trap and farm for which the fees, as required under subparagraph a), have not been paid.

ICCAT Scheme of Joint International Inspection

Pursuant to paragraph 3 of Article IX of the Convention, the Commission recommends the establishment of the following arrangements for international control outside the waters under national jurisdiction for the purpose of ensuring the application of the Convention and the measures in force thereunder:

I. Serious violations

1. For the purposes of these procedures, a serious violation means the following violations of the provisions of the ICCAT conservation and management measures adopted by the Commission:
 - a) fishing without a license, permit or authorization issued by the flag CPC;
 - b) failure to maintain sufficient records of catch and catch-related data in accordance with the Commission's reporting requirements or significant misreporting of such catch and/or catch-related data;
 - c) fishing in a closed area;
 - d) fishing during a closed season;
 - e) intentional taking or retention of species in contravention of any applicable conservation and management measure adopted by the ICCAT;
 - f) significant violation of catch limits or quotas in force pursuant to the ICCAT rules;
 - g) using prohibited fishing gear;
 - h) falsifying or intentionally concealing the markings, identity or registration of a fishing vessel;
 - i) concealing, tampering with or disposing of evidence relating to investigation of a violation;
 - j) multiple violations which taken together constitute a serious disregard of measures in force pursuant to the ICCAT;
 - k) assault, resist, intimidate, sexually harass, interfere with, or unduly obstruct or delay an authorized inspector or observer;
 - l) intentionally tampering with or disabling the vessel monitoring system;
 - m) such other violations as may be determined by the ICCAT, once these are included and circulated in a revised version of these procedures;
 - n) fishing with the assistance of spotter planes;
 - o) interference with the satellite monitoring system and/or operation of a vessel without a VMS system;
 - p) transfer activity without transfer declaration;
 - q) transshipment at sea.
2. In the case of any boarding and inspection of a fishing vessel during which the authorized inspectors observe an activity or condition that would constitute a serious violation, as defined in paragraph 1, the authorities of the flag CPC of the inspection vessel shall immediately notify the flag CPC of the fishing vessel, directly as well as through the ICCAT Secretariat. In such situations, the inspector should also inform any inspection ship of the flag CPC of the fishing vessel known to be in the vicinity.

3. ICCAT inspectors should register the inspections undertaken and the infringements detected (if any) in the fishing vessel logbook.
4. The flag CPC shall ensure that, following the inspection referred to in paragraph 2 of this Annex, the fishing vessel concerned ceases all fishing activities. The flag CPC shall require the fishing vessel to proceed within 72 hours to a port designated by it, where an investigation shall be initiated.
5. In the case where an inspection has detected an activity or condition that would constitute a serious violation, the vessel should be reviewed under the procedures described in the *Recommendation by ICCAT on Establishing a List of Vessels Presumed to Have Carried Out Illegal, Unreported and Unregulated Fishing Activities* (Rec. 18-08), taking into account any response actions and other follow up.

II. Conduct of inspections



6. Inspections shall be carried out by inspectors designated by the Contracting Governments. The names of the authorized government agencies and individual inspectors designated for that purpose by their respective governments shall be notified to the Commission.
7. Ships carrying out international boarding and inspection duties in accordance with this Annex shall fly a special flag or pennant approved by the Commission and issued by the ICCAT Secretariat. The names of the ships so used shall be notified to the ICCAT Secretariat as soon as practical in advance of the commencement of inspection activities. The ICCAT Secretariat shall make information regarding designated inspection vessels available to all CPCs, including by posting on its password-protected website.
8. Inspectors shall carry appropriate identity documentation issued by the authorities of the flag CPC, which shall be in the form shown in paragraph 20 of this Annex.
9. Subject to the arrangements agreed under paragraph 15 of this Annex, a vessel flagged to a Contracting Government and fishing for tuna or tuna-like fishes in the Convention area outside waters under national jurisdiction shall stop when given the appropriate signal in the International Code of Signals by a ship flying the ICCAT pennant described in paragraph 7 and carrying an inspector unless the vessel is actually carrying out fishing operations, in which case it shall stop immediately once it has finished such operations. The master* of the vessel shall permit the inspection party, as specified in paragraph 10 of this Annex, to board it and must provide a boarding ladder. The master shall enable the inspection party to make such examination of equipment, catch or gear and any relevant documents as an inspector deems necessary to verify compliance with the ICCAT Commission's recommendations in force in relation to the flag CPC of the vessel being inspected. Further, an inspector may ask for any explanations that he or she deems necessary.
10. The size of the inspection party shall be determined by the commanding officer of the inspection vessel taking into account relevant circumstances. The inspection party should be as small as possible to accomplish the duties set out in this Annex safely and securely.
11. Upon boarding the vessel, inspectors shall produce the identity documentation described in paragraph 8 of this Annex. Inspectors shall observe generally accepted international regulations, procedures and practices relating to the safety of the vessel being inspected and its crew, and shall minimize interference with fishing activities or stowage of product and, to the extent practicable, avoid action which would adversely affect the quality of the catch on board; Inspectors shall limit their enquiries to the ascertainment of the observance of the Commission's recommendations in force in relation to the flag CPC of the vessel concerned. In making the inspection, inspectors may ask the master of the fishing vessel for any assistance he may require. Inspectors shall draw up a report of the inspection in a form approved by the Commission. Inspectors shall sign the report in the presence of the master of the vessel who shall be entitled to add or have added to the report any observations which he or she may think suitable and must sign such observations.

* Master refers to the individual in charge of the vessel.

12. Copies of the report shall be given to the master of the vessel and to the government of the inspection party, which shall transmit copies to the appropriate authorities of the flag CPC of the inspected vessel and to the ICCAT Commission. Where any infringement of ICCAT recommendations is discovered, the inspector should, where possible, also inform any inspection ship of the flag CPC of the fishing vessel known to be in the vicinity.
13. Resistance to inspectors or failure to comply with their directions shall be treated by the flag CPC of the inspected vessel in a manner similar to such conduct committed with respect to a national inspector.
14. Inspectors shall carry out their duties under these arrangements in accordance with the rules set out in this recommendation, but they shall remain under the operational control of their national authorities and shall be responsible to them.
15. Contracting Governments shall consider and act on inspection reports, sighting information sheets as per Resolution 94-09 and statements resulting from documentary inspections of foreign inspectors under these arrangements on a similar basis in accordance with their national legislation to the reports of national inspectors. The provisions of this paragraph shall not impose any obligation on a Contracting Government to give the report of a foreign inspector a higher evidential value than it would possess in the inspector's own country. Contracting Governments shall collaborate in order to facilitate judicial or other proceedings arising from a report of an inspector under these arrangements.
 - a) Contracting Governments shall inform the Commission by 15 February each year of their provisional plans for conducting inspection activities under this Recommendation in that calendar year and the Commission may make suggestions to Contracting Governments for the coordination of national operations in this field including the number of inspectors and ships carrying inspectors;
 - b) the arrangements set out in this recommendation and the plans for participation shall apply between Contracting Governments unless otherwise agreed between them, and such agreement shall be notified to the ICCAT Commission. Provided, however, that implementation of the scheme shall be suspended between any two Contracting Governments if either of them has notified the ICCAT Commission to that effect, pending completion of such an agreement.
16.
 - a) the fishing gear shall be inspected in accordance with the regulations in force for the subarea for which the inspection takes place. Inspectors will state the subarea for which the inspection took place, and a description of any violations found, in the inspection report;
 - b) inspectors shall have the authority to inspect all fishing gear in use or on board.
17. Inspectors shall affix an identification mark approved by the ICCAT Commission to any fishing gear inspected which appears to be in contravention of the ICCAT Commission's recommendations in force in relation to the flag CPC of the vessel concerned and shall record this fact in his report.
18. The inspector may photograph the gears, equipment, documentation and any other element he/she considers necessary in such a way as to reveal those features which in their opinion are not in conformity with the regulation in force, in which case the subjects photographed should be listed in the report and copies of the photographs should be attached to the copy of the report to the flag CPC.
19. Inspectors shall, as necessary, inspect all catch on board to determine compliance with ICCAT recommendations.

20. The model Identity Card for inspectors is as follows:

Dimensions: Width 10.4cm, Height 7cm

<p style="text-align: center;">INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNA</p> <div style="display: flex; justify-content: space-between; align-items: center;">  <div style="text-align: center;"> <h2 style="margin: 0;">ICCAT</h2> <h3 style="margin: 0;">Inspector Identity Card</h3> </div> </div> <p>Contracting Party:</p> <p>Inspector Name:</p> <p>Card n°:</p> <p>Issue Date: Valid five years</p> <div style="border: 1px solid black; width: 100px; height: 80px; margin-top: 10px; display: flex; align-items: center; justify-content: center;"> <p style="font-size: small; color: gray;">Photograph</p> </div>	<div style="display: flex; justify-content: space-between; align-items: center;">  <div style="text-align: center;"> <h2 style="margin: 0;">ICCAT</h2> </div> </div> <p style="font-size: x-small; text-align: center;">The holder of this document is an ICCAT inspector duly appointed under the terms of the Scheme of Joint International Inspection and Surveillance of the International Commission for the Conservation of the Atlantic Tuna and has the authority to act under the provision of the ICCAT Control and Enforcement measures.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="text-align: center; width: 45%;"> <p>.....</p> <p style="font-size: x-small;">ICCAT Executive Secretary Issuing Authority</p> </div> <div style="text-align: center; width: 45%;"> <p>.....</p> <p style="font-size: x-small;">Inspector</p> </div> </div>
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Minimum standards for video recording procedures

Transfers

- i) The electronic storage device containing the original video record shall be provided to the observer as soon as possible after the end of the transfer operation that shall immediately initialize it to avoid any further manipulation.
- ii) The original recording shall be kept on board the catching vessel or by the farm or trap operator where appropriate, during their entire period of authorization.
- iii) Two identical copies of the video record shall be produced. One copy shall be transmitted to the regional observer on board of the purse seine vessel and one to the CPC observer on board the towing vessel, the latter of which shall accompany the transfer declaration and the associated catches to which it relates. If the inspection services are present during the transfer they shall also receive a copy of the relevant video record. This procedure should only apply to CPC observers in the case of transfers between towing vessels.
- iv) At the beginning and/or the end of each video, the ICCAT transfer authorization number shall be displayed.
- v) The time and the date of the video shall be continuously displayed throughout each video record.
- vi) Before the start of the transfer, the video shall include the opening and closing of the net/door and whether the receiving and donor cages already contain bluefin tuna.
- vii) The video recording must be continuous without any interruptions and cuts and cover the entire transfer operation.
- viii) The video record should be of sufficient quality to estimate the number of bluefin tuna being transferred.
- ix) If the video record is of insufficient quality to estimate the number of bluefin tuna being transferred, then a new transfer shall be requested by the control authorities. The new transfer must include all the bluefin tuna in the receiving cage into another cage which must be empty. For those cases where the origin of the fish is a fishing trap, the bluefin tuna already transferred from the fishing trap to the receiving cage, could be sent back to the fishing trap, and the fishing transfer cancelled under the supervision of the ICCAT regional observer.

Caging operations

- i) The electronic storage device containing the original video record shall be provided to the regional observer as soon as possible after the end of the caging operation who shall immediately initialize it to avoid any further manipulation.
- ii) The original recording shall be kept by the farm where applicable, during their entire period of authorization.
- iii) Two identical copies of the video record shall be produced. One copy shall be transmitted to the regional observer deployed on the farm.
- iv) At the beginning and/or the end of each video, the ICCAT caging authorization number shall be displayed.
- v) The time and the date of the video shall be continuously displayed throughout each video record.
- vi) Before the start of the caging, the video shall include the opening and closing of the net/door and whether the receiving and donor cages already contain bluefin tuna.

- vii) The video recording must be continuous without any interruptions and cuts and cover the entire caging operation.
- viii) The video record should be of sufficient quality to estimate the number of bluefin tuna being transferred.
- ix) If the video record is of insufficient quality to estimate the number of bluefin tuna being transferred, then a new caging operation shall be requested by the control authorities. For those cases when the origin of the fish is a purse-seiner the new caging operation must include all the bluefin tuna in the receiving farm cage into another farm cage which must be empty.

Standards and procedures for stereoscopic cameras systems in the context of caging operations

Use of stereoscopic cameras systems

The use of stereoscopic cameras systems in the context of caging operations, as required by paragraph 98 of this Recommendation shall be conducted in accordance with the following:

- i. The sampling intensity of live fish shall not be below 20% of the amount of fish being caged. When technically possible, the sampling of live fish shall be sequential, by measuring one in every five specimens. Such a sample shall be made up of fish measured at a distance between 2 and 8 meters from the camera.
- ii. The dimensions of the transfer gate connecting the donor cage and the receiving cage shall be set at maximum width of 8 to 10 meters and maximum height of 8 to 10 meters.
- iii. When the length measurements of the fish present a multi-modal distribution (two or more cohorts of distinct sizes), it shall be possible to use more than one conversion algorithm for the same caging operation. The most up to date algorithm(s) established by SCRS shall be used to convert fork lengths into total weights, according to the size category of the fish measured during the caging operation.
- iv. Validation of the stereoscopic length measurements shall be undertaken prior to each caging operation using a scale bar at a distance of 2 and 8 m.
- v. When the results of the stereoscopic program are communicated, the information shall indicate the margin of error inherent to the technical specifications of the stereoscopic camera system, which shall not exceed a range of +/- 5 percent.
- vi. The report on the results of the stereoscopic program should include details on all the technical specifications above, including the sampling intensity, the way of sampling methodology, the distance from the camera, the dimensions of the transfer gate, and the algorithms (length-weight relationship). SCRS shall review these specifications, and if necessary provide recommendations to modify them.
- vii. In cases where the stereoscopic camera footage is of insufficient quality to estimate the weight of bluefin tuna being caged, a new caging operation shall be ordered by the flag CPC authorities of the catching vessel/trap, or the flag CPC authorities of the farm.

Presentation and use of stereoscopic cameras systems outcome

- i. Decisions regarding differences between the catch report and the results from the stereoscopic system programme shall be taken at the level of the Joint Fishing Operation (JFO) or total trap catches, for JFOs and trap catches destined to a farm facility involving a single CPC and/or EU Member State. The decision regarding differences between the catch report and the results from the stereoscopic system programme shall be taken at the level of the caging operations for JFO's involving more than one CPC and/or EU Member State, unless otherwise agreed by all the flag CPC/State authorities of the catching vessels involved in the JFO.
- ii. Within 15 days from the caging date, the farm CPC/State authorities shall provide a report to the flag CPC/State authorities of the catching vessel, including the following documents:
 - ii.1 Technical stereoscopic system report including:
 - general information: species, site, cage, date, algorithm;
 - sizing statistical information: average weight and length, minimum weight and length, maximum weight and length, number of fish sampled, weight distribution, size distribution.
 - ii.2 Detailed results of the programme, with the size and weight of every fish that was sampled.

ii.3 Caging report including:

- general information on the operation: number of the caging operation, name of the farm, cage number, BCD number, ITD number, name and flag of the catching vessel, name and flag of the towing vessel, date of the stereoscopic system operation and footage file name;
- algorithm used to convert length into weight;
- comparison between the amounts declared in the BCD and the amounts found with the stereoscopic system, in number of fish, average weight and total weight (the formula used to calculate the difference shall be: $(\text{Stereoscopic System}-\text{BCD})/\text{Stereoscopic System} \times 100$);
- margin of error of the system;
- for those caging reports relating to JFOs/traps, the last caging report shall also include a summary of all information in previous caging reports.

iii. When receiving the caging report, the flag CPC/State authorities of the catching vessel shall take all the necessary measures according to the following situations.

iii.1 The total weight declared by the catching vessel in the BCD is within the range of the stereoscopic system results:

- no release shall be ordered;
- the BCD shall be modified both in number (using the number of fish resulting from the use of the control cameras or alternative techniques) and average weight, while the total weight shall not be modified.

iii.2 The total weight declared by the catching vessel in the BCD is below the lowest figure of the range of the stereoscopic system results:

- a release shall be ordered using the lowest figure in the range of the stereoscopic system results;
- the release operations must be carried out in accordance with the procedure laid down in paragraph 89 and **Annex 10**;
- after the release operations took place, the BCD shall be modified both in number (using the number of fish resulting from the use of the control cameras, minus the number of fish released) and average weight, while the total weight shall not be modified.

iii.3 The total weight declared by the catching vessel in the BCD exceeds the highest figure of the range of the stereoscopic system results:

- no release shall be ordered;
- the BCD shall be modified for the total weight (using the highest figure in the range of the stereoscopic system results), for the number of fish (using the results from the control cameras) and average weight accordingly.

iv. For any relevant modification of the BCD, the values (number and weight) entered in Section 2 shall be consistent with those in Section 6 and the values in Sections 3, 4 and 6, shall not be higher to those in Section 2.

v. In case of compensation of differences found in individual caging reports across all cagings from a JFO/trap, whether or not a release operation is required, all relevant BCDs shall be modified on the basis of the lowest range of the stereoscopic system results. The BCDs related to the quantities of bluefin tuna released shall also be modified to reflect the weight/number released. The BCDs related to bluefin tuna not released but for which the results from the stereoscopic systems or alternative techniques differ from those reported caught and transferred shall also be amended to reflect these differences.

The BCDs relating to the catches from where the release operation took place shall also be modified to reflect the weight/number released.

Release Protocol

The release of bluefin tuna from farming cages into the sea shall be recorded by video camera and observed by an ICCAT Regional Observer, who shall draft and submit a report together with the video records to the ICCAT Secretariat.

The release of bluefin tuna from transport cages or traps into the sea shall be observed by a national observer of the traps CPC, who shall draft and submit a report to its CPC control authorities.

Before a release operation takes place, CPC control authorities might order a control transfer using standard and/or stereoscopic cameras to estimate the number and weight of the fish that need to be released.

CPC control authorities might implement any additional measures they feel necessary to guarantee that the release operations take place at the most appropriate time and place in order to increase the probability of the fish going back to the stock. The operator shall be responsible for the fish survival until the release operation has taken place. These release operations shall take place within 3 weeks of the completion of the caging operations.

Following completion of harvesting operations, fish remaining in a farm and not covered by an ICCAT bluefin catch document shall be released in accordance with the procedures described in paragraph 87.

Treatment of dead fish

During fishing operations by purse seiners, the quantities of fish found dead in the seine shall be recorded on the fishing vessel logbook and therefore deducted from the flag CPCs quota.

Recording/treating of dead fish during first transfer

- a) The BCD shall be provided to the towing vessel with Section 2 (Total Catch), Section 3 (Live fish trade) and Section 4 (Transfer - including "dead" fish) completed.

The total quantities reported in Sections 3 and 4 shall be equal to the quantities reported in Section 2. The BCD shall be accompanied by the original ICCAT Transfer Declaration (ITD) in accordance with the provisions of this Recommendation. The quantities reported in the ITD (transferred live), must equal the quantities reported in Section 3 in the associated BCD.

- b) A split of the BCD with Section 8 (Trade information) shall be completed and given to the auxiliary vessel which will transport the dead bluefin tuna to shore (or retained on the catching vessel if landed directly to shore). This dead fish and split BCD must be accompanied with a copy of the ITD.
- c) With regards to BCDs, dead fish shall be allocated to the catching vessel which made the catch, or in the case of JFOs either to participating catching vessels or flags.

Minimum Information for Fishing Authorisations

A. IDENTIFICATION

1. ICCAT registration number
2. Name of fishing vessel
3. External registration number (letters and numbers)

B. FISHING CONDITIONS

1. Date of issue
2. Period of validity
3. Conditions of fishing authorisation, including when appropriate species, zone, fishing gear and any other conditions applicable derived from this recommendation and/or from national legislation.

	From... to...	From... to	From... to	From... to	From... to
Zones					
Species					
Fishing gear					
Other conditions					

**RESOLUTION BY ICCAT ON DEVELOPMENT OF INITIAL MANAGEMENT
OBJECTIVES FOR EASTERN AND WESTERN BLUEFIN TUNA**

RECALLING that one of the main goals of the SCRS Science Strategic Plan 2015-2020 is to evaluate precautionary management reference points and robust harvest control rules (HCRs) through management strategy evaluations (MSE);

ANTICIPATING the transition to using management procedures, which the Commission has recommended for bluefin tuna and other priority stocks to manage fisheries more effectively in the face of identified uncertainties, consistent with the Convention and the *Recommendation by ICCAT on the Principles of Decision Making for ICCAT Conservation and Management Measures* (Rec. 11-13);

CONSIDERING that the Commission intends to complete an MSE for Atlantic bluefin tuna by 2020;

UNDERSTANDING that conceptual objectives are high-level aspirational objectives that verbalize a desired generic goal without including any specifics on a measurable target or timeframe for achievement, while operational objectives are more refined and more specific about measurable targets and the associated likelihood of achieving those targets over determined timeframes. Operational objectives are the key foundational component of any MSE;

SEEKING to advance the development of management procedures, as agreed by the Commission pursuant to the *Recommendation by ICCAT on the Development of Harvest Control Rules and of Management Strategy Evaluation* (Rec. 15-07);

NOTING ICCAT's need to commit to developing operational management objectives for bluefin tuna in 2019;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RESOLVES THAT:

1. Management objectives should be established for Atlantic bluefin tuna. Operational objectives are to be based on the Convention's objective: to maintain populations at levels that will support maximum sustainable catch (usually referred to as MSY).
2. Panel 2 should undertake, preferably during a 2019 intersessional meeting of Panel 2, the development of initial operational management objectives for each stock of bluefin tuna. To facilitate this development, the following candidate management objectives should be considered:
 - a) Stock Status
 - a. The stock should have a greater than [__]% probability of occurring in the green quadrant of the Kobe matrix;
 - b) Safety
 - a. There should be a less than [__]% probability of the stock falling below B_{LIM} (to be defined);
 - c) Yield
 - a. Maximize overall catch levels; and
 - d) Stability
 - a. Any increase or decrease in TAC between management periods should be less than [__]%.

3. In developing initial operational management objectives, the candidate management objectives in paragraph 2 may be rejected, modified, or supplemented, as appropriate. Further, the Panel will need to consider the inclusion of timeframes. Additionally, the quantitative elements within each candidate management objective may be different between the western and eastern Atlantic bluefin tuna stocks.
4. Panel 2 will provide its recommendations for initial management objectives to the Bluefin Tuna MSE Technical Modelling Group and the SCRS Bluefin Tuna Species Group for review and consider any SCRS input before forwarding objectives to the Commission for consideration at its 2019 annual meeting.
5. This resolution will be repealed upon adoption of final operational management objectives for Atlantic bluefin tuna by the Commission.

**RECOMMENDATION BY ICCAT TO REPLACE REC. 15-05 TO FURTHER STRENGTHEN
THE PLAN TO REBUILD BLUE MARLIN AND WHITE MARLIN STOCKS**

RECALLING the 2000 *Recommendation by ICCAT to Establish a Plan to Rebuild Blue Marlin and White Marlin Populations* (Rec. 00-13) from ICCAT to rebuild Atlantic blue marlin and Atlantic white marlin;

FURTHER RECALLING that the *Recommendation by ICCAT to Further Strengthen the Plan to Rebuild Blue Marlin and White Marlin Stocks* (Rec. 12-04) established an annual landings limit for each of these stocks, along with other conservation and management measures designed to address all sources of fishing mortality, as a step toward the establishment of formal rebuilding programs for these stocks;

CONSIDERING that the 2018 SCRS stock assessment indicated that the blue marlin stock is below B_{MSY} (the stock is overfished) and that fishing mortality is above F_{MSY} (overfishing is occurring) and that catch levels of 2000 t or less would end overfishing in 2019 with a 60% probability;

RECOGNIZING that SCRS expressed concern with the significant increase in the contribution from non-industrial fisheries to the total blue marlin harvest, that landings from these fisheries are not fully accounted for in the ICCAT database, and that it is imperative to develop CPUE indices for all fleets that have substantial landings of blue marlin;

TAKING NOTE OF the results of the 2012 white marlin assessment, which indicated that the stock was overfished but most likely not undergoing overfishing, while noting significant uncertainty associated with species composition in the historical time series of catch (white marlin vs. spearfish) and the actual magnitude of the catch due to the underreporting of discards, and acknowledging SCRS advice that, at a minimum, the Commission should limit white marlin catches to less than 400 t;

HIGHLIGHTING that the SCRS indicated that circle hooks can reduce deep hooking and, therefore, increase the post-release survival of marlins in many fisheries while not negatively affecting catch rates of target species, and that the SCRS recommended that the Commission consider this approach;

FURTHER RECALLING the existing obligations of Contracting Parties, non-Contracting Parties, Entities and Fishing Entities (CPCs) to require the collection of discard data in their existing domestic observer and logbook programs under the *Recommendation by ICCAT on Information Collection and Harmonization of Data on By-catch and Discards in ICCAT Fisheries* (Rec. 11-10), and the minimum standards for scientific observer programs established in the *Recommendation by ICCAT to Establish Minimum Standards for Fishing Vessel Scientific Observer Programs* (Rec. 16-14);

COGNIZANT that marlins are caught in industrial, artisanal and recreational fisheries, and that fair and equitable conservation actions are needed to end overfishing and support rebuilding;

THE INTERNATIONAL COMMISSION FOR THE
CONSERVATION OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. An annual limit of 2,000 t for blue marlin and 400 t for white marlin/spearfish is continued for these stocks in 2019. These landings limits are implemented as follows:

<i>Blue Marlin</i>	<i>Landings Limit (t)</i>
Brazil	190
China, P.R.	45
Chinese Taipei	150
Côte d'Ivoire	150
European Union	480
Ghana	250
Japan	390
Korea Rep.	35
Mexico	70
S. Tomé & Príncipe	45
Senegal	60
Trinidad and Tobago	20
Venezuela	100
TOTAL	1,985

<i>White Marlin/Spearfish</i>	<i>Landings Limit (t)</i>
Barbados	10
Brazil	50
Canada	10
China, P.R.	10
Chinese Taipei	50
European Union	50
Côte d'Ivoire	10
Japan	35
Korea Rep.	20
Mexico	25
S. Tome & Principe	20
Trinidad and Tobago	15
Venezuela	50
TOTAL	355

The United States shall limit its landings to 250 recreationally-caught Atlantic blue marlin and white marlin/spearfish combined on an annual basis. All other CPCs shall limit their landings to a maximum of 10 t of Atlantic blue marlin and 2 t of white marlin/spearfish combined.

2. To the extent possible, as the CPC approaches its landings limits, such CPC shall take appropriate measures to ensure that all blue marlin and white marlin/spearfish that are alive by the time of boarding are released in a manner that maximizes their survival. For CPCs that prohibit dead discards, the landings of blue marlin and white marlin/spearfish that are dead when brought alongside the vessel and that are not sold or entered into commerce shall not count against the limits established in paragraph 1, on the condition that such prohibition be clearly explained in their Annual Report.
3. Any unused portion or excess of the annual landing limit established in paragraph 1 may be added to/shall be deducted from, according to the case, the respective landing limit during or before the adjustment year, in the following way:

<i>Catch Year</i>	<i>Adjustment Year</i>
2018	2020
2019	2021

However, the maximum underage that a party may carry over in any given year shall not exceed 10% of its landing limit, for those CPCs whose landing limit is larger than 45 t, or 20% of its landing limit, for those CPCs whose landing limit is lower or equal to 45 t.

4. CPCs shall work to minimize the post-release mortality of marlins/spearfish in their ICCAT fisheries.
5. CPCs with recreational fisheries shall maintain 5% scientific observer coverage of blue marlin and white marlin/spearfish tournament landings.
6. CPCs with recreational fisheries shall adopt domestic regulations that establish minimum sizes in their recreational fisheries that meet or exceed the following lengths: 251 cm LJFL for blue marlin and 168 cm LJFL for white marlin/spearfish, or comparable limits by weight.
7. CPCs shall prohibit the sale, or offering for sale, of any part or whole carcass of blue marlin or white marlin/spearfish caught in recreational fisheries.
8. In their Annual Reports, CPCs shall inform the Commission of steps taken to implement the provisions of this Recommendation through domestic law or regulations, including monitoring, control and surveillance measures.
9. CPCs with non-industrial fisheries shall provide information about their data collection programs in their Annual Reports, and the SCRS shall continue to review and evaluate this information as a basis for developing recommendations to improve or expand these programs, including through capacity building.
10. CPCs shall provide their estimates of live and dead discards, and all available data including observer data on landings and discards for blue marlin, white marlin/spearfish, annually by July 31 as part of their Task I and II data submission to support the stock assessment process. The SCRS shall review the data and determine the feasibility of estimating fishing mortalities by commercial fisheries (including longline and purse seine), recreational fisheries and artisanal fisheries. The SCRS shall also develop a new data collection initiative as part of the ICCAT Enhanced Program for Billfish Research to overcome the data gap issues of those fisheries, in particular artisanal fisheries of developing CPCs, and shall recommend the initiative to the Commission for its approval in 2019.
11. The Secretariat, with support from the Commission and the SCRS, shall continue its review of the relevant work conducted by the regional and sub-regional international organizations, similar to the review conducted for West Africa, with a priority focus on the Caribbean and Latin America.
12. Taking into account the findings of these regional reviews, the CPCs shall take action, as appropriate, to improve data collection and reporting programs in accordance with any SCRS advice in preparation for the white marlin/spearfish stock assessment in 2019 and the next blue marlin stock assessment.
13. At its next assessments of blue marlin and white marlin/spearfish stocks, the SCRS shall evaluate progress toward the goals of the rebuilding programs for blue marlin and white marlin/spearfish.
14. This Recommendation repeals and replaces the *Recommendation by ICCAT to Further Strengthen the Plan to Rebuild Blue Marlin and White Marlin Stocks* (Rec. 15-05) and repeals the *Recommendation by ICCAT Amending Recommendation Rec. 15-05 by ICCAT to Further Strengthen the Plan to Rebuild Blue Marlin and White Marlin Stocks* (Rec. 16-10).

**RECOMMENDATION BY ICCAT ON IMPROVEMENT OF
COMPLIANCE REVIEW OF CONSERVATION AND MANAGEMENT MEASURES
REGARDING BILLFISH CAUGHT IN THE ICCAT CONVENTION AREA**

RECALLING that pursuant to *Recommendation by ICCAT to Replace Rec. 15-05 to Further Strengthen the Plan to Rebuild Blue Marlin and White Marlin Stocks* (Rec. 18-04) and *Recommendation by ICCAT on Management Measures for the Conservation of Atlantic Sailfish* (Rec. 16-11), CPCs are required to report through their Annual Reports on their implementation of the requirements of these measures;

FURTHER RECALLING that the Report of the Second Independent Performance Review recommended that the Commission prioritize the issue of poor reporting on the blue and white marlin stocks, and that the Compliance Committee at its 2017 meeting recommended that in order to improve compliance in the billfish fisheries, a reporting check sheet be developed for consideration for adoption at the 2018 Annual meeting;

RECOGNIZING the need to improve the means to facilitate the review process of implementation of and compliance with billfish conservation and management measures, while reducing the reporting burden on CPCs;

DESIRING to streamline ICCAT reporting requirements, including by eliminating redundancies.

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. All CPCs shall submit to the ICCAT Secretariat, with their Annual Reports, details of their implementation of and compliance with billfish conservation and management measures using the check sheet in **Annex 1**, as may be revised by the ICCAT Secretariat in consultation with the COC and PA4 Chairs to reflect new billfish measures adopted by the Commission.
2. If there are no changes from the previous year in a CPC's implementation of ICCAT billfish measures covered by the check sheet in **Annex 1** and no additional reporting fields have been included to reflect new billfish measures, the CPC shall not be required to submit a billfish check sheet, provided that it confirms in its Annual Report that there are no changes. If there are changes from the previous year in a CPC's implementation, or additional reporting fields have been included in the billfish check sheet to reflect new billfish measures, the CPC shall only be required to submit such updates or responses to new reporting fields with their Annual Reports. However, CPCs shall submit updated billfish check sheets in full in the years when the Compliance Committee is scheduled to prioritize review of the billfish check sheets in accordance with paragraph 4.
3. CPCs may be exempt from the submission of the check sheet when vessels flying their flag are not likely to catch any billfish species covered by the Recommendations covered by the check sheet, on the condition that the concerned CPCs obtained a confirmation by the Billfish Species Group through necessary data submitted by CPCs for this purpose.
4. The Compliance Committee meeting shall prioritize review of CPC billfish check sheets at its 2020 Annual meeting. Future review will occur on an ICCAT meeting cycle as determined by the Committee, without prejudice to the competency of the Committee to consider billfish measure implementation issues at annual meetings during other years as appropriate.

Billfish Check Sheet

Name of CPC: _____

Note: Each ICCAT requirement must be implemented in a legally binding manner. Just requesting fishermen to implement measures should not be regarded as implementation.

Rec. #	Para #	Requirement	Status of implementation	Relevant domestic laws or regulations (as applicable, include text, references, or links where this information is codified)	Notes/explanations
18-04	1	Landings limits – <i>Blue marlin landings limits</i> . Para. 1 establishes CPC-specific landing limits for certain CPCs and a generally applicable landing limit for all other CPCs. Were your CPC's total landings (from all fisheries, including commercial, recreational, sport, artisanal, subsistence) for blue marlin within the applicable limit in paragraph 1 or (or in the case of CPCs with a specific landings limit), within that CPC's adjusted landings limit on the relevant marlin compliance table?	Yes or No		If No, please indicate total landings and explain steps being taken to ensure landings do not exceed the ICCAT limit or adjusted limit applicable to the CPC (N/A is not a permissible response).
18-04	1	<i>White marlin/spearfish combined landings limits</i> . Para. 1 establishes CPC-specific landings limits for certain CPCs and a generally applicable landing limit for all other CPCs. Were your CPC's total landings (from all fisheries, including commercial, recreational, sport, artisanal, subsistence) for white marlin/spearfish (combined) within the applicable limit in paragraph 1 or (or in the case of CPCs with a specific landings limit, within that CPC's adjusted landings limit on the relevant marlin compliance table)?	Yes or No		If No, please indicate total landings and explain steps being taken to ensure landings do not exceed the ICCAT limit or adjusted limit applicable to the CPC (N/A is not a permissible response).
18-04	2	"To the extent possible, as the CPC approaches its landings limits, such CPC shall take appropriate measures to ensure that all blue marlin and white marlin/spearfish that are alive by the time of boarding are	Yes or No or N/A (Not applicable)		If "No" or "N/A", explain the reason. If "No", please explain any steps your CPC plans to implement this requirement.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations (as applicable, include text, references, or links where this information is codified)</i>	<i>Notes/explanations</i>
		released in a manner that maximizes their survival.”			(N/A is only a permissible response if your CPC did not approach its landings limit, which includes CPCs without a specific landings limit and therefore subject to the generally applicable limit in para. 1).
18-04	2	<p>“For CPCs that prohibit dead discards, the landings of blue marlin and white marlin/spearfish that are dead when brought alongside the vessel and that are not sold or entered into commerce shall not count against the limits established in paragraph 1, on the condition that such prohibition be clearly explained.”</p> <p>Does your CPC prohibit dead discard of blue marlin and white marlin/spearfish?</p>	Yes or No		If “Yes”, please also explain your dead discard prohibition and rules concerning sale/entry into commerce here (N/A is not a permissible response).
18-04	4	<p>“CPCs shall work to minimize the post-release mortality of marlins/spearfish”</p>	Yes or No		If “No”, please explain the reason. If Yes, please explain how. Include any information on best practices for handling bycatch of marlins if those have been adopted (N/A is not a permissible response).
18-04	5-7	<p>Does the CPC have recreational fisheries that interact with blue marlin or white marlin/spearfish?</p>	Yes or No		(N/A is not a permissible response).
18-04	5	<p>“CPCs with recreational fisheries shall maintain 5% scientific observer coverage of blue marlin and white marlin/spearfish tournament landings”</p> <p>Does your CPC meet the 5% requirement?</p>	Yes or No or N/A (Not applicable)		<p>If “No” or “N/A”, explain the reason.</p> <p>If “No”, please also explain any steps your CPC plans to implement this requirement.</p> <p>(“N/A” only a permissible response if your CPC has confirmed in this check sheet that it does not have any recreational fisheries that interact</p>

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations (as applicable, include text, references, or links where this information is codified)</i>	<i>Notes/explanations</i>
					with blue marlin or white marlin/spearfish).
18-04	6	<p>“CPCs with recreational fisheries shall adopt domestic regulations that establish minimum sizes in their recreational fisheries that meet or exceed the following lengths: 251 cm LJFL for blue marlin and 168 cm LJFL for white marlin/spearfish, or comparable limits by weight.</p> <p>Has your CPC adopted minimum size requirements consistent with these?</p>	Yes or No or N/A (Not applicable)		<p>If “Yes”, please indicate what minimum size your CPC has set for each species, including if your CPC implements through a comparable weight limit.</p> <p>If “No” or “N/A”, explain the reason.</p> <p>If “No”, please also explain any steps your CPC plans to implement this requirement.</p> <p>(“N/A” is only a permissible response if your CPC has confirmed in this check sheet that it does not have any recreational fisheries that interact with blue marlin or white marlin/spearfish).</p>
18-04	7	<p>“CPCs shall prohibit the sale, or offering for sale, of any part or whole carcass of blue marlin or white marlin/spearfish caught in recreational fisheries.”</p> <p>Has your CPC implemented this no sale provision?</p>	Yes or No or N/A (Not applicable)		<p>If “No” or “N/A”, please explain the reason.</p> <p>If “No”, please also explain any steps your CPC plans to implement this requirement.</p> <p>(“N/A” may only be used if the CPC has confirmed in this check sheet that it does not have any recreational fisheries that interact with blue marlin or white marlin/spearfish).</p>
18-04	8	<p>“CPCs shall inform the Commission of steps taken to implement the provisions of this Recommendation through domestic law or regulations, including monitoring, control and surveillance measures.”</p> <p>Does your CPC provide this information to ICCAT?</p>	Yes or No		<p>If “Yes”, please provide here information on implementation (including monitoring, control, and surveillance measures) not otherwise covered elsewhere on this check sheet.</p>

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations (as applicable, include text, references, or links where this information is codified)</i>	<i>Notes/explanations</i>
					If "No", please explain the reason, and any steps your CPC plans to implement this requirement.
18-04	9	Does your CPC have non-industrial fisheries that interact with blue marlin or white marlin/spearfish?	Yes or No		"N/A" is not a permissible response.
18-04	9	"CPCs with non-industrial fisheries shall provide information about their data collection programs."	Yes or No or N/A (Not applicable)		If "Yes", please briefly describe the data collection program. If "No" or "N/A", explain the reason. If "No", please also explain any steps your CPC plans to implement this requirement. ("N/A" may only be used if the CPC has confirmed in this check sheet that it does not have any non-industrial fisheries that interact with blue marlin or white marlin/spearfish).
18-04	10	"CPCs shall provide their estimates of live and dead discards, and all available data including observer data on landings and discards for blue marlin, white marlin/spearfish, annually by July 31 as part of their Task I and II data submission to support the stock assessment process." Has your CPC provided this data by the deadline?	Yes or No		If "No", please explain the reason and any steps your CPC plans to implement this requirement.
16-11	1	"Contracting Parties and Cooperating non-Contracting Parties, Entities or Fishing Entities (CPCs) whose vessels catch Atlantic sailfish (<i>Istiophorus albicans</i>) in the Convention Area shall ensure that management measures are in place to support the conservation of this species in line with ICCAT's Convention objective by undertaking the	Yes or No		If "Yes", please explain management measures taken or maintained to implement this requirement. If "No", explain the reason, and any steps your CPC plans to implement this requirement.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implemen- tation</i>	<i>Relevant domestic laws or regulations (as applicable, include text, references, or links where this information is codified)</i>	<i>Notes/explanations</i>
		following: (b) To prevent catches from exceeding this level for either stock of sailfish, CPCs shall take or maintain appropriate measures to limit sailfish mortality. Such measures could include, for example: releasing live sailfish, encouraging or requiring the use of circle hooks or other effective gear modifications, implementing a minimum size, and/or limiting days at sea.”			(“N/A” is not a permissible response).
16-11	2	“CPCs shall enhance their efforts to collect data on catches of sailfish, including live and dead discards, and report these data annually as part of their Task I and II data submission to support the stock assessment process.” Has your CPC enhanced its data collection efforts as required?	Yes or No		If yes, please explain actions taken. If “No”, please explain the reason (and any implementation steps your CPC plans to take). (“N/A” is not a permissible response).
16-11	3	CPCs shall describe their data collection programmes and steps taken to implement this Recommendation Has your CPC described its data collection programmes?	Yes or No		If “Yes”, please provide the information here, or if the information has been reported to ICCAT through means other than this check sheet, please indicate where. If “No” the reason, and any implementation steps the CPC plans to take. (“N/A” is not a permissible response).

**RECOMMENDATION BY ICCAT TO REPLACE RECOMMENDATION 16-13 ON
IMPROVEMENT OF COMPLIANCE REVIEW OF CONSERVATION AND MANAGEMENT
MEASURES REGARDING SHARKS CAUGHT IN ASSOCIATION WITH ICCAT FISHERIES**

RECALLING that ICCAT has adopted several recommendations for sharks, either in a general or species-specific manner, in accordance with an ecosystem approach;

FURTHER RECALLING *Recommendation by ICCAT on Compliance with Existing Measures on Shark Conservation and Management* (Rec. 12-05) and *Recommendation by ICCAT on Improvement of Compliance Review of Conservation and Management Measures regarding Sharks Caught in Association with ICCAT Fisheries* (Rec. 16-13), which required CPCs to report on their implementation of and compliance with the shark conservation and management measures;

RECOGNIZING the need to improve the means to facilitate review process of implementation of and compliance with the shark conservation and management measures, while minimizing the reporting burden on CPCs;

DESIRING to streamline ICCAT reporting requirements, including by eliminating redundancies;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. All CPCs shall submit to the ICCAT Secretariat, with their Annual Reports, details of their implementation of and compliance with shark conservation and management measures using the check sheet in **Annex 1**, as may be revised by the ICCAT Secretariat in consultation with the COC and PA4 Chairs to reflect new shark measures adopted by the Commission.
2. If there are no changes from the previous year in a CPC's implementation of ICCAT shark measures covered by the check sheet in **Annex 1** and no additional reporting fields have been included to reflect new shark measures, the CPC shall not be required to submit a shark check sheet, provided that it affirms in its Annual Report that there are no changes. If there are changes from the previous year in a CPC's implementation, or the additional reporting fields have been included in the shark check sheet to reflect new shark measures, the CPC shall only be required to submit such updates regarding implementation or responses to new reporting fields with their Annual Reports. However, CPCs shall submit updated shark check sheets in full in the years when the Compliance Committee is scheduled to prioritize review of the shark check sheets in accordance with paragraph 4.
3. CPCs may be exempt from the submission of the check sheet when vessels flying their flag are not likely to catch any sharks species covered by the abovementioned Recommendations in paragraph 1, on the condition that the concerned CPCs obtained a confirmation by the Shark Species Group through necessary data submitted by CPCs for this purpose.
4. The Compliance Committee meeting shall prioritize review of CPC shark check sheets on an ICCAT meeting cycle as determined by the Committee, without prejudice to the competency of the Committee to consider shark measure implementation issues at annual meetings during other years as appropriate.
5. This Recommendation repeals the *Recommendation by ICCAT on Compliance with Existing Measures on Shark Conservation and Management* (Rec. 12-05) and the *Recommendation by ICCAT on Improvement of Compliance Review of Conservation and Management Measures regarding Sharks Caught in Association with ICCAT Fisheries* (Rec. 16-13).

Shark Implementation Check Sheet

Name of CPC: _____

Note: Each ICCAT requirement must be implemented in a legally binding manner. Just requesting fishermen to implement measures should not be regarded as implementation.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
04-10	1	Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities (CPCs) shall annually report Task I and Task II data for catches of sharks, in accordance with ICCAT data reporting procedures, including available historical data	Yes or No or N/A (Not applicable)		If "No" or "N/A", explain the reason. N/A is only permitted in the case of CPCs that have confirmed to the Secretariat that there was no relevant shark catch, in accordance with procedures implementing Rec. 11-15.
	2	CPCs shall take the necessary measures to require that their fishermen fully utilize their entire catches of sharks. Full utilization is defined as retention by the fishing vessel of all parts of the shark excepting head, guts and skins, to the point of first landing	Yes or No or N/A		If "Yes", explain the details of the measures, including ways to monitor the compliance. If "No" or "N/A", explain the reason.
	3	(1) CPCs shall require their vessels to not have onboard fins that total more than 5% of the weight of sharks onboard, up to the first point of landing	Yes or No or N/A		If "Yes", explain ways to monitor the compliance. If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
		(2) CPCs that currently do not require fins and carcasses to be offloaded together at the point of first landing shall take the necessary measures to ensure compliance with the 5% ratio through certification, monitoring by an observer, or other appropriate measures	Yes or No or N/A		If "Yes", explain the details of the measures, including ways to monitor the compliance. If "No" or "N/A", explain the reason.
	5	Fishing vessels are prohibited from retaining on board, transshipping or landing any fins harvested in contravention of this Recommendation	Yes or No or N/A		If "Yes", explain ways to monitor the compliance. If "No" or "N/A", explain the reason.
07-06	1	Contracting Parties, Cooperating non-Contracting Parties, Entities and Fishing Entities (hereinafter referred to as CPCs), especially those directing fishing activities for sharks, shall submit Task I and II data for sharks, as required by ICCAT data reporting procedures (including estimates of dead discards and size frequencies) in advance of the next SCRS assessment	Yes or No or N/A		If "No" or "N/A", explain the reason.

Rec. #	Para #	Requirement	Status of implementation	Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)	Note
	2	Until such time as sustainable levels of harvest can be determined through peer reviewed stock assessments by SCRS or other organizations, CPCs shall take appropriate measures to reduce fishing mortality in fisheries targeting porbeagle (<i>Lamna nasus</i>) and North Atlantic shortfin mako sharks (<i>Isurus oxyrinchus</i>)	Yes or No or N/A		If "Yes", explain the details of the measures, including ways to monitor the compliance. If "No" or "N/A", explain the reason.
09-07	1	Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) shall prohibit, retaining onboard, transshipping, landing, storing, selling, or offering for sale any part or whole carcass of bigeye thresher sharks (<i>Alopias superciliosus</i>) in any fishery with exception of a Mexican small-scale coastal fishery with a catch of less than 110 fish	Yes or No or N/A		If "Yes", explain ways to monitor the compliance. If "No" or "N/A", explain the reason.
	2	CPCs shall require vessels flying their flag to promptly release unharmed, to the extent practicable, bigeye thresher sharks when brought	Yes or No or N/A		If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
		along side for taking on board the vessel			
	4	CPCs shall require the collection and submission of Task I and Task II data for <i>Alopias</i> spp other than <i>A. superciliosus</i> in accordance with ICCAT data reporting requirements. The number of discards and releases of <i>A. superciliosus</i> must be recorded with indication of status (dead or alive) and reported to ICCAT in accordance with ICCAT data reporting requirements	Yes or No or N/A		If "No" or "N/A", explain the reason.
10-06	1	CPCs shall include information in their 2012 Annual Reports on actions taken to implement Recommendations 04-10, 05-05, and 07-06, in particular the steps taken to improve their Task I and Task II data collection for direct and incidental catches	Yes or No or N/A		If "No" or "N/A", explain the reason.
10-07	1	Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) shall prohibit retaining onboard,	Yes or No or N/A		If "Yes", explain ways to monitor the compliance. If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
		transshipping, landing, storing, selling, or offering for sale any part or whole carcass of oceanic whitetip sharks in any fishery			
	2	CPCs shall record through their observer programs the number of discards and releases of oceanic whitetip sharks with indication of status (dead or alive) and report it to ICCAT	Yes or No or N/A		If "No" or "N/A", explain the reason.
10-08	1	Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) shall prohibit retaining onboard, transshipping, landing, storing, selling, or offering for sale any part or whole carcass of hammerhead sharks of the family Sphyrnidae (except for the <i>Sphyrna tiburo</i>), taken in the Convention area in association with ICCAT fisheries	Yes or No or N/A		If "Yes", explain ways to monitor the compliance. If "No" or "N/A", explain the reason.
	2	CPCs shall require vessels flying their flag, to promptly release unharmed, to the extent practicable, hammerhead sharks when	Yes or No or N/A		If "No" or "N/A", explain the reason.

Rec. #	Para #	Requirement	Status of implementation	Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)	Note
		brought alongside the vessel			
	3	(1) Hammerhead sharks that are caught by developing coastal CPCs for local consumption are exempted from the measures established in paragraphs 1 and 2, provided these CPCs submit Task I and, if possible, Task II data according to the reporting procedures established by the SCRS. If it is not possible to provide catch data by species, they shall be provided at least by genus <i>Sphyrna</i>	Yes or No or N/A		If "No" or "N/A", explain the reason.
		(2) Developing coastal CPCs exempted from this prohibition pursuant to this paragraph should endeavor not to increase their catches of hammerhead sharks. Such CPCs shall take necessary measures to ensure that hammerhead sharks of the family Sphyrnidae (except of <i>Sphyrna tiburo</i>) will not enter international trade and shall notify the Commission of such measures	Yes or No or N/A		If "Yes", explain the details of the measures, including ways to monitor the compliance. If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
	4	CPCs shall require that the number of discards and releases of hammerhead sharks are recorded with indication of status (dead or alive) and reported to ICCAT in accordance with ICCAT data reporting requirements	Yes or No or N/A		If "No" or "N/A", explain the reason.
11-08	1	Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) shall require fishing vessels flying their flag and operating in ICCAT managed fisheries to release all silky sharks whether dead or alive, and prohibit retaining on board, transshipping, or landing any part or whole carcass of silky shark	Yes or No or N/A		If "Yes", explain ways to monitor the compliance. If "No" or "N/A", explain the reason.
	2	CPCs shall require vessels flying their flag to promptly release silky sharks unharmed, at the latest before putting the catch into the fish holds, giving due consideration to the safety of crew members. Purse seine vessels engaged in ICCAT fisheries shall endeavor to take additional measures to	Yes or No or N/A		If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
		increase the survival rate of silky sharks incidentally caught			
	3	CPCs shall record through their observer programs the number of discards and releases of silky sharks with indication of status (dead or alive) and report it to ICCAT	Yes or No or N/A		If "No" or "N/A", explain the reason.
	4	(1) Silky sharks that are caught by developing coastal CPCs for local consumption are exempted from the measures established in paragraphs 1 and 2, provided these CPCs submit Task I and, if possible, Task II data according to the reporting procedures established by the SCRS. CPCs that have not reported species-specific shark data shall provide a plan by July 1, 2012, for improving their data collection for sharks on a species specific level for review by the SCRS and Commission.	Yes or No or N/A		If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
		(2) Developing coastal CPCs exempted from the prohibition pursuant to this paragraph shall not increase their catches of silky sharks. Such CPCs shall take necessary measures to ensure that silky sharks will not enter international trade and shall notify the Commission of such measures	Yes or No or N/A		If "Yes", explain the details of the measures, including ways to monitor the compliance. If "No" or "N/A", explain the reason.
	6	The prohibition on retention in paragraph 1 does not apply to CPCs whose domestic law requires that all dead fish be landed, that the fishermen cannot draw any commercial profit from such fish and that includes a prohibition against silky shark fisheries	Applicable or N/A		
11-15	1	CPCs shall include information in their Annual Reports on actions taken to implement their reporting obligations for all ICCAT fisheries, including shark species caught in association with ICCAT fisheries, in particular the steps taken to improve their Task I and Task II data collection for	Yes or No or N/A		If "Yes", explain the details of the actions. If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
		direct and incidental catches			
14-06	1	CPCs shall improve their catch reporting systems to ensure the reporting of shortfin mako catch and effort data to ICCAT in full accordance with the ICCAT requirements for provision of Task I and Task II catch, effort and size data	Yes or No or N/A		If "No" or "N/A", explain the reason.
	2	CPCs shall include in their annual reports to ICCAT information on the actions they have taken domestically to monitor catches and to conserve and manage shortfin mako sharks	Yes or No or N/A		If "No" or "N/A", explain the reason.
15-06	1	Contracting Parties, and Cooperating non-Contracting Parties, Entities or Fishing Entities (hereafter referred to as CPCs) shall require their vessels to promptly release unharmed, to the extent practicable, porbeagle sharks caught in association with ICCAT fisheries when brought alive alongside for taking on board the vessel.	Yes or No or N/A		If "No" or "N/A", explain the reason.

<i>Rec. #</i>	<i>Para #</i>	<i>Requirement</i>	<i>Status of implementation</i>	<i>Relevant domestic laws or regulations, as applicable (include text, references, or links to where this information is codified)</i>	<i>Note</i>
	2	CPCs shall ensure the collection of Task I and Task II data for porbeagle sharks and their submission in accordance with ICCAT data reporting requirements. Discards and releases of porbeagle sharks shall be recorded with indication of status (dead or alive) and reported to ICCAT in accordance with ICCAT data reporting requirements.	Yes or No or N/A		If "No" or "N/A", explain the reason.

**RECOMMENDATION BY ICCAT TO AMEND ICCAT REPORTING DEADLINES IN
ORDER TO FACILITATE AN EFFECTIVE AND EFFICIENT COMPLIANCE PROCESS**

RECOGNIZING the substantial amount of information that must be reviewed and analyzed to prepare for meetings of the Compliance Committee (COC);

NOTING that in 2016, ICCAT adopted *Resolution by ICCAT to Facilitate an Effective and Efficient Compliance Process* (Res. 16-22), which mandates the COC Chair and Secretariat staff to review reports, prepare compliance summary tables, and aim to circulate the tables to the Commission three weeks prior to the Commission meeting;

CONCERNED that the October 1 deadline to submit complete Annual Reports established in *Recommendation 16-16* does not allow sufficient time to the Secretariat to process the reports, seek corrections from CPCs, compile the reports, and for the COC Chair and Secretariat to then sufficiently review all Annual Reports and other relevant documents and develop and circulate the first draft of the Compliance Summary tables by the deadline set forth in Resolution 16-22;

FURTHER NOTING that the separate deadlines for Part I and Part II of the reports results in administrative delays for the Secretariat, which in turn delays the review of those reports under Resolution 16-22;

CONSIDERING that an earlier and single submission date for the entire Annual Report (both Parts I and II) and other reports that contain information relevant to assessing CPC compliance will afford a more timely review of this information and in turn provide CPCs with more time to develop responses to issues raised regarding their compliance with ICCAT requirements;

FURTHER NOTING that a change in the Annual Report deadline necessitates changes to deadlines of certain other reports, as the Annual Report requires CPCs to report on their submission of these other reports;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. The first paragraph of Paragraph 2 of the *Revised Guidelines for the Preparation of Annual Reports* (Ref. 12-13), shall be replaced with the following text:

“Complete Annual Reports, comprising Part I and Part II, should be submitted by September 15 of each year, unless the SCRS meeting takes place prior to September 22, in which case Part I should be submitted to the SCRS one week before the start of the SCRS Plenary Session (i.e., by 9:00 am on the first day of the Species Groups meetings), as notified to the Commission by the Secretariat.”

2. Deadlines in the following ICCAT instruments shall be amended to September 15, as follows:

- a) *Recommendation by ICCAT Concerning the ICCAT Bigeye Tuna Statistical Document Program* (Rec. 01-21), paragraph 5, (data from the Bigeye Tuna Statistical Document, first semester currently due 1 October).
- b) *Recommendation by ICCAT Establishing a Swordfish Statistical Document Program* (Rec. 01-22), paragraph 5, (data from the Swordfish Statistical Document, first semester currently due 1 October).

3. The Secretariat shall make these modifications in the published versions of the instruments amended by paragraphs 1 and 2 of this Recommendation.

4. In accordance with Recommendation 16-16, the Secretariat shall modify paragraph 1 of Recommendation 11-11 to reflect the August 15 deadline for compliance reporting tables established in Rec. 16-13 and remove Recommendation 16-16 from the Compendium.

**RECOMMENDATION BY ICCAT ON ESTABLISHING A LIST OF VESSELS PRESUMED TO HAVE
CARRIED OUT ILLEGAL, UNREPORTED AND UNREGULATED FISHING ACTIVITIES**

RECALLING that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, to deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out Illegal Unregulated and Unreported (IUU) activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way,

CONCERNED by the fact that IUU fishing activities in the ICCAT area continue, and these activities diminish the effectiveness of ICCAT conservation and management measures,

FURTHER CONCERNED that there is evidence of a large number of vessel owners engaged in such fishing activities which have re-flagged their vessels to avoid compliance with ICCAT management and conservation measures, and to evade the ICCAT-adopted non-discriminatory trade measures,

DETERMINED to address the challenge of an increase in IUU fishing activities by way of counter-measures to be applied in respect to the vessels, without prejudice to further measures adopted in respect of flag States under the relevant ICCAT instruments,

CONSIDERING the results of the ICCAT *Ad Hoc* Working Group on Measures to Combat IUU Fishing, which was held in Tokyo from 27 to 31 May 2002,

CONSCIOUS of the urgent need to address the issue of large-scale fishing vessels as well as other vessels conducting IUU fishing and fishing related activities in support of IUU fishing,

NOTING that the situation must be addressed in the light of all relevant international fisheries instruments and in accordance with the relevant rights and obligations established in the World Trade Organization (WTO) Agreement, and

DESIRING to streamline and improve IUU listing procedures and requirements in previous ICCAT recommendations and resolutions.

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF THE ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

Definition of IUU activities

1. For the purposes of this Recommendation, vessels flying the flag of a Contracting Party or a Cooperating non-Contracting Party, Entity or Fishing Entity (hereinafter referred to as CPC), or a non-CPC, are presumed to have carried out illegal, unreported and unregulated (IUU) fishing activities in the ICCAT Convention area, *inter alia*, when a CPC presents evidence that such vessels:
 - a) Harvest tuna and tuna-like species in the Convention area and are not registered on the relevant ICCAT list of vessels authorized to fish for tuna and tuna-like species in the ICCAT Convention area;
 - b) Harvest tuna and tuna-like species in the Convention area, and the vessel's flag State is without quota, catch limit or effort allocation under relevant ICCAT conservation and management measures;
 - c) Do not record or report their catches made in the ICCAT Convention area, or make false reports;
 - d) Take or land undersized fish in contravention of ICCAT conservation measures;
 - e) Fish during closed fishing periods or in closed areas in contravention of ICCAT conservation measures;
 - f) Use prohibited fishing gear or fishing methods in contravention of ICCAT conservation measures;

- g) Transship or participate in other operations, such as re-supplying or re-fueling, with vessels included in the IUU vessels list;
- h) Harvest tuna or tuna-like species in the waters under the national jurisdiction of the coastal States in the Convention area without authorization or infringe on that State's laws and regulations, without prejudice to the sovereign rights of coastal States to take measures against such vessels;
- i) Are without nationality and harvest tuna or tuna-like species in the ICCAT Convention area, and/or
- j) Engage in fishing or fishing related activities contrary to any other ICCAT conservation and management measures.

Information on alleged IUU activities

2. CPCs shall transmit every year to the Executive Secretary, at least 70 days before the annual meeting, information on any vessels presumed to be carrying out IUU fishing activities within the last three years, accompanied by all available supporting evidence concerning the presumption of IUU fishing activity and vessel identification information.

This information on vessels shall be based on the information collected by CPCs, *inter alia*, under relevant ICCAT recommendations and resolutions. CPCs shall submit available information on the vessel and the IUU fishing activity in the format attached as **Addendum 1** of this Recommendation.

Upon receipt of such information, the Executive Secretary shall promptly send this information to all CPCs and to any non-CPC concerned and request that, where appropriate, CPCs and any such non-CPC investigate the alleged IUU activity and/or monitor the vessels.

The Executive Secretary shall request the flag State to notify the owner of the vessel regarding the CPC's submission of the vessel for its inclusion in the Draft IUU List and of the consequences that may result if they are included on the Final IUU Vessel List adopted by the Commission.

Development of Draft IUU List

3. On the basis of the information received pursuant to paragraph 2, the ICCAT Executive Secretary shall draw up a Draft IUU List in conformity with **Addendum 2**. The ICCAT Executive Secretary shall transmit the Draft IUU List, together with all the information provided, to all CPCs, and to non-CPCs whose vessels are included on these lists, at least 55 days before the annual meeting. CPCs and non-CPCs shall transmit any comments, including any evidence showing that the listed vessels did not engage in any activity described in paragraph 1, or any actions taken to address such activity, at least 30 days before the annual meeting of ICCAT.

Upon receipt of the Draft IUU List, CPCs shall closely monitor the vessels on that List and shall promptly submit to the Secretariat any information they may have related to the vessels' activities and possible changes of name, flag, call sign or registered owner.

Development and adoption of Final IUU List

4. Two weeks in advance of the ICCAT annual meeting, the Executive Secretary shall recirculate to the CPCs and non-CPCs concerned the Draft IUU List, all information received pursuant to paragraphs 2 and 3, and any other information obtained by the Executive Secretary.
5. CPCs may at any time, and preferably before the annual meeting, submit to the Executive Secretary any additional information that might be relevant for the establishment of the Final ICCAT IUU Vessel List. The ICCAT Executive Secretary shall promptly circulate any such additional information to all CPCs and to the non-CPCs concerned.
6. The Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG) shall examine, each year, the Draft IUU List, as well as the information referred to in paragraphs 2, 3, 4, and 5. The results of this examination may, if necessary, be referred to the Conservation and Management Measures Compliance Committee.

The PWG shall propose to remove a vessel from the Draft IUU List if it determines that:

- a) The vessel did not take part in any IUU fishing activities described in paragraph 1, or
 - b)
 - i) The flag CPC or non-CPC has adopted measures so that this vessel conforms with ICCAT conservation measures, and
 - ii) The flag CPC or non-CPC has and will continue to assume effectively its responsibilities with respect to this vessel in particular as regards the monitoring and control of the fishing activities executed by this vessel in the ICCAT Convention area, and
 - iii) Effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution and imposition of sanctions of adequate severity, or
 - c) The vessel has changed ownership and that the new owner can establish the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it and that the new owner has not participated in IUU fishing.
7. Following the examination referred to in paragraph 6, at each ICCAT annual meeting, the PWG shall develop a Proposed IUU Vessel List, noting which, if any, vessels are proposed for removal from the ICCAT IUU Vessel List adopted at the previous annual meeting and the reasons therefor, and submit it to the Commission for adoption as the Final ICCAT IUU Vessel List.

Actions following adoption of Final IUU Vessel List

8. On adoption of the Final IUU Vessel List, the Executive Secretary shall request CPCs and non-CPCs whose vessels appear on the Final ICCAT IUU Vessel List to:
- notify the owner of the vessel identified on the Final IUU Vessel List of its inclusion on the list and the consequences which result from being included on the list, as referred to in paragraph 9;
 - take all the necessary measures to eliminate these IUU fishing activities, including if necessary, the withdrawal of the registration or of the fishing licenses of these vessels, and to inform the Commission of the measures taken in this respect.
9. CPCs shall take all necessary measures, under their applicable legislation to:
- ensure that the fishing vessels, support vessels, refuelling vessels, the mother-ships and the cargo vessels flying their flag do not assist in any way, engage in fishing processing operations or participate in any transshipment or joint fishing operations with vessels included on the IUU Vessels List;
 - ensure that IUU vessels are not authorized to land, tranship re-fuel, re-supply, or engage in other commercial transactions; prohibit the entry into their ports of vessels included on the IUU list, except in case of *force majeure*, unless vessels are allowed entry into port for the exclusive purpose of inspection and effective enforcement action;
 - ensure the inspection of vessels on the IUU list, if such vessels are otherwise found in their ports, to the extent practicable;
 - prohibit the chartering of a vessel included on the IUU vessels list;
 - refuse to grant their flag to vessels included in the IUU list, except if the vessel has changed owner and the new owner has provided sufficient evidence demonstrating the previous owner or operator has no further legal, beneficial or financial interest in, or control of, the vessel, or having taken into account all relevant facts, the flag CPC determines that granting the vessel its flag will not result in IUU fishing;
 - prohibit the import, or landing and/or transshipment, of tuna and tuna-like species from vessels included in the IUU list;

- encourage the importers, transporters and other sectors concerned, to refrain from transaction and transshipment of tuna and tuna-like species caught by vessels included in the IUU list;
 - collect and exchange with other CPCs any appropriate information with the aim of searching for, controlling and preventing false documentation (including import/export certificates) regarding tunas and tuna-like species from vessels included in the IUU list; and
 - monitor vessels included in the IUU list and promptly submit any information to the Executive Secretary related to their activities and possible changes of name, flag, call sign and/or registered owner.
10. The Executive Secretary will ensure publicity of the Final IUU Vessel List adopted by ICCAT pursuant to paragraph 8, in a manner consistent with any applicable confidentiality requirements, and through electronic means, by placing it, along with any additional supporting information on the vessels and IUU activities, on a dedicated portion of the ICCAT website, to be updated as information changes or additional relevant information becomes available. Furthermore, the ICCAT Executive Secretary will transmit the Final IUU Vessel List and supporting information on newly added vessels promptly to other RFMOs for the purposes of enhanced co-operation between ICCAT and these organizations in order to prevent, deter and eliminate IUU fishing.

Intersessional modification of ICCAT's Final IUU Vessel List

Incorporation of IUU Vessel Lists of other RFMOs

11. Upon receipt of the final IUU vessel list established by another RFMO¹ and supporting information considered by that RFMO, and any other information regarding the listing determination, such as relevant sections of the RFMO's meeting report, the Executive Secretary shall circulate this information to the CPCs and to any relevant non-CPC. Vessels that have been included on the respective lists shall be included on the Final ICCAT IUU Vessel List, unless any Contracting Party objects to the inclusion on the Final ICCAT IUU List within 30 days of the date of transmittal by the Executive Secretary on the grounds that:
- a) there is satisfactory information to establish that:
 - i. The vessel did not engage in the IUU fishing activities identified by the other RFMO, or
 - ii. That effective action has been taken in response to the IUU fishing activities in question, including, *inter alia*, prosecution, and imposition of sanctions of adequate severity that have been complied with,
 - b) There is insufficient supporting information and other information regarding the listing determination to establish that none of the conditions in sub-paragraph 11.a) above have been met.
- or
- c) In the case of vessels listed by a non-tuna RFMO, there is an insufficient nexus to the conservation and management of ICCAT species to warrant cross-listing.

In the event of an objection to a vessel listed by another RFMO being included on the Final ICCAT IUU Vessel List pursuant to this paragraph, such vessel shall be placed on the Draft IUU Vessel List and considered by the PWG pursuant to paragraph 6.

¹ The Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR), the Commission for the Conservation of Southern Bluefin Tuna (CCSBT), the General Fisheries Commission for the Mediterranean (GFCM), the Indian Ocean Tuna Commission (IOTC), the Inter-American Tropical Tuna Commission (IATTC), the North Atlantic Fisheries Organization (NAFO), the North-East Atlantic Fisheries Commission (NEAFC), the South East Atlantic Fisheries Organization (SEAFO), and the Western and Central Pacific Fisheries Commission (WCPFC).

12. The ICCAT Executive Secretary shall implement paragraph 11 in accordance with the following procedures:
 - a) The ICCAT Secretariat shall maintain appropriate contacts with the Secretariats of other RFMOs in order to obtain copies of these RFMOs' IUU vessel lists in a timely manner upon adoption or amendment, including by requesting a copy of these RFMOs' IUU vessel lists annually upon conclusion of the RFMO's meeting at which its final IUU list is adopted.
 - b) As soon as possible after adoption or amendment of an IUU vessel list by another RFMO, the ICCAT Secretariat shall collect all supporting documentation available from that RFMO regarding the listing/delisting determinations.
 - c) Once the ICCAT Secretariat has received/collected the information outlined in paragraphs (a) and (b), it shall, consistent with paragraph 11 of this Recommendation, promptly circulate the other RFMO's IUU vessel list, supporting information, and any other relevant information regarding the listing determination to all CPCs. The requisite circular shall clearly state the reason the information is being provided, explain that ICCAT Contracting Parties have 30 days from the date of the circular to object to the inclusion of the vessels on the ICCAT IUU vessel list, and that absent any such objection the vessel will be added at the expiration of the 30 day period to the Final IUU Vessel List.
 - d) The ICCAT Secretariat shall add any new vessels contained in the other RFMOs' IUU vessel list to the Final ICCAT IUU Vessel List at the end of the 30-day period provided no objection to such inclusion is received from a Contracting Party pursuant to paragraph 11 of this Recommendation.
 - e) Where a vessel has been included on the ICCAT Final IUU Vessel List solely due to its inclusion on another RFMO's IUU Vessel List, the ICCAT Secretariat shall immediately remove that vessel from the Final ICCAT IUU Vessel List when it has been deleted by the RFMO that originally listed it.
 - f) Upon the addition or deletion of vessels from the Final ICCAT IUU Vessel List pursuant to paragraph 11 or 12(e) of this Recommendation, the ICCAT Secretariat shall promptly circulate the Final ICCAT IUU Vessel List as amended to all ICCAT CPCs and non-CPCs concerned.

Intersessional removal from the Final IUU Vessel List

13. A CPC or non-CPC whose vessel appears on the Final IUU Vessel List that wishes to request the removal of its vessel from the Final IUU Vessel List during the intersessional period shall submit this request to the ICCAT Executive Secretary no later than 15 July of each year accompanied by information to demonstrate that it meets one or more of the grounds for removal specified in paragraph 6.
14. On the basis of the information received by the 15 July deadline, the Executive Secretary will transmit the removal request, with all supporting information to the Contracting Parties within 15 days following receipt of the removal request.
15. The Contracting Parties shall examine the request to remove the vessel and reply within 30 days following the notification by the Executive Secretary if they object to the removal of the vessel from the Final IUU Vessel List.
16. The result of the examination of the request by mail will be checked by the Executive Secretary at the end of the 30-day period following the date of the notification by the Executive Secretary referred to in paragraph 15.

If a Contracting Party objects to the removal request, the Executive Secretary shall maintain the vessel on the Final ICCAT IUU List and the removal request shall be forwarded to the PWG for consideration at the annual meeting, if requested by the CPC seeking intersessional removal. If no Contracting Party objects to request to remove the vessel, the Executive Secretary shall promptly remove the vessel concerned from the Final ICCAT IUU Vessel List, as published on the ICCAT website.

17. The Executive Secretary shall promptly communicate the result of the delisting process to all CPCs as well as non-CPCs concerned. Moreover, the ICCAT Executive Secretary shall forward the decision to remove the vessel to other RFMOs.

General dispositions

18. This Recommendation shall apply *mutatis mutandis* to fish processing vessels, tug and towing vessels, vessels engaged in transshipment, and support vessels, and other vessels engaged in fishing related activities managed by ICCAT.
19. This Recommendation repeals and replaces the *Recommendation by ICCAT Further Amending Recommendation 09-10 Establishing a List of Vessels Presumed to Have Carried Out Illegal, Unreported and Unregulated Fishing Activities in the ICCAT Convention Area* (Rec. 11-18) and the *Resolution Establishing Guidelines for the Cross-Listing of Vessels Contained on IUU Vessel Lists of Other Tuna RFMOs on the ICCAT IUU Vessel List in Accordance with Recommendation 11-18* (Res. 14-11).

ICCAT reporting form for IUU activity

Pursuant to paragraph 2 of this Recommendation, attached are details of alleged IUU activity and available vessel information.

A. Details of vessel

(Please detail information on the vessel and the incidents(s) in the format below, where such information is applicable and available)

Item		Available Information
A	Name of vessel and previous names	
B	Flag and previous flags	
C	Owner and previous owners, including beneficial owner	
D	Owner's place of registration	
E	Operator and previous operators	
F	Call sign and previous call signs	
G	IMO number	
H	Unique Vessel Identifier (UVI), or, if not applicable, any other vessel identifier	
I	Length overall	
J	Photographs	
K	Date first included on the ICCAT IUU list	
L	Date of alleged IUU fishing activities	
M	Position of alleged IUU fishing activities	
N	Summary of alleged IUU activities (see also section B)	
O	Summary of any actions known to have been taken in response to the activities	
P	Outcome of any actions taken	
Q	Other relevant information, as appropriate (e.g., possible false flags or vessel names used, <i>modus operandi</i> , etc.)	

B. Details of alleged IUU activity

(Indicate with an "X" the applicable elements of the activity and provide relevant details including date, location, source of information. Extra information can be provided in an attachment if necessary.)

Rec. XX para. xx	Vessel fished for species covered by the ICCAT Convention within the Convention area and:	Indicate and provide details
a	Harvest tunas and tuna-like species in the Convention area and are not registered on the relevant ICCAT list of vessels authorized to fish for tuna and tuna-like species in the ICCAT Convention area	
b	Harvest tuna and tuna-like species in the Convention area, and the vessel's whose flag State is without quotas, catch limit or effort allocation under relevant ICCAT conservation and management measures	
c	Do not record or report their catches made in the ICCAT Convention area, or make false reports	
d	Take or land undersized fish in contravention of ICCAT conservation measures	
e	Fish during closed fishing periods or in closed areas in contravention of ICCAT conservation measures	
f	Use prohibited fishing gear or fishing methods in contravention of ICCAT conservation measures	
g	Transship with, or participate in other joint operations, such as re-supplying or re-fueling, with vessels included in the IUU vessels list	
h	Harvest tuna or tuna-like species in the waters under the national jurisdiction of the coastal States in the Convention area without authorization and/or infringes on that State's laws and regulations, without prejudice to the sovereign rights of coastal States to take measures against such vessels	
i	Are without nationality and harvest tunas or tuna-like species in the ICCAT Convention area	
j	Engage in fishing or fishing related activities contrary to any other ICCAT conservation and management measures	

Information to be included in all IUU Lists (Draft and Final)

The Draft IUU List shall include information on vessels listed on ICCAT's Final IUU List as well as information on new vessels submitted by CPCs for listing. The Draft IUU List shall contain the following details, where applicable and available:

- i) Name of vessel and previous name(s);
- ii) Flag of vessel and previous flag(s);
- iii) Name and address of owner of vessel and previous owners, including beneficial owners, and owners' place of registration;
- iv) Operator of vessel and previous operator(s);
- v) Call sign of vessel and previous call sign;
- vi) Lloyds/IMO number;
- vii) Photographs of the vessel;
- viii) Date vessel was first included on the IUU List;
- ix) Summary of activities which justify inclusion of the vessel on the List, together with references to all relevant documents informing of and evidencing those activities;
- x) Other relevant information.

**RECOMMENDATION BY ICCAT ON PORT STATE MEASURES TO PREVENT, DETER
AND ELIMINATE ILLEGAL, UNREPORTED AND UNREGULATED FISHING**

RECALLING the 2009 FAO Agreement on Port State Measures to Prevent, Deter, and Eliminate Illegal, Unreported and Unregulated (IUU) Fishing;

RECOGNIZING that many Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities (hereinafter referred to as CPCs) currently have port inspection schemes in place;

ACKNOWLEDGING that port State measures provide a powerful and cost effective means of preventing, deterring, and eliminating IUU fishing;

RECALLING Recommendation by ICCAT for a Revised ICCAT Port Inspection Scheme (Rec. 97-10);

ALSO RECALLING the Recommendation by ICCAT further Amending the Recommendation by ICCAT to Establish a List of Vessels Presumed to have carried out Illegal, Unreported and Unregulated Fishing Activities in the ICCAT Convention Area (Rec. 11-18) and the *Recommendation by ICCAT Concerning the Ban on Landings and Transshipments of Vessels from non-Contracting Parties Identified as Having Committed a Serious Infringement* (Rec. 98-11);

EMPHASIZING the importance of ensuring that the challenges faced by developing CPCs in the implementation of port State measures are adequately addressed and maximizing the use of funding established under the *Recommendation by ICCAT to Support Effective Implementation of Recommendation 12-07 by ICCAT for an ICCAT Scheme for Minimum Standards for Inspection in Port* (Rec. 14-08) in that regard;

AWARE of the ongoing work of the Port Inspection Expert Group for Capacity Building and Assistance established under the *Recommendation by ICCAT to Clarify and Supplement the Process for Seeking Capacity Building Assistance Pursuant to ICCAT Recommendation 14-08* (Rec. 16-18); and

DESIRING to strengthen ICCAT's monitoring, control, and surveillance regime to promote implementation of and compliance with conservation and management measures;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT)
RECOMMENDS THAT:

Definitions

1. For the purposes of this Recommendation:

- a) "Fishing" means searching for, attracting, locating, catching, taking or harvesting fish or any activity that can be reasonably expected to result in the attracting, locating, catching, taking or harvesting of fish;
- b) "Fishing related activities" means any operation in support of, or in preparation for, fishing, including the landing, packaging, processing, transshipping or transporting of fish that have not previously been landed at a port, as well as the provisioning of personnel, fuel, gear and other supplies at sea;
- c) "Fishing vessel" refers to any vessel, ship of another type or boat, used for, equipped to be used for, or intended to be used for, fishing or fishing related activities; and
- d) "Port" includes offshore terminals and marine areas of the port, and other installations for landing, transshipping, packaging, processing, refueling or resupplying.

Scope

2. Nothing in this Recommendation shall prejudice the rights, jurisdiction and duties of CPCs under international law. In particular, nothing in this Recommendation shall be construed to affect the exercise by CPCs of their authority over their ports in accordance with international law, including their right to deny entry thereto as well as to adopt more stringent measures than those provided for in this Recommendation.

This Recommendation shall be interpreted and applied in conformity with international law, taking into account applicable international rules and standards, including those established through the International Maritime Organization, as well as other international instruments.

CPCs shall fulfill in good faith the obligations assumed pursuant to this Recommendation and shall exercise the rights recognized herein in a manner that would not constitute an abuse of right.

3. With a view to monitor compliance with ICCAT conservation and management measures, each CPC, in its capacity as a port CPC, shall apply this Recommendation for an effective scheme of port inspections in respect of foreign fishing vessels carrying ICCAT-managed species and/or fish products originating from such species that have not been previously landed, hereinafter referred to as "foreign fishing vessels".
4. A CPC may, in its capacity as a port CPC, decide not to apply this Recommendation to foreign fishing vessels chartered by its nationals operating under its authority and returning to its port. Such chartered fishing vessels shall be subject to measures by the chartering CPC which are as effective as measures applied in relation to vessels entitled to fly its flag.
5. Without prejudice to specifically applicable provisions of other ICCAT Recommendations, and except as otherwise provided in this Recommendation, this Recommendation shall apply to foreign fishing vessels equal to or greater than 12 meters in length overall.
6. Each CPC shall subject foreign fishing vessels below 12 meters length overall, foreign fishing vessels operating under charter as referred to under paragraph 4, and fishing vessels entitled to fly its flag to measures that are at least as effective in combating IUU fishing as measures applied to vessels referred to in paragraph 3.
7. CPCs shall take necessary action to inform fishing vessels entitled to fly their flag of this and other relevant ICCAT conservation and management measures.

Points of Contact

8. Each CPC that grants access to its ports to foreign fishing vessels shall designate a point of contact for the purposes of receiving notifications pursuant to paragraph 13 of this Recommendation. Each CPC shall designate a point of contact for the purpose of receiving inspection reports pursuant to paragraph 35(b) of this Recommendation. Each CPC shall transmit the name and contact information for its points of contact to the ICCAT Secretariat no later than 30 days following the entry into force of this Recommendation. Any subsequent changes shall be notified to the ICCAT Secretariat at least 14 days before such changes take effect. The ICCAT Secretariat shall promptly notify CPCs of any such change.
9. The ICCAT Secretariat shall establish and maintain a register of points of contact based on the lists submitted by the CPCs. The register and any subsequent changes shall be published promptly on the ICCAT website.

Designated ports

10. Each CPC that grants access to its ports to foreign fishing vessels shall:
 - a) Designate its ports to which foreign fishing vessels may request entry pursuant to this Recommendation;

- b) Ensure that it has sufficient capacity to conduct inspections in every designated port pursuant to this Recommendation;
 - c) Provide to the ICCAT Secretariat within 30 days from the date of entry into force of this Recommendation a list of designated ports. Any subsequent changes to this list shall be notified to the ICCAT Secretariat at least 14 days before the change takes effect.
11. The ICCAT Secretariat shall establish and maintain a register of designated ports based on the lists submitted by the port CPCs. The register and any subsequent change shall be published promptly on the ICCAT web site.
12. Each CPC that does not grant access to its ports to foreign vessels shall so indicate in its Annual Report submitted under Ref. 12-13. Should it subsequently decide to grant access to its ports to foreign fishing vessels, it shall submit the information required under paragraphs 8 and 10(c) to the Secretariat at least 14 days before the change takes effect.

Advance request for port entry

13. Each port CPC that grants access to its ports to foreign fishing vessels shall require foreign fishing vessels seeking to enter its ports to provide the following information at least 72 hours before the estimated time of arrival at the port:
- a) Vessel identification (External identification; Name; Flag State; ICCAT Record No., if any; IMO No., if any; and IRCS);
 - b) Name of the designated port, as referred to in the ICCAT register, to which it seeks entry and the purpose of the port call (e.g., resupplying, landing or transshipment);
 - c) Fishing authorization or, where appropriate, any other authorization held by the vessel to support fishing operations on ICCAT species and/or fish products originating from such species, or to transship related fishery products;
 - d) Estimated date and time of arrival in port;
 - e) The estimated quantities in kilograms of each ICCAT species and/or fish products originating from such species held on board, with associated catch areas. If no ICCAT species and/or fish products originating from such species are held on board, a report indicating that (i.e., a “nil” report) shall be transmitted;
 - f) The estimated quantities for each ICCAT species and/or fish products originating from such species in kilograms to be landed or transshipped, with associated catch areas.

The port CPC may also request other information as it may require to determine whether the vessel has engaged in IUU fishing, or related activities.

14. Each CPC shall require any vessels flying its flag that is seeking entry to, or is in, the port of another CPC to:
- a) comply with obligations implemented by that port CPC pursuant to this Recommendation including obligations for the Master to provide information under paragraph 13; and
 - b) cooperate with the port CPC in inspections carried out pursuant to this Recommendation.
15. The port CPC may prescribe a longer or shorter advance notification period than specified in paragraph 13, taking into account, *inter alia*, the type of fishery products landed in its ports, the distance between the fishing grounds and its ports, and its resources and procedures for considering and verifying the information. In such a case, the port CPC shall inform the ICCAT Secretariat of its advance notification period, and the reasons therefor, within 30 days from the date of entry into force of this Recommendation. Any subsequent changes shall be notified to the ICCAT Secretariat at least 14 days before the change takes effect.

Port entry, authorization or denial

16. After receiving the relevant information pursuant to paragraph 13, as well as such other information as it may require to determine whether the foreign fishing vessel requesting entry into its port has engaged in IUU fishing, the port CPC shall decide whether to authorize or deny the entry of the vessel into its port.
17. Without prejudice to paragraph 19, when a CPC has sufficient proof that a foreign fishing vessel seeking entry to its port has engaged in IUU fishing or fishing related activities in support of such fishing the CPC shall deny that vessel entry into its port and shall communicate this decision to the master of the vessel or its representative.
18. In the event that the port CPC decides to deny the entry of the vessel into its port, it shall so notify the vessel or its representative and shall also communicate the decision to the flag State of the vessel, to the ICCAT Secretariat for posting on the secure part of the ICCAT website and, as appropriate and to the extent possible, to relevant coastal States, regional fisheries management organizations or arrangements (RFMO/As) and other inter-governmental organizations (IGOs).
19. Notwithstanding paragraph 17, a port CPC may allow entry to its port of a vessel referred to in that paragraph exclusively for the purpose of inspecting it and taking other appropriate actions in accordance with international law that are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing and fishing related activities in support of such fishing.
20. Where a vessel referred to in paragraph 17 is in port for any reason, the port CPC shall deny such vessel the use of its ports for landing, transshipping, packaging, processing and for other port services including, *inter alia*, refueling and resupplying, maintenance and dry docking. Paragraph 22 applies *mutatis mutandis* in such cases. Denial of such use of ports shall be in conformity with international law.

Force majeure or distress

21. Nothing in this Recommendation affects the entry of foreign fishing vessels to port in accordance with international law for reasons of Force Majeure or distress, or prevents a port CPC from permitting entry into port to a vessel exclusively for the purpose of rendering assistance to persons, ships or aircraft in danger or distress.

Use of ports

22. Where a foreign fishing vessel has entered one of its ports, the port State CPC shall deny, pursuant to its laws and regulations and consistent with international law, including this Recommendation, that vessel the use of the port for landing, transshipping, packaging, or processing fish that have not been previously landed and for other port services, including, *inter alia*, refueling and resupplying, maintenance and dry docking, if:
 - a) The port CPC finds that the vessel does not have a valid and applicable authorization to engage in fishing and fishing related activities in the ICCAT Convention area;
 - b) The port CPC receives clear evidence that the fish on board was taken in contravention of ICCAT conservation and management measures;
 - c) The flag CPC does not confirm within a reasonable period of time, on the request of the port CPC, that the fish on board was taken in accordance with relevant ICCAT conservation and management measures; or
 - d) The port CPC has reasonable grounds to believe that the vessel otherwise engaged in IUU fishing, or fishing related activities in support of such fishing, in the ICCAT Convention area, including in support of a vessel included in ICCAT's *List of Vessels Presumed to Have Carried Out IUU Fishing Activities in the ICCAT Convention Area and other areas*, unless the vessel can establish:

- i. that it was acting in a manner consistent with relevant ICCAT conservation and management measures,
 - ii. in the case of provision of personnel, fuel, gear and other supplies at sea to a vessel on ICCAT's IUU list, that the vessel that was provisioned was not, at the time of provisioning, included in the ICCAT IUU list.
23. Notwithstanding paragraph 22, the port CPC shall not deny a vessel referred to in that paragraph the use of port services:
 - a) essential to the safety or health of the crew or the safety of the vessel, provided these needs are duly proven, or
 - b) where appropriate, for the scrapping of the vessel.
24. Where a port CPC has denied a vessel the use of its ports, it shall promptly notify the vessel or its representative; the flag State of the vessel; the ICCAT Secretariat, for posting on the secure part of the ICCAT website, and, as appropriate and to the extent possible, relevant coastal States, RFMO/As, and other IGOs.
25. A port CPC shall withdraw its denial of the use of its port only if the port CPC is satisfied that there is sufficient proof to show that the grounds on which the use was denied were inadequate or erroneous, or that such grounds no longer apply.
26. Where a port CPC has withdrawn its denial of the use of its port, it shall promptly notify those to whom a notification was issued pursuant to paragraph 24.
27. In case the port CPC decides to authorize the entry of the vessel into its port in accordance with paragraph 19, the provisions set forth in the following section on port inspection shall apply.

Port inspections

28. Inspections shall be carried out by properly qualified inspectors of a competent authority of the port CPC.
29. Each year CPCs shall inspect at least 5% of landing and transshipment operations in their designated ports as are made by foreign fishing vessels.
30. In determining which foreign fishing vessels to inspect, the port CPC shall, in accordance with its domestic law, give priority to:
 - a) a vessel that has failed to provide complete and accurate information as required in paragraph 13;
 - b) a vessel that has been denied port entry by another CPC in accordance with this Recommendation;
 - c) requests from other CPCs or relevant RFMO/As that a particular vessel be inspected, particularly where such requests are supported by evidence of IUU fishing, or fishing related activities in support of such fishing, by the vessel in question;
 - d) other vessels for which clear grounds exist for suspecting that a vessel has engaged in IUU fishing or fishing related activities in support of such fishing, including information derived from inspection reports submitted under this scheme and information from other RFMO/As.

Inspection procedure

31. Each inspector shall carry a document of identity issued by the port CPC. In accordance with domestic laws, port CPC inspectors shall examine all relevant areas, decks and spaces of the fishing vessel, catches processed or otherwise, nets or other fishing gears, equipment both technical and electronic, records of transmissions and any documents, including fishing logbooks, Cargo Manifests and Mates Receipts and landing declarations in case of transshipment, relevant to verifying compliance with the ICCAT conservation and management measures. They may also question the Master, crew members, or any other person on the vessel being inspected. They may take copies of any documents they consider relevant.
32. In case the vessel is landing or transshipping ICCAT species, inspections shall involve the monitoring of the landing or transshipment and include a cross-check between the quantities by species notified in the prior notification message in paragraph 13 above and held on board. Inspections shall be carried out in such a way that the fishing vessel suffers the minimum interference and inconvenience, and that degradation of the quality of the catch is avoided, to the extent practicable.
33. On completion of the inspection, the port CPC inspector shall provide the Master of the foreign fishing vessel with the inspection report containing the findings of the inspection, including possible subsequent measures that could be taken by the port CPC, to be signed by the inspector and the master. The master's signature on the report shall serve only as acknowledgment of the receipt of a copy of the report. The Master shall be given the opportunity to add any comments or objection to the report, and to contact the competent authority of the flag State, in particular where the master has serious difficulties in understanding the content of the report. A copy of the report shall be provided to the Master.

Where the inspection includes a finding of potential non-compliance, the port CPC shall transmit a copy of the inspection report to the ICCAT Secretariat no later than 14 days following the date of completion of the inspection. If the inspection report cannot be transmitted within 14 days, the port CPC should notify the ICCAT Secretariat within the 14 day time period the reasons for the delay and when the report will be submitted.

In order to facilitate risk analysis by other CPCs, port CPCs are encouraged to transmit inspection reports that do not include findings of potential non-compliance as practicable.

34. Flag CPCs shall take necessary action to ensure that Masters facilitate safe access to the fishing vessel, cooperate with the competent authorities of the port CPC, facilitate the inspection and communication and do not obstruct, intimidate or interfere, or cause other persons to obstruct, intimidate or interfere with port CPC inspectors in the execution of their duties.

Procedure in the event of apparent infringements

35. If the information collected during the inspection provides evidence that a foreign fishing vessel has committed an infringement of the ICCAT conservation and management measures, the inspector shall:
 - a) record the infringement in the inspection report;
 - b) transmit the inspection report to the port CPC competent authority, which shall promptly forward a copy to the ICCAT Secretariat and to the flag State point of contact and, as appropriate, the relevant coastal State;
 - c) to the extent practicable, ensure safekeeping of the evidence pertaining to such infringement, including original documents where appropriate. If the port CPC refers the infringement to the flag State for further action, the port CPC shall promptly provide the evidence collected to the flag State.
36. Nothing in this Recommendation prevents a port CPC from taking actions that are in conformity with international law in addition to those specified in paragraph 38. The port CPC shall promptly notify the action taken to the flag State, the relevant coastal State, as applicable, and the ICCAT Secretariat, which shall promptly publish this information in the secure part of the ICCAT website.

37. Infringements that do not fall within the jurisdiction of the port CPC, and infringements referred to in paragraph 35 for which the port CPC has not taken action, shall be referred to the flag State and, as appropriate, the relevant coastal State. Upon receiving the copy of the inspection report and evidence, the flag CPC shall promptly investigate the infringement and notify the ICCAT Secretariat of the status of the investigation and of any enforcement action that may have been taken within 6 months of such receipt. If the flag CPC cannot notify the ICCAT Secretariat this status report within 6 months of such receipt, the flag CPC should notify the ICCAT Secretariat within the 6 month time period the reasons for the delay and when the status report will be submitted. The ICCAT Secretariat shall promptly publish this information in the secure part of the ICCAT website. CPCs shall include in their Annual Report (Ref. 12-13) information regarding the status of such investigations.
38. Should the inspection provide evidence that the inspected vessel has engaged in IUU activities as referred to in Rec. 18-08, the port CPC shall deny the vessel the use of port in accordance with paragraph 22, promptly report the case to the flag State, and the relevant coastal CPC, as applicable. The port CPC shall also notify as soon as possible the ICCAT Secretariat that the vessel has engaged in IUU fishing or fishing related activities, and provide supporting evidence. The ICCAT Secretariat shall include the vessel in the draft IUU list.

Requirements of developing CPCs

39. CPCs shall give full recognition to the special requirements of developing CPCs in relation to a port inspection scheme consistent with this Recommendation. CPCs shall, either directly or through the ICCAT Secretariat, provide assistance to developing CPCs in order to, *inter alia*:
 - a) Develop their capacity including by providing technical assistance and funding to support and strengthen the development and implementation of an effective system of port inspection at national, regional and international levels and to ensure that a disproportionate burden resulting from the implementation of this Recommendation is not unnecessarily transferred to them;
 - b) Facilitate their participation in meetings and/or training programmes of relevant regional and international organizations that promote the effective development and implementation of a system of port inspection, including monitoring, control and surveillance, enforcement and legal proceedings for infractions and dispute settlements pursuant to this Recommendation; and
 - c) Either directly or through the ICCAT Secretariat, assess the special requirements of developing CPCs concerning the implementation of this Recommendation.

General provisions

40. CPCs are encouraged to enter into bilateral or multilateral agreements/arrangements that allow for an inspector exchange program designed to promote cooperation, share information, and educate each party's inspectors on inspection strategies and methodologies which promote compliance with ICCAT conservation and management measures. Information regarding such programs, including a copy of such agreements or arrangements, should be included in Annual Reports of CPCs (Ref. 12-13).
41. Without prejudice to domestic laws of the port CPC, the flag CPC may, in the case of appropriate bilateral or multilateral agreements or arrangements with the port CPC or at the invitation of that CPC, send its own officials to accompany the inspectors of the port CPC and observe or take part in the inspection of its vessel.
42. Flag CPCs shall consider and act on reports of infringements from inspectors of a port CPC on a similar basis as the reports from their own inspectors, in accordance with their domestic laws. CPCs shall cooperate, in accordance with their domestic laws, in order to facilitate judicial or other proceedings arising from inspection reports as set out in this Recommendation.
43. The Commission shall review this Recommendation no later than its 2020 Annual Meeting and consider revisions to improve its effectiveness.
44. The *Recommendation by ICCAT for an ICCAT Scheme of Minimum Standards for Inspection in Port* (Rec. 12-07) is repealed and replaced by this Recommendation.

**RECOMMENDATION BY ICCAT CONCERNING MINIMUM
STANDARDS FOR VESSEL MONITORING SYSTEMS IN THE ICCAT CONVENTION AREA**

RECALLING previous recommendations by ICCAT establishing minimum standards for satellite-based vessel monitoring systems (VMS), in particular Recommendation 03-14;

RECOGNIZING the developments in satellite-based VMS, and their utility within ICCAT;

RECOGNIZING the legitimate right of coastal States to monitor the vessels fishing in waters under their jurisdiction;

CONSIDERING that real-time transmission to the Fishing Monitoring Center (FMC) of the coastal State of VMS data of all the vessels (including catching, carrier and support vessels) flying the flag of a CPC authorised to fish ICCAT species facilitates monitoring, control and surveillance by the coastal State to ensure the effective implementation of ICCAT conservation and monitoring measures;

MINDFUL that the SCRS acknowledged in its 2017 report that the higher the frequency of reporting the more useful VMS data are and that a 4-hour frequency of transmission is insufficient to detect fishing activity for many gear types;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT)
RECOMMENDS THAT:

1. Notwithstanding stricter requirements that may apply in specific ICCAT fisheries, each flag Contracting Party, Cooperating non-Contracting Party, Entity or Fishing Entity (hereinafter referred to as CPC) shall implement a Vessel Monitoring System (hereinafter referred to as VMS) for its commercial fishing vessels exceeding 20 meters between perpendiculars or 24 meters length overall (LOA) as well as, beginning no later than 1 January 2020, those above 15 meters LOA authorized to fish in waters beyond jurisdiction of the flag CPC and:
 - a) Require its fishing vessels to be equipped with an autonomous, tamper-evident system that continuously, automatically, and independent of any intervention by the vessel, transmits messages to the FMC of the flag CPC to track the position, course, and speed of a fishing vessel by the flag CPC of that vessel.
 - b) Ensure that the satellite tracking device fitted on board the fishing vessel collects and transmits continuously to the FMC of the flag CPC the following data:
 - i) the vessel's identification;
 - ii) the geographical position of the vessel (longitude, latitude) with a margin of error lower than 500 meters, with a confidence interval of 99%; and
 - iii) the date and time.
 - c) Ensure that the FMC of the flag CPC receives an automatic notification if communication between the FMC and the satellite tracking device is interrupted.
 - d) Ensure, in cooperation with the coastal State, that the position messages transmitted by its vessels while operating in waters under the jurisdiction of that coastal State are also transmitted automatically and in real time to the FMC of the coastal State that has authorized the activity. In implementing this provision, due consideration should be given to minimizing the operational costs, technical difficulties, and administrative burden associated with transmission of these messages.

- e) In order to facilitate the transmission and receipt of position messages, as described in subparagraph 1(d), the FMC of the flag CPC and the FMC of the coastal State shall exchange their contact information and notify each other without delay of any changes to this information. The FMC of the coastal State shall notify the flag CPC FMC of any interruption in the reception of consecutive position messages. The transmission of position messages between the FMC of the flag CPC and that of the coastal State shall be carried out electronically using a secure communication system.
2. Each CPC shall take appropriate measures to ensure that the VMS messages are transmitted and received, as specified in paragraph 1, and use this information to continuously track the position of its vessels.
 3. Each CPC shall ensure that the masters of fishing vessels flying its flag ensure that the satellite tracking devices are permanently and continuously operational and that the information identified in paragraph 1b) is collected and transmitted¹ at least once every hour for purse seine vessels and at least once every two hours for all other vessels². In addition, CPCs shall require that their vessel operators ensure that:
 - a) the satellite tracking device is not tampered with in any way;
 - b) VMS data are not altered in any way;
 - c) the antennae connected to the satellite tracking device is not obstructed in any way;
 - d) the satellite tracking device is hardwired into the fishing vessel and the power supply is not intentionally interrupted in any way; and
 - e) the satellite tracking device is not removed from the vessel except for the purposes of repair or replacement.
 4. In the event of a technical failure or non-operation of the satellite tracking device fitted on board a fishing vessel, the device shall be repaired or replaced within one month from the time of the event, unless the vessel has been removed from the list of authorized LSFVs, where applicable, or for vessels not required to be included on ICCAT's authorized vessel list, the authorization to fish in areas beyond the jurisdiction of the flag CPC no longer applies. The vessel shall not be authorized to commence a fishing trip with a defective satellite tracking device. Furthermore, when a device stops functioning or has a technical failure during a fishing trip, the repair or the replacement shall take place as soon as the vessel enters a port; the fishing vessel shall not be authorized to commence a fishing trip without the satellite tracking device having been repaired or replaced.
 5. Each CPC shall ensure that a fishing vessel with a defective satellite tracking device shall communicate to the FMC, at least daily, reports containing the information in paragraph 1b) by other means of communication (radio, web-based reporting, electronic mail, telefax or telex).
 6. A CPC may allow a vessel to power down its satellite tracking device only if the vessel will not be fishing for an extended period of time (e.g., in dry dock for repairs), and it notifies the competent authorities of its flag CPC in advance.

The satellite tracking device must be re-activated, and collect and transmit at least one report, prior to the vessel leaving port.

7. CPCs are encouraged to extend the application of this Recommendation to their fishing vessels not already covered pursuant to paragraph 1, as appropriate, to ensure the effective monitoring of compliance with ICCAT conservation and management measures.
8. CPCs are encouraged to cooperate, as appropriate and in accordance with their domestic laws, by sharing data reported under paragraph 1b) to support Monitoring Control and Surveillance (MCS) activities.

¹ In the event that the connection between the satellite tracking device and the satellite is unavailable, the information identified in paragraph 1b) shall still be collected in accordance with paragraph 3 but may instead be transmitted as soon as the satellite connection becomes available.

² Developing CPCs may choose to apply this polling and transmission requirement (two hours) to their purse seiners targeting small tuna species in the Mediterranean.

9. The Commission shall review this Recommendation no later than 2020 and consider the need for revisions to improve its effectiveness.
10. To inform this review, the SCRS is requested to provide advice on the VMS data that would most assist the SCRS in carrying out its work, including frequency of transmission for the different ICCAT fisheries.
11. This measure repeals and replaces the *Recommendation by ICCAT Amending Recommendation 03-14 by ICCAT Concerning Minimum Standards for the Establishment of a Vessel Monitoring System in the ICCAT Convention Area* (Rec. 14-09).

**RESOLUTION BY ICCAT ESTABLISHING A PILOT PROGRAM
FOR THE VOLUNTARY EXCHANGE OF INSPECTION PERSONNEL IN FISHERIES MANAGED BY ICCAT**

RECALLING Ref. 75-02 for a Scheme of Joint International Inspection and Annex 7 of Recommendation 18-02 establishing a joint international inspection scheme for the eastern Atlantic and Mediterranean bluefin tuna fishery, both relating to areas beyond national jurisdiction;

FURTHER RECALLING paragraph 3 of Article IX of the ICCAT Convention and the General Outline of Integrated Monitoring Measures adopted at the 13th Special Meeting of the Commission (Ref. 02-31);

NOTING the joint inspection activities that have been carried out by CPCs in the Atlantic and other oceans; and,

RECOGNIZING that exchanges of inspectors and observers through a voluntary pilot program will contribute to the capacity of CPCs, particularly developing CPCs, to conduct at sea inspections in ICCAT fisheries;

THE INTERNATIONAL COMMISSION FOR THE
CONSERVATION OF ATLANTIC TUNAS RESOLVES THAT:

Program Objectives

1. A pilot program is established for the voluntary exchange of inspection personnel to participate in boarding and inspection activities as inspectors or as observing members of the inspection party (hereinafter referred to as “observers” for purposes of this Resolution), conducted by Contracting Parties in fisheries managed by ICCAT pursuant to their existing authorities. Such exchanges are intended to facilitate the sharing of information and expertise needed to strengthen at-sea inspection capabilities and capacities, enhance cooperation and collaboration among Contracting Parties on this important area of fisheries monitoring, control, and surveillance, and inform future discussions on this issue within ICCAT.

Participation and Points of Contact

2. All Contracting Parties are encouraged to participate in the pilot program and may join or leave it at any time.
3. Contracting Parties interested in participating in the pilot program should submit to the Executive Secretary the following information:
 - a) National authority responsible for at-sea inspection and other supporting maritime agencies as may be appropriate, and
 - b) Designated point(s) of contact (POC) within that authority with responsibility for program implementation, including name, telephone, fax numbers, and e-mail address.
4. The Executive Secretary will make the information provided under paragraph 3 available on the public portion of the ICCAT website.

Pilot Program Process and Procedures

5. Contracting Parties that have elected to participate in the pilot program should communicate with one another to identify opportunities for exchanges of inspectors or observers pursuant to this program.
6. Contracting Parties deploying patrol vessels in fisheries managed by ICCAT should:

- a) Consider their participation in the pilot program in developing patrol plans and strive, where possible, to arrange patrols that can accommodate one or more personnel from other Contracting Parties; and
 - b) Provide relevant information to other participating Contracting Parties, as appropriate, in order to determine their interest in an exchange of inspectors or observers, either on a particular patrol or on a patrol that may be planned in the future.
7. Contracting Parties wishing to place inspectors or observers on another Contracting Party's inspection vessel should contact the POC of the Contracting Party that has provided information under paragraph 6, to indicate its interest.
 8. When a Contracting Party has provided notice of its interest in an exchange of inspectors or observers under paragraph 7, the concerned Contracting Parties should consult to determine whether such an exchange could be accommodated, taking into consideration operational limitations as well as training, operational and information security, and medical and physical requirements. Contracting Parties deploying inspection vessel(s) should make special efforts to accommodate requests from developing Contracting Parties, in particular.
 9. Contracting Parties that have chosen to establish an exchange of personnel under the pilot program should enter into a standing or *ad hoc* bilateral agreement or arrangement to address relevant details of the deployment, including whether the scope of the agreement should be limited to inspections in areas beyond national jurisdiction or include national EEZs, the role of personnel deployed under the arrangement or agreement, as well as further provisions for the cooperative deployment of inspectors or observers and the use of vessels, aircraft or other resources for fisheries surveillance and control purposes, and the protection of law enforcement sensitive or otherwise confidential or protected information from inappropriate disclosure.

Reporting and Review

10. Contracting Parties who engage in such exchanges should coordinate reporting to the Commission annually on any activities carried out under the pilot program for consideration by the Permanent Working Group for the Improvement of Statistics and Conservation (PWG). Contracting Parties are also encouraged to provide information related to joint inspection activities undertaken outside the context of this pilot program, as appropriate.
11. This pilot program should be reviewed no more than 3 years after adoption.

**RECOMMENDATION BY ICCAT REPLACING RECOMMENDATION 17-09
ON THE APPLICATION OF THE EBCD SYSTEM**

TAKING INTO ACCOUNT the multi-annual recovery plan for eastern Atlantic and Mediterranean bluefin tuna and the commitment to develop an electronic bluefin tuna catch document (eBCD) system;

RECOGNIZING the developments in electronic information exchange and the benefits of rapid communication with regard to the processing and management of catch information;

NOTING the ability of electronic catch documentation systems to detect fraud and deter IUU shipments, expedite the validation/verification process of bluefin tuna catch documents (BCDs), prevent erroneous information entry, reduce pragmatic workloads and create automated links between Parties including exporting and importing authorities;

RECOGNIZING the necessity to implement the eBCD system to strengthen the implementation of the bluefin tuna catch documentation program;

FOLLOWING the work of the eBCD Technical Working Group (TWG) and the system design and cost estimates presented in the feasibility study;

CONSIDERING the commitments previously made in *Recommendation by ICCAT Supplementing the Recommendation for an Electronic Bluefin Tuna Catch Document (eBCD) System* [Rec. 13-17] and the decision made at the 19th Special Meeting regarding the status of program implementation;

FURTHER RECOGNIZING the technical complexity of the system and the need for ongoing development and resolution of outstanding technical issues;

ACKNOWLEDGING the full implementation of the eBCD system since 2016;

NOTING the review in 2017 of the relevance of specific derogations and their associated deadlines;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. All CPCs concerned shall, as soon as possible for eBCD system implementation, submit to the Secretariat the data necessary to ensure the registration of their users in the eBCD system. Access to and use of the system cannot be ensured for those who fail to provide and maintain the data required by the eBCD system.
2. Use of the eBCD system is mandatory for all CPCs and paper BCDs shall no longer be accepted, except in the limited circumstances specified in paragraph 6 below.
3. CPCs may communicate to the Secretariat and the TWG their experiences on technical aspects of system implementation including any difficulties experienced and identification of improvements to functionalities to enhance eBCD implementation and performance. The Commission may consider these recommendations and financial support to further develop the system.
4. The substantive provisions of Recommendation 18-13 will be applied *mutatis mutandis* to the electronic BCDs (eBCDs).
5. Notwithstanding paragraph 4 of this recommendation, the following provisions shall be applied with respect to the BCD program and its implementation through the eBCD system:

- a) Following the recording and validation of catch and first trade in the eBCD system in accordance with part II of Recommendation 18-13, the recording of information on internal sales of bluefin tuna in the eBCD (i.e. sales occurring within one Contracting Party or Cooperating non-Contracting Party, Entity or Fishing Entity (CPC) or, in the case of the European Union, within one of its Member States) is not required.
- b) Following the recording and validation of catch and first trade in the eBCD, the domestic trade between Member States of the European Union shall be completed in the eBCD system by the seller consistent with paragraph 13 of Recommendation 18-13; however, in derogation to Recommendation 18-13, where such trade is of bluefin tuna that is in the following product forms listed on the eBCD, validation shall not be required: “fillets” (FL) or “other, specified” (OT). “Gilled and gutted” (GG), “dressed” (DR), and “Round” (RD) product forms will require validation. When such product (FL and OT) is packaged for transport, however, the associated eBCD number must be written legibly and indelibly on the outside of any package containing any part of the tuna except for exempted products specified in paragraph 10 of Recommendation 18-13.

For such product (FL and OT), in addition to the requirements in the above paragraph, subsequent domestic trade to another Member State shall only take place when the trade information from the previous Member State has been recorded in eBCD system. Export from the European Union shall take place only if the previous trade between Member States has been properly recorded, and such export shall continue to require validation in the eBCD system consistent with paragraph 13 of Rec. 18-13.

The derogation in this paragraph expires on 31 December 2020. The European Union shall report to the Commission on the implementation of this derogation by 1 October each year of the derogation. This report shall include information on its process for verification and the outcomes of that process and data about these trade events, including relevant statistical information. Based on these reports and any other relevant information brought to the Commission, the Commission shall review the validation derogation at its 2020 annual meeting for decision on its possible extension.

The trade of live bluefin tuna including all trade events to and from bluefin farms must be recorded and validated in the eBCD system in accordance with the provisions of Recommendation 18-13 unless otherwise specified in this recommendation. The validation of sections 2 (catch) and 3 (live trade) in the eBCD may be completed simultaneously in derogation to paragraph 3 of Recommendation 18-13. The amending and re-validation of sections 2 and 3 in the eBCD as required by Paragraph 99 of Recommendation 18-02 may be completed following caging operation.

- c) Bluefin tuna harvested in sport and recreational fisheries for which sale is prohibited is not subject to the terms of Recommendation 18-13 and need not be recorded in the eBCD system.
- d) The provisions of paragraph 13 of Recommendation 18-13 for waiving government validation of tagged fish only apply when the domestic commercial tagging programs of the flag CPC for the vessel or trap that harvested the bluefin tuna under which the fish are tagged are consistent with the requirements of paragraph 21 of that recommendation and meet the following criteria:
 - i) All bluefin tuna in the eBCD concerned are individually tagged;
 - ii) Minimum information associated with the tag includes:
 - Identifying information on the catching vessel or trap;
 - Date of capture or landing;
 - The area of harvest of the fish in the shipment;
 - The gear utilized to catch the fish;
 - The type of product and individual weight of the tagged bluefin tuna, which may be done through the appending of an Annex. Alternatively for those fisheries concerned by the derogations to minimum size under the *Recommendation by ICCAT Establishing a Multi-Annual Management Plan for Bluefin Tuna in the Eastern Atlantic and the*

Mediterranean Sea (Rec. 18-02), CPCs may instead provide the approximate weight of individual fish within the catch upon offloading, which is determined through representative sampling. This alternative approach shall apply through 2020 unless extended by the Commission after considering CPC reports on its implementation;

- Information on the exporter and importer (where applicable);
- The point of export (where applicable).

iii) Information on tagged fish is compiled by the responsible CPC.

- e) Bluefin tuna that die during the transfer, towing, or caging operations foreseen by paragraphs 86 to 102 of Recommendation 18-02 prior to harvesting may be traded by the purse seine vessel, auxiliary/support vessel(s), and/or farm representatives, where applicable.
 - f) Bluefin tuna that are caught as by-catch in the eastern Atlantic and Mediterranean by vessels not authorized to fish actively for bluefin tuna pursuant to Rec. 18-02 may be traded. In order to improve the functioning of the eBCD system access to the system by CPC authorities, port authorities and/or through authorised self-registration shall be facilitated, including by way of their national registration number. Such registration only permits access to the eBCD system and does not represent an authorisation by ICCAT; hence no ICCAT number will be issued. Flag CPCs of the vessels concerned are not required to submit a list of such vessels to the ICCAT Secretariat.
 - g) The requirement in paragraph 13 b) of Recommendation 18-13 providing that BCDs may only be issued when the accumulated validated amounts are within their quotas or catch limits of each management year, does not apply to CPCs whose domestic legislation requires that all dead or dying fish be landed, provided that the value of the catch is subject to confiscation in order to prevent the fishermen from drawing any commercial profit from such fish. The CPC shall take necessary measures to prevent the confiscated fish from being exported to other CPCs.
 - h) Paper BCDs shall continue to be used for the trade of Pacific bluefin tuna until such time as the functionality for such tracking is developed within the eBCD system. Such functionality will include the data elements listed in Annexes 1 and 2 unless otherwise decided to address future data collection needs.
 - i) The trade section of an eBCD shall be validated prior to export. The buyer information in the trade section must be entered into the eBCD system as soon as available and prior to re-export.
 - j) Access to the eBCD system shall be granted to ICCAT non-CPCs to facilitate trade of bluefin tuna. Until such time as the functionality is developed that allows non-CPC access to the system, this shall be accomplished through completion by the non-CPC of paper BCD program documents consistent with the terms of paragraph 6 and submission to the ICCAT Secretariat for entry into the eBCD system. The Secretariat shall communicate without delay to those non-CPCs known to trade in Atlantic bluefin tuna to make them aware of the eBCD system and the provisions of the BCD program applicable to them.
 - k) To the extent possible, reports generated from the eBCD system shall fulfill the annual reporting requirements in paragraph 34 of Recommendation 18-13. CPCs shall also continue to provide those elements of the annual report that cannot be produced from the eBCD system. The format and content of any additional reports will be determined by the Commission taking into account appropriate confidentiality rules and considerations. At a minimum, reports shall include catch and trade data by the CPCs that are appropriately aggregated. CPCs shall continue to report on their implementation of the eBCD system in their Annual Reports.
6. Paper BCD documents (issued pursuant to Recommendation 18-13) or printed eBCDs may be used in the following cases:
- a) Landings of quantities of bluefin tuna less than one metric ton or three fish. Such paper BCDs shall be converted to eBCDs within a period of seven working days or prior to export, whichever is first.

- b) Bluefin tuna caught prior to the full implementation of the eBCD system as specified in paragraph 2.
- c) Notwithstanding the requirement to use the eBCD system in paragraph 2, paper BCDs or printed eBCDs may be used as a back-up in the limited event that technical difficulties with the system arise that preclude a CPC from using the eBCD system, following the procedures as set forth in **Annex 3**. Delays by CPCs in taking necessary actions, such as providing the data necessary to ensure the registration of users in the eBCD system or other avoidable situations, do not constitute an acceptable technical difficulty.
- d) In the case of trade of Pacific bluefin tuna as specified in paragraph 5(h).
- e) In the case of trade between ICCAT CPCs and non-CPCs where access to the eBCD system through the Secretariat (pursuant to paragraph 5(j) above) is not possible or is not timely enough to ensure the trade is not unduly delayed or disrupted.

The use of a paper BCD document in the cases specified in sub-paragraphs a) through e) shall not be cited by importing CPCs as a reason to delay or deny import of a bluefin tuna shipment provided it complies with the existing provisions of Recommendation 18-13 and relevant provisions of this recommendation. Printed eBCDs that are validated in the eBCD system satisfy the validation requirement stipulated in paragraph 3 of Recommendation 18-13.

Where requested by a CPC, conversion of paper BCDs to eBCDs shall be facilitated by the ICCAT Secretariat or through the creation in the eBCD system of user profiles for CPC authorities at their request for this purpose, as appropriate.

- 7. The Technical Working Group shall continue its work and, through the ICCAT Secretariat, inform the developing consortium of the specifications on required system developments and adjustments and steer their implementation.
- 8. This recommendation clarifies Recommendation 18-02 and clarifies and amends Recommendation 18-13.
- 9. This Recommendation repeals and replaces the *Recommendation by ICCAT Amending Recommendation 15-10 on the application of the eBCD system* (Rec. 17-09).

Data requirement for the Trade of Pacific Bluefin Tuna under the BCD program

Section 1: Bluefin Tuna Catch Document Number

Section 2: Catch information

Name of catching vessel/trap

Flag/CPC

Area

Total weight (kg)

Section 8: Trade information

Product description

- (F/FR; RD/GG/DR/FL/OT)

- Total weight (NET)

Exporter/seller information

- Company name

- Point of export/departure

- State of destination

Transportation description

Government validation

Importer/buyer

- Company name, license number

- Point of import or destination

ICCAT Bluefin Tuna Re-Export Certificate

Section 1. Bluefin Tuna Re-Export Certificate Number

Section 2: Re-export section

Re-export country/entity/fishing entity

Point of re-export

Section 3: Description of imported bluefin tuna

Net weight (kg)

BCD (or eBCD) number and date(s) of importation

Section 4: Description of bluefin tuna for re-export

Net weight (kg)

Corresponding BCD (or eBCD) number

State of destination

Section 6: Government validation

**Procedures to allow the issuance of paper BCDs or printed eBCDs
due to technical difficulties with the eBCD system**

A. If the technical difficulty occurs during working hours of the Secretariat and the eBCD implementing consortium:

1. As an initial step, the CPC encountering the technical difficulty shall contact the implementing consortium to confirm and try to resolve the technical difficulty and also include the Secretariat in these communications. The implementing consortium shall provide an acknowledgement of the technical difficulty to the CPC.
2. In the case where a technical difficulty that has been confirmed by the implementing consortium cannot be resolved before a trade event must occur, the CPC shall inform the Secretariat of the nature of the technical difficulty and provide it with the information set out in the attached Appendix as well as a copy of the confirmation of the technical difficulty from the implementing consortium.
3. The Secretariat shall notify other CPCs that paper BCDs may temporarily be used by the CPC encountering the technical difficulty by posting the information provided in paragraph 2 above on the public part of the ICCAT website without delay. The CPC may then use a paper BCD or a printed eBCD for the trade event.
4. A CPC encountering the technical difficulty shall continue to work with the implementing consortium and, as appropriate, the Secretariat to resolve the issue.
5. The CPC shall report when the technical difficulty has been resolved, either through the eBCD system self-reporting incident site or to the Secretariat, for immediate posting on the ICCAT website. The CPC will then follow the procedures in Section C, below.

B. If the technical difficulty occurs outside working hours of the Secretariat and the eBCD implementing consortium:

1. The CPC encountering the technical difficulty shall immediately communicate to the Secretariat and the implementing consortium via email that it is unable to use the eBCD system with an explanation of the technical difficulty encountered. To proceed with a trade, the CPC must then access the self-reporting incident site to enter the required information specified in the attached **Appendix**. Through the site, this information will be automatically uploaded to the ICCAT website to notify other CPCs that paper BCDs or printed eBCDs may temporarily be used by the CPC encountering the technical difficulty. The CPC may then use a paper BCD or a printed eBCD for the trade event.
2. If the technical difficulty is not resolved before the start of the next business day of the Secretariat and the implementing consortium, the CPC encountering the technical difficulty shall contact the implementing consortium and, as needed, the Secretariat, as soon as possible during that next business day in order to resolve the technical difficulty.
3. The CPC shall report when the technical difficulty has been resolved, either through the self-reporting incident site or the Secretariat, for immediate posting on the ICCAT website. The CPC will then follow the procedures in Section C, below.

C. In all cases where a paper BCD or printed eBCD has been used in accordance with the procedures specified in sections A or B above, the following also applies:

1. The CPC shall resume use of the eBCD system as soon as the technical difficulty is resolved.
2. Paper BCDs shall be converted into an eBCD by the CPC that used the paper BCD or by the ICCAT Secretariat if the CPC requests it to do so, as soon as possible following resolution of the technical difficulty. In case that conversion cannot be fully completed by the CPC that used the paper BCD, it shall contact those CPCs which received the paper BCD and request its cooperation to complete the

conversion for the e-BCD sections directly under the responsibility of the CPC which received a paper BCD. Such CPC that carried out or requested the conversion of the paper BCD shall be responsible for reporting to the Secretariat that the technical difficulty has been resolved, and, where appropriate, uploading relevant information to the self-reporting incident site. As soon as possible after resolution of the technical difficulty, a CPC that has received a paper BCD shall take appropriate actions to ensure that the paper BCD is not used for subsequent trade events.

3. Where a printed eBCD has been used, CPCs shall ensure that any missing data from the eBCD record is uploaded into the eBCD system as soon as the technical difficulty is resolved for the sections under their direct responsibility.
4. Paper BCDs or printed eBCDs may continue to be used until such time as the technical difficulty is resolved and the paper BCDs concerned are converted into eBCDs in accordance with the procedure above.
5. Once a paper BCD has been converted to an eBCD, all subsequent trade events of product associated with that paper BCD shall be carried out only in the eBCD system.

D. In the case of technical difficulties experienced by importing CPCs, the importing CPC may request the exporting CPC concerned to issue a paper BCD or printed eBCD to support trade after notice of the technical difficulty has been posted on the ICCAT website in accordance with the procedures specified in sections A or B above. The exporting CPC shall verify that the notification of the technical difficulty is posted on the ICCAT website before issuing the paper BCD or printed eBCD. Importing CPCs shall report when the technical difficulty has been resolved, either through the self-reporting incident site or the Secretariat, for immediate posting on the ICCAT website.

E. Throughout the year, the Secretariat shall compile information on cases where a CPC reported a technical difficulty and/or paper documents were issued, for review by the PWG at the subsequent ICCAT Annual meeting. If the PWG determines that the reporting procedures set forth above were not followed or that the use of paper was not otherwise consistent with the provisions of this Recommendation, the PWG will consider appropriate actions, including possible referral to the Compliance Committee, if appropriate.

F. The procedures set forth above will be reviewed in 2019 and revised, as appropriate.

Appendix

- Date
- CPC
- BCD(s) concerned
- Summary of Issue
- Date of resolution
- Incidence Number (if available)

**RECOMMENDATION BY ICCAT REPLACING RECOMMENDATION 11-20
ON AN ICCAT BLUEFIN TUNA CATCH DOCUMENTATION PROGRAM**

RECOGNIZING the situation of Atlantic bluefin tuna stocks and the impact that market factors have on the fishery;

TAKING INTO ACCOUNT the rebuilding plan for western Atlantic bluefin tuna and the recovery plan for eastern Atlantic and Mediterranean bluefin tuna that ICCAT has adopted, including the need for complementary market related measures;

RECOGNIZING the necessity to clarify and improve the implementation of the bluefin tuna catch documentation scheme, providing detailed instructions for the issuance, numbering, completion and the validation of the bluefin tuna catch document;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF
ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

**PART I
GENERAL PROVISIONS**

1. Each Contracting Party, Cooperating non-Contracting Party, Entity and Fishing Entity (hereafter referred to as CPCs) shall take the necessary steps to implement an ICCAT Bluefin Tuna Catch Documentation Scheme for the purpose of identifying the origin of any bluefin tuna in order to support the implementation of conservation and management measures.
2. For the purpose of this Program:
 - a) "Domestic trade" means:
 - trade of bluefin tuna harvested in the ICCAT Convention area by a vessel or trap, which is landed in the territory of the CPC where the vessel is flagged or where the trap is established, and
 - trade of farmed bluefin tuna products originating from bluefin tuna harvested in the ICCAT Convention area by a vessel which is flagged to the same CPC where the farm is established, which is supplied to any entity in this CPC, and
 - trade between the Member States of the European Union of bluefin tuna harvested in the ICCAT Convention area by vessels flagged to one Member State or by a trap established in one Member State.
 - b) "Export" means:

Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of the CPC where the fishing vessel is flagged or where the trap or farm is established to the territory of another CPC or non-Contracting Party, or from the fishing grounds to the territory of a CPC which is not the flag CPC of the fishing vessel or to the territory of a non- Contracting Party.
 - c) "Import" means:

Any introduction of bluefin tuna in its harvested or processed form (including farmed) into the territory of a CPC, which is not the CPC where the fishing vessel is flagged or where the trap or the farm is established.
 - d) "Re-export" means: Any movement of bluefin tuna in its harvested or processed form (including farmed) from the territory of a CPC where it has been previously imported.

- e) “flag CPC” means the CPC where the fishing vessel is flagged; “trap CPC” means the CPC where the trap is established; and “farm CPC” means the CPC where the farm is established.
3. A Bluefin Tuna Catch Document (BCD) shall be completed for each bluefin tuna in accordance with **Annex 3**.
- Each consignment of bluefin tuna domestically traded, imported into or exported or re-exported from its territories shall be accompanied by a validated BCD, except in cases where paragraph 13(c) applies and, as applicable, an ICCAT transfer declaration or a validated Bluefin Tuna Reexport Certificate (BFTRC). Any such landing, transfer, delivery, harvest, domestic trade, import, export or re-export of bluefin tuna without a completed and validated BCD or a BFTRC shall be prohibited.
4. In order to support an effective BCD, CPCs shall not place bluefin tuna into a farm not authorized by the CPC or listed in the ICCAT record.
5. Farm CPCs shall ensure that bluefin tuna catches are placed in separate cages or series of cages and partitioned on the basis of flag CPC origin. By derogation, if the bluefin tuna are caught in the context of a joint fishing operation (JFO) between different CPCs, farm CPCs shall ensure that bluefin tuna are placed in separate cages or series of cages and partitioned on the basis of joint fishing operations.
6. At the time of caging, relevant BCDs may be grouped as a “Grouped BCD” with a new BCD number in the following cases, provided that caging of all the fish is conducted on the same day and all the fish is caged in the same farming cage:
- a) Multiple catches made by the same vessel
 - b) Catches made by JFO

The Grouped BCD shall replace all the related original BCDs and be accompanied by the list of all the associated BCD numbers. The copies of such associated BCDs shall be made available upon request of CPCs.

7. Farm CPCs shall ensure that bluefin tuna are harvested from farms in the same year in which they were caught, or before the beginning of the purse seiners fishing period, if harvested in the following year. In the case where harvesting operations are not completed before this date, farm CPCs shall complete and transmit an annual carry-over declaration to the ICCAT Secretariat within 15 days after this date. Such declaration shall include:
- Quantities (expressed in kg) and number of fish intended to be carried over,
 - Year of catch,
 - Average weight,
 - Flag CPC,
 - References of the BCD corresponding to the catches carried over,
 - Name and ICCAT number of the farm,
 - Cage number, and
 - Information on harvested quantities (expressed in kg), when completed.
8. Quantities carried over in accordance with paragraph 7 shall be placed in separate cages or series of cages in the farm on the basis of the catch year.
9. Each CPC shall provide BCD forms only to catching vessels and traps authorized to fish bluefin tuna in the Convention area, including as by-catch. Such forms are not transferable. Each BCD form shall have a unique document identification number. Document numbers shall be specific to the flag or trap CPC and assigned to the catching vessel or trap.
10. Domestic trade, export, import and re-export of fish parts other than the meat (i.e., heads, eyes, roes, guts and tails) shall be exempted from the requirements of this Recommendation.

PART II
VALIDATION OF BCDs

11. The catching vessel master or trap operator, or its authorized representative, or the operator of farms, or the authorized representative of the flag, farm, or trap CPC, shall complete the BCD by providing the required information in appropriate sections and request validation in accordance with paragraph 13 for a BCD for catch landed, transferred to cages, harvested, transhipped, domestically traded or exported on each occasion that it lands, transfers, harvests, transships, domestically trades or exports bluefin tuna.
12. A validated BCD shall include, as appropriate, the information identified in **Annex 1** attached. A BCD format is attached as **Annex 2**. In cases where a section of the BCD format does not provide enough room to completely track movement of BFT from catch to market, the needed information section of the BCD maybe expanded as necessary and attached as an annex using the original BCD format and number. The authorized representative of the CPC shall validate the annex as soon as possible but not later than the next movement of BFT.
13.
 - a) The BCD must be validated by an authorized government official, or other authorized individual or institution, of the flag CPC of the catching vessel, the CPC of the seller/exporter, or the trap or farm CPC that caught, harvested, domestically traded or exported the bluefin tuna.
 - b) The CPCs shall validate the BCD for all bluefin tuna products only when all the information contained in the BCD has been established to be accurate as a result of the verification of the consignment, and only when the accumulated validated amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to catching vessels or traps, and when those products comply with other relevant ICCAT provisions of the conservation and management measures.

The requirement that CPCs shall only validate BCDs when the accumulated validated amounts are within their quotas or catch limits of each management year, does not apply to CPCs whose domestic legislation requires that all dead or dying fish be landed, provided that the value of the catch is subject to confiscation in order to prevent the fishermen from drawing any commercial profit from such fish. The CPC shall take necessary measures to prevent the confiscated fish from being exported to other CPCs.
 - c) Validation under 13(a) shall not be required in the event that all bluefin tuna available for sale are tagged by the flag CPC of the catching vessel or the trap CPC that fished the bluefin tuna.
 - d) Where the bluefin tuna quantities caught and landed are less than 1 metric ton or three fish, the logbook or the sales note may be used as a temporary BCD, pending the validation of the BCD within seven days and prior to export.

PART III
VALIDATION OF BFTRCs

14. Each CPC shall ensure that each bluefin tuna consignment which is re-exported from its territory be accompanied by a validated Bluefin Tuna Re-export Certificate (BFTRC). In cases where bluefin tuna is imported live, the BFTRC shall not apply.
15. The operator who is responsible for the re-export shall complete the BFTRC by providing the required information in its appropriate sections and request its validation for the bluefin tuna consignment to be re-exported. The completed BFTRC shall be accompanied by a copy of the validated BCD(s) relating to the bluefin tuna products previously imported.
16. The BFTRC shall be validated by an authorized government official or authority.
17. The CPC shall validate the BFTRC for all bluefin tuna product only when:

- a) all the information contained in the BFTRC has been established to be accurate,
 - b) the validated BCD(s) submitted in support to the BFTRC had been accepted for the importation of the products declared on the BFTRC and
 - c) the products to be re-exported are wholly or partly the same product on the validated BCD(s),
 - d) a copy of the BCD(s) shall be attached to the validated BFTRC.
18. The validated BFTRC shall include the information identified in **Annex 4** and **Annex 5** attached.

PART IV VERIFICATION AND COMMUNICATION

19. Each CPC shall communicate a copy of all validated BCDs or BFTRCs, except in cases where paragraph 13(c) applies, within five working days following the date of validation, or without delay where the expected duration of the transportation should not take more than five working days, to the following:
- a) the competent authorities of the country where the bluefin tuna will be domestically traded, or transferred into a cage or imported, and
 - b) the ICCAT Secretariat.
20. The ICCAT Secretariat shall extract from the validated BCDs or BFTRCs communicated under paragraph 19 above the information marked with an asterisk (*) in **Annex 1** or **Annex 4** and enter this information in a database on a password protected section of its website, as soon as practicable.

At its request, the SCRS shall have access to the catch information contained in the database, except the vessel or trap names.

PART V TAGGING

21. CPCs may require their catching vessels or traps to affix a tag to each bluefin tuna preferably at the time of kill, but no later than the time of landing. Tags shall have unique country specific numbers and be tamper proof. The tag numbers shall be linked to the BCD and a summary of the implementation of the tagging program shall be submitted to the ICCAT Secretariat by the CPC. The use of such tags shall only be authorized when the accumulated catch amounts are within their quotas or catch limits of each management year, including, where appropriate, individual quotas allocated to vessels or traps.

PART VI VERIFICATION

22. Each CPC shall ensure that its competent authorities, or other authorized individual or institution, take steps to identify each consignment of bluefin tuna landed in, domestically traded in, imported into or exported or re-exported from its territory and request and examine the validated BCD(s) and related documentation of each consignment of bluefin tuna. These competent authorities, or authorized individuals or institutions, may also examine the content of the consignment to verify the information contained in the BCD and in related documents and, where necessary, shall carry out verifications with the operators concerned.
23. If, as a result of examinations or verifications carried out pursuant to paragraph 22 above, a doubt arises regarding the information contained in a BCD, the final importing State/CPC and the CPC whose competent authorities validated the BCD(s) or BFTRCs shall cooperate to resolve such doubts.
24. If a CPC involved in trade of bluefin tuna identifies a consignment with no BCD, it shall notify the findings to the exporting CPC and, where known, the flag CPC.
25. Pending the examinations or verifications under paragraph 22 to confirm compliance of the bluefin tuna consignment with the requirements in the present Recommendation and any other relevant Recommendations, the CPCs shall not grant its release for domestic trade, import or export, nor, in the case of live bluefin tuna destined to farms, accept the transfer declaration.

26. Where a CPC, as a result of examination or verifications under paragraph 22 above and in cooperation with the validating authorities concerned, determines that a BCD or BFTRC is invalid, the domestic trade, import, export or re-export of the bluefin tuna concerned shall be prohibited.
27. The Commission shall request the non-Contracting Parties that are involved in domestic trade, import, export or re-export of bluefin tuna to cooperate with the implementation of the Program and to provide to the Commission data obtained from such implementation.

PART VII
NOTIFICATION AND COMMUNICATION

28. Each CPC that validates BCDs in respect of its flag catching vessels, traps or farms in accordance with paragraph 13(a), shall notify the ICCAT Secretariat of the government authorities, or other authorized individuals or institutions (name and full address of the organization(s) and, where appropriate, name and title of the validating officials who are individually empowered, sample form of document, sample impression of stamp or seal, and as appropriate tag samples) responsible for validating and verifying BCDs or BFTRCs. This notification shall indicate the date at which this entitlement comes into force. A copy of the provisions adopted in national law for the purpose of implementing the bluefin tuna catch documentation program shall be communicated with the initial notification, including procedures to authorize nongovernmental individuals or institutions. Updated details on validating authorities and national provisions shall be communicated to the ICCAT Secretariat in a timely fashion.
29. The information on validating authorities transmitted by notifications to the ICCAT Secretariat shall be placed on the password protected page of the database on validation held by the ICCAT Secretariat. The list of the CPCs having notified their validating authorities and the notified dates of entry into force of the validation shall be placed on a publicly accessible website held by the ICCAT Secretariat. CPCs are encouraged to access this information to help verify the validation of BCDs and BFTRCs.
30. Each CPC shall notify to the ICCAT Secretariat the points of contact (name and full address of the organization(s)) that should be notified when there are questions related to BCDs or BFTRCs.
31. Copies of validated BCDs and notification pursuant to paragraphs 28, 29 and 30 shall be sent by CPCs to the ICCAT Secretariat, by electronic means, whenever possible.
32. Copies of BCDs shall follow each part of split shipments or processed product, using the unique document number of the BCD to link them.
33. CPCs shall keep copies of documents issued or received for at least two years.
34. CPCs shall provide to the ICCAT Secretariat a report each year by September 15 for the period from January 1 to December 31 of the preceding year to provide the information described in **Annex 6**.

The ICCAT Secretariat shall post these reports on the password protected section of the ICCAT website, as soon as practicable.

At its request, the SCRS shall have access to the reports received by the ICCAT Secretariat.

35. This Recommendation repeals and replaces the *Recommendation by ICCAT Amending Recommendation 09-11 on an ICCAT Bluefin Tuna Catch Document Program* (Rec. 11-20).

Data to be Included in Bluefin Tuna Catch Document (BCD)

1. ICCAT Bluefin tuna catch document number*

2. Catch Information

Name of the Catching Vessel or Trap name*
Name of the Other Vessels (in case of JFO)
Flag*
ICCAT Record No.
Individual Quota
Quota used for this BCD
Date, area of catch and gear used*
Number of fish, total weight, and average weight*¹
ICCAT Record number of Joint Fishing Operation (if applicable)*
Tag No. (if applicable)
Government validation
Name of authority and signatory, title, signature, seal and date

3. Trade Information for live fish trade

Product description
Exporter/Seller information
Transportation description
Government validation
Name of authority and signatory, title, signature, seal and date
Importer/buyer

4. Transfer information

Towing vessel description
ICCAT Transfer Declaration No. Vessel
name, flag
ICCAT Record No.
Number of fish dead during transfer Total
weight of dead fish (kg)
Towing cage description
Cage number

5. Transshipment information

Carrier vessel description
Name, Flag, ICCAT Record No., Date, Port name, Port state, position
Product description
(F/FR; RD/GG/DR/FL/OT)
Total weight (NET)
Government validation
Name of authority and signatory, title, signature, seal and date

* Information to be entered by the Secretariat in the BCD database (see paragraph 20).

¹ Weight shall be reported by round weight where available. If round weight is not used, specify the type of product (e.g. GG) in the "Total Weight" and "Average Weight" section of the form.

6. Farming information

Farming facility description

Name, CPC*, ICCAT FFB No.* and location of farm
Participation in national sampling program (yes or no)

Cage description

Date of caging, cage number

Fish description

Estimates of number of fish, total weight, and average weight*¹

ICCAT Regional observer information

Name, ICCAT No., signature

Estimated size composition (<8 kg, 8-30 kg, >30 kg)

Government validation

Name of authority and signatory, title, signature, seal and date

7. Harvesting information

Harvesting description

Date of harvest*

Number of fish, total (round) weight, and average weight*

Tag numbers (if applicable)

ICCAT regional observer information

Name, ICCAT No., signature

Government validation

Name of authority and signatory, title, signature, seal and date

8. Trade information

Product description

(F/FR; RD/GG/DR/FL/OT) ²

Total weight (NET)*

Exporter/Seller information

Point of export or departure*

Export company name, address, signature and date

State of destination*

Description of transportation (relevant documentation to be attached)

Government validation

Name of authority and signatory, title, signature, seal and date

Importer/buyer information

Point of import or destination*

Import company name, address, signature and date³

² When different types of products are recorded in this section, the weight shall be recorded by each product type.

³ DATE to be filled by IMPORTER/BUYER in this section is the date of signature.

1. ICCAT BLUEFIN TUNA CATCH DOCUMENT (BCD)				No :		1/2	
2. CATCH INFORMATION							
VESSEL / TRAP INFORMATION							
NAME OF THE CATCHING VESSEL / TRAP		FLAG / CPC		ICCAT RECORD NO		INDIVIDUAL QUOTA	CATCH
NAME OF THE OTHER FISHING VESSELS		FLAG		ICCAT RECORD NO		INDIVIDUAL QUOTA	CATCH
CATCH DESCRIPTION							
DATE (dd/mm/yy)		AREA		GEAR			
No. of FISH		TOTAL WEIGHT(kg)		AVG. WEIGHT(kg)			
ICCAT RECORD No. of Joint Fishing Operation							
TAG Numbers (If applicable)							
GOVERNMENT VALIDATION							
NAME OF AUTHORITY				SEAL			
TITLE							
SIGNATURE							
DATE (dd/mm/yy)							
3. TRADE INFORMATION							
PRODUCT DESCRIPTION							
LIVE WEIGHT (kg)		No. of FISH		ZONE			
EXPORTER/ SELLER							
POINT OF EXPORTATION/DEPARTURE		COMPANY		ADDRESS			
FARM OF DESTINATION		CPC		ICCAT FFB No.			
SIGNATURE							
DATE (dd/mm/yy)							
TRANSPORTATION DESCRIPTION		(Relevant documentation to be attached)					
GOVERNMENT VALIDATION							
NAME OF AUTHORITY				SEAL			
TITLE							
SIGNATURE							
DATE (dd/mm/yy)							
IMPORTER/ BUYER							
COMPANY				PT. of IMPORT/DESTINATION (City, Country, State)			
ADDRESS							
DATE OF SIGNATURE (dd/mm/yy)		SIGNATURE					
ANNEX(ES): YES/NO (circle one)							
4. TRANSFER INFORMATION							
TOWING VESSEL DESCRIPTION							
ICCAT TRANSFER DECLARATION No.		FLAG		ICCAT REC. No.			
NAME							
No. Of FISH DEAD DURING TRANSFER		TOTAL WEIGHT OF DEAD FISH (kg)					
TOWING CAGE DESCRIPTION		CAGE No.					
ANNEX(ES): YES/NO (circle one)							
5. TRANSHIPMENT INFORMATION							
CARRIER VESSEL DESCRIPTION							
NAME		FLAG		ICCAT REC. No.			
DATE (dd/mm/yy)		PORT NAME		PORT STATE			
POSITION (Lat./Long.)							
PRODUCT DESCRIPTION (Indicate net weight in kg. for each type of product)							
F RD(kg):	GG(kg):	DR(kg):	FL(kg):	OT(kg):	TOTAL WEIGHT "F" (kg)		
FR RD(kg):	GG(kg):	DR(kg):	FL(kg):	OT(kg):	TOTAL WEIGHT "FR" (kg)		
GOVERNMENT VALIDATION							
NAME OF AUTHORITY				SEAL			
TITLE							
SIGNATURE							
DATE (dd/mm/yy)							
ANNEX(ES): YES/NO (circle one)							

ICCAT BLUEFIN TUNA CATCH DOCUMENT (BCD)				No :		2/2	
6. FARMING INFORMATION							
FARMING FACILITY DESCRIPTION	NAME		CPC		ICCAT FFB NO.		
	NATIONAL SAMPLING PROGRAM? YES or NO(circle one)			LOCATION			
CAGE DESCRIPTION	DATE (dd/mm/yy)		CAGE No.				
FISH DESCRIPTION	NO. of FISH :		TOTAL WT (kg) :		AVG WT (kg) :		
ICCAT REGIONAL OBSERVER INFORMATION	NAME		TITLE		SIGNATURE		
	SIZE COMPOSITION		<8 kg	8-30 kg	>30 kg		
GOVERNMENT VALIDATION							
NAME OF AUTHORITY					SEAL		
TITLE							
SIGNATURE							
DATE (dd/mm/yy)							
ANNEX(ES): YES/NO (circle one)							
7. HARVESTING INFORMATION							
HARVESTING DESCRIPTION							
DATE (dd/mm/yy)		NO. of FISH		TOTAL ROUND WT (kg)			
AVG. WEIGHT (kg)		TAG NOS. (If applicable)					
ICCAT REGIONAL OBSERVER INFORMATION	NAME		TITLE		SIGNATURE		
GOVERNMENT VALIDATION							
NAME OF AUTHORITY					SEAL		
TITLE							
SIGNATURE							
DATE (dd/mm/yy)							
8. TRADE INFORMATION							
PRODUCT DESCRIPTION (Indicate net weight in kg. for each type of product)							
F	RD(kg):	GG(kg):	DR(kg):	FL(kg):	OT(kg):	TOTAL WEIGHT "F" (kg)	
FR	RD(kg):	GG(kg):	DR(kg):	FL(kg):	OT(kg):	TOTAL WEIGHT "FR" (kg)	
EXPORTER/ SELLER							
PT of EXPORT/DEPARTURE		COMPANY			ADDRESS		
STATE of DESTINATION							
SIGNATURE							
DATE (dd/mm/yy)							
TRANSPORTATION DESCRIPTION		(Relevant documentation to be attached)					
GOVERNMENT VALIDATION							
NAME OF AUTHORITY					SEAL		
TITLE							
SIGNATURE							
DATE (dd/mm/yy)							
IMPORTER/ BUYER							
COMPANY					PT. of IMPORT/DESTINATION (City, Country, State)		
ADDRESS							
DATE (dd/mm/yy)					SIGNATURE		
ANNEX(ES): YES/NO (circle one)							

Instructions for the Issuance, Numbering, Completion and Validation of the Bluefin Tuna Catch Document (BCD)

1. GENERAL PRINCIPLES

(1) Language

An official ICCAT language (English, French and Spanish) shall be used in completing the BCD.

(2) Numbering

CPCs shall develop unique numbering system for BCDs using their ICCAT country code or ISO code in combination with an 8-digit number, of which two digits shall indicate the year of catch.

Example: CA-09-123456 (*CA stands for Canada*)

In case of split shipments, or processed products, copies of the original BCD shall be numbered by supplementing the number of the original BCD with a 2-digit number.

Example: CA-09-123456-01, CA-09-123456-02, CA-09-123456-03.

The numbering shall be sequential and preferably printed. The serial numbers of blank BCDs issued shall be recorded by the name of the recipient.

In case of producing a "Grouped BCD", the farm operator or his authorized representative shall request a new BCD number from the farm CPC. The number for Grouped BCDs shall contain "G" as in "CA-09-123456-G".

2. CATCH INFORMATION

(1) Completion

(a) *General principles:*

This section is applicable to all catches of bluefin tunas.

The master of the catching vessel or the trap operator or their authorised representative or the authorised representative of the flag or trap CPC shall be responsible for the completion and the request for validation of the CATCH INFORMATION section.

CATCH INFORMATION section shall be completed no later than the end of transfer, transshipment or landing operation.

Remark: in case of JFO between different flags, one BCD for each flag shall be produced. In this case, each BCD shall indicate the same information in VESSEL/TRAP INFORMATION concerning the vessel which actually made the catch and all the other fishing vessels involved in that JFO, whereas CATCH DESCRIPTION shall indicate the catch information attributed to each flag based on the allocation key of the JFO.

In case of catches originating from one JFO comprising vessels of the same flag, the master of the catching vessel which actually made such catches, or its authorized representative or the authorized representative of the flag, shall complete the BCD form on behalf of all the vessels participating in such JFO.

(b) Specific instructions:

"NAME OF THE CATCHING VESSEL/TRAP": list the name of the catching vessel which actually made the catches.

"NAME OF THE OTHER FISHING VESSELS": only applicable to JFOs and list the other participating fishing vessels.

"FLAG": indicate the flag or trap CPC.

"ICCAT Record No": indicate the ICCAT number of the catching vessel or trap authorised to fish bluefin tuna in the ICCAT Convention area. This information is not applicable to catching vessels which fish bluefin tuna as by-catch. In case of JFO, list the ICCAT Record Numbers of the vessel which actually made the catch as well as other vessels participating in that JFO.

"INDIVIDUAL QUOTA": indicate the amount of individual quota given to each vessel.

"QUOTA USED FOR THIS BCD": indicate the amount of catch attributed to this BCD.

"GEAR": indicate the fishing gear using the following codes:

BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries unclassified
SURF	Surface fisheries unclassified
TL	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unspecified methods
OT	Other type

"No. of FISH": in case of JFO comprising vessels of the same flag, indicate the total number of fish caught in such operation. In case of JFO between different flags, indicate the number of fish attributed to each flag in accordance with the allocation key.

"TOTAL WEIGHT": indicate the total round weight in kilograms. If round weight is not used at the time of catch, indicate the type of product (e.g. GG). In case of JFO between different flags, indicate the round weight attributed to that flag in accordance with the allocation key.

"AREA": indicate Mediterranean, western Atlantic, eastern Atlantic or Pacific.

"TAGS No (if applicable)": additional lines may be added to allow the listing of each tag number by individual fish.

(2) Validation

The flag or trap CPC shall be responsible for the validation of the CATCH INFORMATION section unless bluefin tuna are tagged in accordance with Paragraph 21 of the Recommendation.

3. TRADE INFORMATION FOR LIVE FISH TRADE

(1) Completion

(a) General principles:

This section is only applicable to export of live bluefin tunas.

The master of the catching vessel or his authorised representative or the authorised representative of the flag CPC shall be responsible for the completion and the request for validation of the TRADE INFORMATION FOR LIVE FISH TRADE section.

The TRADE INFORMATION FOR LIVE FISH TRADE section shall be completed before the first transfer operation, i.e. the transfer of fish from the catching vessel net to the transport cage.

Remark: in case that a quantity of fish dies during the transfer operation and is domestically traded or exported, the original BCD (CATCH INFORMATION section completed shall be copied for the fish, and TRADE INFORMATION section of the copied BCD shall be completed by the master of the catching vessel or his authorised representative or the authorised representative of the flag CPC and transmitted to the domestic buyer/importer. Government validation of this copy shall guarantee that it is a valid copy and has been recorded by authorities of the CPC. Without the government validation, any BCD copy is null and void.

In case of JFO comprising vessels of the same CPC, the master of the catching vessel which actually made the catches, or its authorized representative or the authorized representative of the flag, shall be responsible for the completion.

(b) Specific instructions:

"ZONE": indicate the area of transfer, Mediterranean, western Atlantic, eastern Atlantic or Pacific. "POINT OF EXPORT/DEPARTURE": indicate the CPC name of the fishery zone where the bluefin tuna were transferred or indicate "high seas" otherwise.

"TRANSPORTATION DESCRIPTION": attach any relevant document certifying the trade.

(2) Validation

The flag CPC shall not validate documents where the CATCH INFORMATION section is not completed.

4. TRANSFER INFORMATION

(1) Completion

(a) General principles:

This section is only applicable to live bluefin tunas.

The master of the catching vessel or his authorised representative or the authorised representative of the flag CPC shall be responsible for the completion of the TRANSFER INFORMATION section. In case of JFO comprising vessels of the same CPC, the master of the catching vessel which actually made the catches, or its authorized representative or the authorized representative of the flag, shall be responsible for the completion.

The TRANSFER INFORMATION section shall be completed no later than the end of the first transfer operation, i.e. the transfer of fish from the catching vessel net to the transport cage.

At the end of the transfer operation, the master of the catching vessel (or the master of the catching vessel which actually made the catches in case of JFO comprising vessels of the same CPC) shall provide the BCD (CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections completed and, where applicable, validated) to the master of the tug vessel.

The completed BCD shall accompany the transfer of fish during transport to farm, including transfer of live bluefin tuna from the transport cage to another transport cage or transfer of dead bluefin tuna from the transport cage to an auxiliary vessel.

Remark: in case that some fish die during the transfer operation, the original BCD (CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections completed and, where applicable, validated) shall be copied, and TRADE INFORMATION section of the copied BCD shall be completed by the domestic seller/exporter or his authorised representative or the authorised representative of the flag CPC and transmitted to the domestic buyer/importer. Government validation of this copy shall guarantee that it is a valid copy and has been recorded by authorities of the CPC. Without the authorized government validation, any BCD copy is null and void.

(b) Specific instructions:

"No. OF FISH DEAD DURING TRANSFER" and "TOTAL WEIGHT OF DEAD FISH": information completed (if applicable) by the master of the tug vessel.

"CAGE No.": indicate each number of cages in the case of a tug vessel having more than one cage.

(2) Validation

Validation of this section is not required.

5. TRANSHIPMENT INFORMATION

(1) Completion

(a) General principles:

This section is only applicable to dead bluefin tunas.

The master of the transshipping fishing vessel or his authorized representative or the authorized representative of the flag CPC shall be responsible for the completion and the request for validation of the TRANSHIPMENT INFORMATION section.

The TRANSHIPMENT INFORMATION section shall be completed at the end of the transshipment operation.

(b) Specific instructions:

"DATE": indicate the date of the transshipment.

"PORT NAME": indicate the designated port of transshipment.

"PORT STATE": indicate the CPC of the designated port of transshipment.

(2) Validation

The flag CPC shall not validate documents where the CATCH INFORMATION section is not completed and validated.

6. FARMING INFORMATION

(1) Completion

(a) General principles:

This section is only applicable to live caged tunas.

The master of the tug vessel shall provide the BCD (CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections completed and, where applicable, validated) to the farm operator at the time of caging.

The farm operator or his authorized representative or an authorized representative of the farm CPC shall be responsible for the completion and the request for validation of the FARM INFORMATION section.

The FARM INFORMATION section shall be completed at the end of the caging operation.

(b) Specific instructions:

"CAGE No": indicate each number of cage.

"ICCAT Regional Observer Information": indicate name, ICCAT # and signature.

(2) Validation

The farm CPC shall be responsible for the validation of the FARM INFORMATION section.

The farm CPC shall not validate BCDs where the CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE and TRANSFER INFORMATION sections are not completed and, where applicable, validated.

7. HARVESTING INFORMATION

(1) Completion

(a) *General principles:*

This section is only applicable to dead farmed tunas.

The farm operator or his authorized representative or an authorized representative of the farm CPC shall be responsible for the completion and the request for validation of the HARVESTING INFORMATION section.

The HARVESTING INFORMATION section shall be completed at the end of the harvesting operations.

(b) *Specific instructions:*

"TAGS No (if applicable)": additional lines may be added to allow the listing of each tag number by individual fish.

"ICCAT Regional Observer Information": indicate name, ICCAT # and signature.

(2) Validation

The farm CPC shall be responsible for the validation of the HARVESTING INFORMATION section.

The farm CPC shall not validate BCDs where the CATCH INFORMATION, TRADE INFORMATION FOR LIVE FISH TRADE, TRANSFER INFORMATION and FARMING INFORMATION sections are not completed and, where applicable, validated.

8. TRADE INFORMATION

(1) Completion

(a) General principles:

This section is applicable to dead bluefin tunas.

The domestic seller or exporter or their authorized representative or an authorized representative of the CPC of the seller/exporter shall be responsible for the completion and the request for validation of the TRADE INFORMATION section.

The TRADE INFORMATION section shall be completed prior to the fish being domestically traded or exported.

(b) Specific instructions:

"TRANSPORTATION DESCRIPTION": attach any relevant document certifying the trade.

(2) Validation

The CPC of the seller/exporter shall be responsible for the validation of the TRADE INFORMATION section unless bluefin tuna are tagged in accordance with Paragraph 20 of the Recommendation.

Remark: in cases where more than one domestic trade or export results from a single BCD, a copy of the original BCD shall be validated by the CPC of the domestic seller or exporter and shall be used and accepted as an original BCD. Government validation of this copy shall guarantee that it is a valid copy and has been recorded by authorities of the concerned CPC. Without the authorized government validation, any BCD copy is null and void.

In cases of re-export, the RE-EXPORT CERTIFICATE (**Annex 5**) shall be used to track further movements, which shall be related to the catch information of the original BCD of the catch via the original BCD number.

When bluefin tuna is caught by a CPC using the tagging system, exported dead to a country, and re-exported to another country, the BCD accompanying the re-exported certificate does not have to be validated. However, the re-exported certificate shall be validated.

After import, a bluefin tuna may be divided into several pieces, which then may be subsequently exported. The re-exporting CPC shall confirm that the re-exported piece is part of the original fish accompanied by the BCD.

Data to be Included in the Bluefin Tuna Re-export Certificate (BFTRC)

1. Document number of the BFTRC*

2. Re-export section

Re-exporting Country/Entity/Fishing Entity
Point of re-export*

3. Description of imported bluefin tuna

Product type F/FR RD/GG/DR/FL/OT⁴
Net weight (kg)*
BCD number(s) and date(s) of importation*
Flag CPC (s) of fishing vessel(s) or CPC of establishment of the trap, where appropriate

4. Description of bluefin tuna to be re-exported

Product type F/FR RD/GG/DR/FL/OT*¹
Net weight (kg)*
Corresponding BCD number(s) from section 3
State of destination

5. Statement of re-exporter

Name
Address
Signature
Date

6. Validation by governmental authorities

Name and address of the authority
Name and position of the official
Signature
Date
Government seal

7. Import section

Statement by the importer in the CPC of import of the bluefin tuna consignment
Name and address of the importer
Name and signature of the importer's representative and date
Point of import: City and CPC*

Note: Copies of the BCD(s) and Transport document(s) shall be attached.

* Information to be entered by the Secretariat in the BCD database (see paragraph 20).

⁴ When different types of products are recorded in this section, the weight shall be recorded by each product type.

1. DOCUMENT NUMBER	ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE			
2. RE-EXPORT SECTION: RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY POINT OF RE-EXPORT				
3. DESCRIPTION OF IMPORTED BLUEFIN TUNA				
Product Type F/FR RD/GG/DR/FL/OT	Net Weight (kg)	Flag CPC	Date of import	BCD No.
4. DESCRIPTION OF BLUEFIN TUNA FOR RE-EXPORT				
Product Type F/FR RD/GG/DR/FL/OT	Net Weight (kg)	Corresponding BCD number		
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Gutted, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product:)				
STATE OF DESTINATION:				
5. RE-EXPORTER STATEMENT: I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Name	Address	Signature	Date	
6. GOVERNMENT VALIDATION: I validate that the above information is complete, true and correct to the best of my knowledge and belief.				
Name & Title	Signature	Date	Government Seal	
7. IMPORT SECTION IMPORTER STATEMENT: I certify that the above information is complete, true and correct to the best of my knowledge and belief.				
Importer Certification				
Name	Address	Signature	Date	
Final Point of Import: City	State/Province	CPC		

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

Note: Valid transport document and copies of the BCDs shall be attached.

**Report on the Implementation of the
ICCAT Bluefin Tuna Catch Documentation Programme**

Reporting CPC:

Period of reference: 1 January to 31 December [2XXX]

1. Information extracted from BCDs

- Number of BCDs validated
- Number of validated BCDs received
- Total amount of bluefin tuna products traded domestically, with breakdown by fishing areas and fishing gears
- Total amount of bluefin tuna products imported, exported, transferred to farms, re-exported with breakdown by CPC of origin, re-export or destination, fishing areas and fishing gears
- Number of verifications of BCDs requested to other CPCs and summary results
- Number of requests for verifications of BCDs received from other CPCs and summary results
- Total amount of bluefin tuna consignments subject to a prohibition decision with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), reasons for prohibition and CPCs and/or non-Contracting Parties of origin or destination

2. Information on cases under Part VI paragraph 22.

- Number of cases
- Total amount of bluefin tuna with breakdown by products, nature of operation (domestic trade, import, export, re-export, transfer to farms), CPCs or other countries referred to in Part VI paragraph 22.

**RECOMMENDATION BY ICCAT AMENDING FOUR
RECOMMENDATIONS AND ONE RESOLUTION**

RECOGNISING that the ICCAT Bluefin Tuna Catch Documentation Program replaced the ICCAT Bluefin Tuna Statistical Document Program;

NOTING that many previously adopted Recommendations and Resolutions make reference to the Bluefin Tuna Statistical Document and to Statistical Document Programs in general;

CONSIDERING that the coverage of bluefin tuna is intended in references to Statistical Document Programs in general;

FURTHER NOTING that the measures adopted for the previous bluefin tuna statistical document program pertained to the bigeye tuna and swordfish statistical document programs;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

1. References to the 'bluefin tuna statistical document program' and 'bluefin tuna statistical documents' be replaced by 'bluefin tuna catch document program' and 'bluefin tuna catch documents' in the following provisions:
 - i) *Recommendation by ICCAT on Bluefin Tuna Farming* (Rec. 06-07): paragraphs 2b and 2f, paragraph 4, paragraph 8, paragraph 9f and the Caging Declaration contained in the Annex to the Recommendation;
 - ii) *Recommendation by ICCAT Concerning Trade Measures* (Rec. 06-13), paragraph 2b.
2. The phrases 'Statistical Document Programs' and 'Statistical Documents' be replaced respectively by the phrases 'Statistical or Catch Document Programs' and 'Statistical Documents or Catch Documents' in the *Resolution by ICCAT on Compliance with the ICCAT Conservation and Management Measures* (Res. 94-09), paragraph 5 and paragraph 7.
3. The first sentence of paragraph 2(3) of the *Recommendation by ICCAT Concerning the ICCAT Bigeye Tuna Statistical Document Program* (Rec. 01-21) and the *Recommendation by ICCAT Establishing a Swordfish Statistical Document Program* (Rec. 01-22) be replaced, *mutatis mutandis*, by paragraphs A-D of the *Resolution by ICCAT Concerning Validation by a Government Official of the Bluefin Tuna Statistical Document* (Res. 93-02).
4. Paragraph 14 of the *Recommendation by ICCAT Concerning the ICCAT Bigeye Tuna Statistical Document Program* (Rec. 01-21) and paragraph 13 of the *Recommendation by ICCAT Establishing a Swordfish Statistical Document Program* (Rec. 01-22) be replaced *mutatis mutandis* by the *Recommendation by ICCAT on Validation of the Bluefin Tuna Statistical Document by the European Community* (Rec. 98-12).
5. This measure repeals and replaces the *Recommendation by ICCAT Amending Ten Recommendations and Three Resolutions* (Rec. 08-11).