

[03-19]

**RECOMMENDATION BY ICCAT
CONCERNING THE AMENDMENT OF THE FORMS OF
THE ICCAT BLUEFIN/BIGEYE/SWORDFISH STATISTICAL DOCUMENTS**

NOTING that the *Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessel Over 24 Meters Authorized to Operate in the Convention Area* [02-22] prescribes that both exporting and importing Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities (hereinafter referred to as CPCs) shall cooperate to ensure that the forgery of or misinformation in statistical documents is avoided;

RECOGNIZING that additional information such as vessel length and time of harvest is necessary for better implementation of Commission's conservation and management measures and for the smooth implementation of the Recommendation [02-22];

CONSIDERING that the Standing Committee on Research and Statistics (SCRS) and Ad Hoc Data Workshop are strongly concerned about the quality of the catch data including statistics related to bluefin farming;

FURTHER RECOGNIZING the necessity of better data collection on farming tuna through the Statistical Document Program;

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION
OF ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

1. The sample forms of the statistical documents and the re-export certificate and instruction sheets in the following Recommendations and Resolution shall be replaced by the attached forms and instructions respectively.
 - a) *Resolution by ICCAT Concerning the Effective implementation of the ICCAT Bluefin Tuna Statistical Document Program* [94-5]
 - b) *Recommendation by ICCAT Concerning the Implementation of the ICCAT Bluefin Tuna Statistical Document Program on Re-export* [97-4]
 - c) *Recommendation by ICCAT Concerning the ICCAT Bigeye Tuna Statistical Document Program* [01-21]
 - d) *Recommendation by ICCAT Establishing a Swordfish Statistical Document Program* [01-22]
2. Related to the *Recommendation by ICCAT on Bluefin Tuna Farming* [03-09], the Contracting Parties, Cooperating non-Contracting Parties, Entities or Fishing Entities that export farmed bluefin tuna products shall ensure to mark the box "Farmed" in the first line of the ICCAT Bluefin Tuna Statistical Document or the box in item 5 of the ICCAT Bluefin Tuna Re-export Certificate.
3. The Commission shall communicate with other relevant regional fishery management bodies which established the statistical document programs and the authorized vessel records and request them to implement the similar reform.

DOCUMENT NUMBER	ICCAT BLUEFIN TUNA STATISTICAL DOCUMENT					
	? Capture			? Farmed		
EXPORT SECTION:						
1. FLAG COUNTRY/ENTITY/FISHING ENTITY						
2. DESCRIPTION OF VESSEL (if applicable)						
Vessel Name	Registration Number	LOA (m)	ICCAT Record No. (if applicable)			
3. TRAPS OR FARMS (if applicable)						
Name and Address						
4. POINT OF EXPORT (City, State/Province, Country/Entity/Fishing Entity)						
5. DESCRIPTION OF FISH						
Product Type ^a	Time of Harvest	Gear Code ^b	Area of Catch ^c	Net Weight	Tag Number	
<i>F/FR RD/GG/DR/FL/OT</i>	<i>(mm/yy)</i>			<i>(kg)</i>	<i>(if applicable)</i>	
^a F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product: _____)						
^b When the Gear Code is OT, describe the type of gear: _____						
^c Ocean area (e.g., east/west Atlantic, Mediterranean, Pacific)						
6. EXPORTER CERTIFICATION:						
I certify that the above information is complete, true and correct to the best of my knowledge and belief.						
Name	Address	Signature	Date	License # (if applicable)		
7. GOVERNMENT VALIDATION:						
I validate that the above information is complete, true and correct to the best of my knowledge and belief.						
						Total weight of the shipment: _____ kg
Name & Title	Signature		Date	Government Seal		
IMPORT SECTION						
8. IMPORTER CERTIFICATION:						
I certify that the above information is complete, true and correct to the best of my knowledge and belief.						
Importer Certification (Intermediate Country/Entity/Fishing Entity)						
Name	Address	Signature	Date	License # (if applicable)		
Importer Certification (Intermediate Country/Entity/Fishing Entity)						
Name	Address	Signature	Date	License # (if applicable)		
Importer Certification (Final Destination of Shipment)						
Name	Address	Signature	Date	License # (if applicable)		
Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____						

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

BLUEFIN TUNA STATISTICAL DOCUMENT INSTRUCTION SHEET

Pursuant to the 1992 ICCAT Recommendations, bluefin tuna dealers who import bluefin tuna into the territory of an ICCAT Contracting Party or at the first entry into a regional economic organization will be required to complete the appropriate sections of the Document. Only complete and valid documents will guarantee that shipments of bluefin tuna will be allowed to enter the territory of Contracting Parties. Shipments of bluefin tuna that are accompanied by improperly documented Bluefin Tuna Statistical Documents (i.e., improperly documented means that the Bluefin Tuna Statistical Document is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bluefin tuna, that are contrary to ICCAT conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction.

Please use this Instruction Sheet as a guideline to complete that section of the Bluefin Tuna Statistical Document that applies to Exporters, Importers, and Government Validation. If a language other than English is used in completing the form, please add the English translation on the Document. **NOTE: IF A BLUEFIN TUNA PRODUCT IS EXPORTED DIRECTLY TO JAPAN, WITHOUT FIRST GOING THROUGH AN INTERMEDIATE COUNTRY, ALL FISH CAN BE IDENTIFIED ON ONE DOCUMENT. HOWEVER, IF THE BLUEFIN TUNA PRODUCT IS EXPORTED THROUGH AN INTERMEDIATE COUNTRY (i.e. A COUNTRY OTHER THAN THE COUNTRY WHICH IS THE FINAL DESTINATION OF THE PRODUCT), SEPARATE DOCUMENTS MUST BE PREPARED FOR DIFFERENT FINAL DESTINATIONS OR EACH FISH MAY BE ACCOMPANIED BY A SEPARATE DOCUMENT TO IDENTIFY ANY POSSIBLE SEPARATION OF SHIPMENTS BY AN INTERMEDIATE COUNTRY. THE IMPORT OF FISH PARTS OTHER THAN THE MEAT, i.e., HEAD, EYES, ROE, GUTS, TAILS MAY BE ALLOWED WITHOUT THE DOCUMENT.**

INSTRUCTIONS

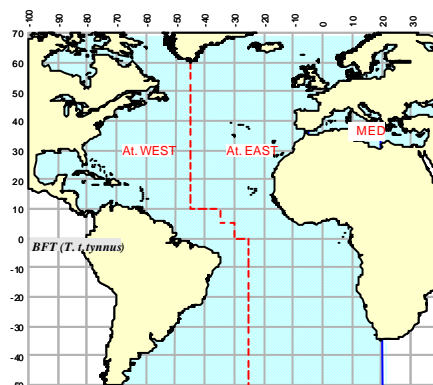
DOCUMENT NUMBER: Block for the issuing Country to designate a country coded Document Number.

TITLE: Check the appropriate box.

- (1) **FLAG COUNTRY:** Fill in the name of the country of the vessel that harvested the bluefin tuna in the shipment and issued this Document. According to the ICCAT Recommendation, only the flag state of the vessel that harvested the bluefin tuna in the shipment can issue this Document.
- (2) **DESCRIPTION OF VESSEL (if applicable):** Fill in the name, registration number, length overall (LOA) and ICCAT Record number of the vessel that harvested the bluefin tuna in the shipment. When tag numbers are provided in section 5 and the vessel harvested is smaller than 24 meters in LOA, this section need not be filled in.
- (3) **TRAPS OR FARMS (if applicable):** Fill in the name and address of the trap/farm that harvested or farmed the bluefin tuna in the shipment.
- (4) **POINT OF EXPORT:** Identify the City, State or Province, and Country from which the bluefin tuna was exported.
- (5) **DESCRIPTION OF FISH:** The exporter must provide, to the highest degree of accuracy, the following information. **NOTE:** One row should describe one product type.
 - (1) Product Type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.
 - (2) Time of Harvest: Fill in the time of harvest (in month and year) of the bluefin tuna in the shipment.
 - (3) Gear Code: Identify the gear type which was used to harvest the bluefin tuna using the list below. For OTHER TYPE, describe the type of gear.
 - (4) Area of Catch: Identify the general area of the ocean in which the bluefin tuna product was harvested (i.e. east, west Atlantic, Mediterranean (see the map below), Pacific).
 - (5) Net product weight in kilograms.
 - (6) Country Coded Tag Number (if applicable).
- (6) **EXPORTER CERTIFICATION:** The person or company exporting the bluefin tuna shipment must provide his/her name, address, signature, date the shipment was exported, and dealer license number (if applicable).
- (7) **GOVERNMENT VALIDATION:** Fill in the name and full title of the official signing the Document. The official must be in the employment of the competent government authority of the flag state of the vessel that harvested the bluefin tuna appearing on the Document. This requirement may be waived according to the ICCAT RESOLUTION CONCERNING VALIDATION BY A GOVERNMENT OFFICIAL OF THE BLUEFIN TUNA STATISTICAL DOCUMENT.
- (8) **IMPORTER CERTIFICATION:** The person or company that imports bluefin tuna must provide his/her name, address, signature, date the bluefin was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

GEAR CODE:

GEAR CODE	GEAR TYPE
BB	BAITBOAT
GILL	GILLNET
HAND	HANDLINE
HARP	HARPOON
LL	LOGLINE
MWT	MID-WATER TRAWL
PS	PURSE SEINE
RR	ROD AND REEL
SPHL	SPORT HANDLINE
SPOR	SPORT FISHERIES UNCLASSIFIED
SURF	SURFACE FISHERIES UNCLASSIFIED
TL	TENDED LINE
TRAP	TRAP
TROL	TROLL
UNCL	UNSPECIFIED METHODS
OT	OTHER TYPE



RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag state).

DOCUMENT NUMBER	ICCAT BLUEFIN TUNARE-EXPORT CERTIFICATE		
RE-EXPORT SECTION:			
1. RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY			
2. POINT OF RE-EXPORT			
3. FARMS (if applicable)			
4. DESCRIPTION OF IMPORTED FISH			
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight <i>(kg)</i>	Flag Country/ Entity/Fishing Entity	Date of import
5. DESCRIPTION OF FISHFOR RE-EXPORT			
Product Type <i>F/FR RD/GG/DR/FL/OT</i>	Net Weight <i>(kg)</i>		
		? Farmed	
		? Farmed	
		? Farmed	
F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Gutted, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product: _____)			
6. EXPORTER CERTIFICATION:			
I certify that the above information is complete, true and correct to the best of my knowledge and belief.			
Name	Address	Signature	Date
License # (if applicable)			
7. GOVERNMENT VALIDATION:			
I validate that the above information is complete, true and correct to the best of my knowledge and belief.			
			Total weight of the shipment: _____ kg
Name & Title	Signature	Date	Government Seal
IMPORT SECTION			
8. IMPORTER CERTIFICATION:			
I certify that the above information is complete, true and correct to the best of my knowledge and belief.			
Importer Certification (Intermediate Country/Entity/Fishing Entity)			
Name	Address	Signature	Date
License # (if applicable)			
Importer Certification (Intermediate Country/Entity/Fishing Entity)			
Name	Address	Signature	Date
License # (if applicable)			
Importer Certification (Final Destination of Shipment)			
Name	Address	Signature	Date
License # (if applicable)			
Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____			

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE INSTRUCTION SHEET

Under the framework of the ICCAT Bluefin Tuna Statistical document Program, a request for the establishment of a system enabling re-export was growing recently. In 1997, a Recommendation was adopted to implement the ICCAT Bluefin Tuna statistical Document Program for Re-export. Pursuant to this Recommendation, bluefin tuna dealers who import re-exported*1 bluefin tuna to Japan will be required to submit an ICCAT Bluefin Tuna Re-export Certificate*2 to be validated by a government official of the intermediate country or area*3, or by a recognized institution, such as a Chamber of Commerce and Industry, accredited by the government of the intermediate country or area. A copy of the original Bluefin Tuna Statistical Document (BFTSD) accompanying the bluefin tuna at the time of importation must be attached to the Re-export Certificate. The copy of the original BFTSD so attached must be verified by a government official of the intermediate country or area, or by a recognized institution, such as a Chamber of Commerce and Industry, accredited by the government of the intermediate country or area. When re-exported bluefin tuna are again re-exported*4, all copies of documents, including a verified copy of a BFTSD and Re-export Certificate which accompanied that bluefin tuna must be attached to a new Re-export Certificate to be validated by a government official of the last intermediate country or area, or by a recognized institution, such as a Chamber of Commerce and Industry, accredited by the government of the last intermediate country or area. Only bluefin tuna accompanied by a complete and valid Re-export Certificate will be allowed to enter Japan. Shipments of re-exported bluefin tuna accompanied by an improperly documented*5 Re-export Certificate will be considered illegitimate shipments of re-exported bluefin that are contrary to ICCAT conservation efforts, and their entry into Japan will be suspended pending receipt of a properly documented Re-export Certificate.

NOTE:

*1 "Re-export" means that bluefin tuna passes through a country or an area (tax-exempted areas are excluded) after being exported from the flagship country or the area (tax-exempted areas are excluded) of the fishing vessel which has caught that bluefin tuna.

*2 Hereinafter called "Re-export Certificate".

*3 "An intermediate country or area" means a country or an area through which bluefin tuna pass after being exported from the flagship country or the area (tax-exempted areas are excluded) of the fishing vessel which has caught that bluefin tuna.

*4 Re-export of bluefin tuna from one Member State of the European Union to another is exempted.

*5 "Improperly documented" means that the Re-export Certificate is either missing from the shipment, incomplete, invalid, or falsified.

Please use this instruction sheet as a guideline to complete that section of the Bluefin Tuna Re-export Certificate that applies to Exporters, Importers, and Government Validation. If a language other than English is used in completing the form, please add the English translation on the Certificate. NOTE: IF A BLUEFIN TUNA PRODUCT IS RE-EXPORTED DIRECTLY TO JAPAN, WITHOUT FIRST GOING THROUGH AN INTERMEDIATE COUNTRY/ENTITY/FISHING ENTITY, ALL FISH CAN BE IDENTIFIED ON ONE CERTIFICATE. HOWEVER, IF THE BLUEFIN TUNA PRODUCT IS RE-EXPORTED THROUGH AN INTERMEDIATE COUNTRY/ENTITY/FISHING ENTITY (i.e. A COUNTRY/ENTITY/FISHING ENTITY OTHER THAN THE COUNTRY/ENTITY/FISHING ENTITY WHICH IS THE FINAL DESTINATION OF THE PRODUCT), A SEPARATE CERTIFICATE MUST BE PREPARED FOR DIFFERENT FINAL DESTINATIONS OR EACH FISH MAY BE ACCOMPANIED BY A SEPARATE CERTIFICATE TO IDENTIFY ANY POSSIBLE SEPARATION OF SHIPMENTS BY AN INTERMEDIATE COUNTRY/ENTITY/FISHING ENTITY. THE IMPORT OF FISH PARTS OTHER THAN THE MEAT, i.e. HEADS, EYES, ROE, GUTS, TAILS MAY BE ALLOWED WITHOUT THE CERTIFICATE.

INSTRUCTIONS

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

(1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY

Fill in the name of the Country/Entity/Fishing Entity that re-exports the bluefin tuna in the shipment and issued this Certificate. According to the ICCAT Recommendation, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

(2) POINT OF RE-EXPORT

Identify the City/State Province and Country/Entity/Fishing Entity from which the bluefin tuna was re-exported.

(3) FARMS (if applicable)

Fill in the name and address of the farm that farmed the bluefin tuna in the shipment.

(4) DESCRIPTION OF IMPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the bluefin tuna in the shipment. (4) Date of import: Imported date.

(5) DESCRIPTION OF RE-EXPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Mark the box "Farmed" if the bluefin tuna in the shipment was farmed at the re-exporting country/entity/fishing entity.

(6) RE-EXPORTED CERTIFICATION

The person or company re-exporting the bluefin tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

(7) GOVERNMENT VALIDATION

Fill in the name and full title of the official signing the Certificate. The official must be in the employment of the competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate. This requirement may be waived according to the ICCAT RESOLUTION CONCERNING VALIDATION BY AN GOVERNMENT OFFICIAL OF THE BLUEFIN STATISTICAL DOCUMENT.

(8) IMPORTER CERTIFICATION

The person or company that imports bluefin tuna must provide his/her name, address, signature, date the bluefin tuna was imported, license number (if applicable) and re-exported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting Country/Entity/Fishing Entity).

DOCUMENT NUMBER	ICCAT BIGEYE TUNA STATISTICAL DOCUMENT
EXPORT SECTION:	
1. FLAG COUNTRY/ENTITY/FISHING ENTITY	
2. DESCRIPTION OF VESSEL (if applicable)	
Vessel Name	Registration Number
LOA (m)	ICCAT Record No. (if applicable)
3. TRAPS (if applicable)	
4. POINT OF EXPORT (City, State/Province, Country/Entity/Fishing Entity)	
5. AREA OF CATCH (Check one of the following)	
(a) Atlantic	(b) Pacific
(c) Indian	
* In case of (b) or (c) is checked, the items 6 and 7 below do not need to be filled out.	
6. DESCRIPTION OF FISH	
Product Type (*1)	Time of Harvest
Gear Code (*2)	Net Weight
<i>F/FR RD/GG/DR/FL/OT</i>	<i>(mm/yy)</i>
<i>(kg)</i>	
*1 F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Guttled, DR=Dressed, FL=Fillet, OT=Others (Describe the type of product: _____)	
*2 When the Gear Code is OT, describe the type of gear: _____	
6. EXPORTER CERTIFICATION:	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Name	Address
Signature	Date
License # (if applicable)	
7. GOVERNMENT VALIDATION:	
I validate that the above information is complete, true and correct to the best of my knowledge and belief.	
Total weight of the shipment: _____ kg	
Name & Title	Signature
Date	Government Seal
IMPORT SECTION	
8. IMPORTER CERTIFICATION:	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Final Destination of Shipment)	
Name	Address
Signature	Date
License # (if applicable)	
Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____	

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

ICCAT BIGEYE TUNA STATISTICAL DOCUMENT INSTRUCTION SHEET

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a country/entity/fishing entity encoded Document Number.

(1) FLAG COUNTRY/ENTITY/FISHING ENTITY: Fill in the name of the country/entity/fishing entity of the vessel that harvested the bigeye tuna in the shipment and issued this Document. According to the ICCAT Recommendation, only the flag state of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting state, can issue this Document.

(2) DESCRIPTION OF VESSEL (if applicable): Fill in the name, registration number, length overall (LOA) and ICCAT Record number of the vessel that harvested the bigeye tuna in the shipment.

(3) TRAPS (if applicable): Fill in the name of the trap that harvested the bigeye tuna in the shipment.

(4) POINT OF EXPORT: Identify the City, State or Province, and Country/Entity/Fishing Entity from which the bigeye tuna was exported.

(5) AREA OF CATCH: Check the area of catch. (If (b) or (c) checked, items 6 and 7 below do not need to be filled out.)

(6) DESCRIPTION OF FISH: The exporter must provide, to the highest degree of accuracy, the following information. **NOTE:** One row should describe one product type.

(1) Product Type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.

(2) Time of Harvest: Fill in the time of harvest (in month and year) of the 0 tuna in the shipment.

(3) Gear Code: Identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.

(4) Net product weight in kilograms.

(7) EXPORTER CERTIFICATION: The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer licensenumber (if applicable).

(8) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the Document. The official must be employed by a competent authority of the flag state government of the vessel that harvested the bigeye tuna appearing on the Document or other individual or institution authorized by the flag state. When appropriate, this requirement is waived according validation of the document by a government official, or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. The total weight of the shipment shall also be specified in this block. The substitutional measure described in paragraphs A-D of the *Resolution by ICCAT Concerning Validation by a Government Official of the Bluefin Tuna Statistical Document* [93-2], adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Bigeye Tuna Statistical Document Program.

(9) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

GEAR CODE:

<i>GEAR CODE</i>	<i>GEAR TYPE</i>
BB	BAITBOAT
GILL	GILLNET
HAND	HANDLINE
HARP	HARPOON
LL	LONGLINE
MWT	MID-WATER TRAWL
PS	PURSE SEINE
RR	ROD AND REEL
SPHL	SPORT HANDLINE
SPOR	SPORT FISHERIES UNCLASSIFIED
SURF	SURFACE FISHERIES UNCLASSIFIED
TL	TENDED LINE
TRAP	TRAP
TROL	TROLL
UNCL	UNSPECIFIED METHODS
OT	OTHER TYPE

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag state).

DOCUMENT NUMBER	ICCAT SWORDFISH STATISTICAL DOCUMENT
EXPORT SECTION:	
1. FLAG COUNTRY/ENTITY/FISHING ENTITY	
2. DESCRIPTION OF VESSEL (if applicable)	
Vessel Name	Registration Number
LOA (m)	ICCAT Record No. (if applicable)
3. POINT OF EXPORT:	
CITY, STATE OR PROVINCE	COUNTRY/ENTITY/FISHING ENTITY _____
4. AREA OF CATCH (Check one of the following)	
(a) North Atlantic	(b) South Atlantic
(c) Mediterranean	(d) Pacific
(e) Indian	
* In case of (d) or (e) is checked, the items 5 and 6 below do not need to be filled out.	
5. DESCRIPTION OF FISH	
Product Type ^a	Time of Harvest
F/FR RD/GG/DR/FL/OT	(mm/yy)
	Gear Code ^b
	Net Weight
	(kg)
^a F=Fresh, FR=Frozen, RD=Round, GG=Gilled & Gutted, DR=Dressed, FL=Fillet, ST=Steak, OT=Others (Describe the type of product: _____)	
^a When the Gear Code is OT, describe the type of gear: _____	
6. EXPORTER CERTIFICATION: For export to countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg(33lb.) or if pieces, the pieces were derived from a swordfish weighing >15kg.	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Name	Address
Signature	Date
License # (if applicable)	
7. GOVERNMENT VALIDATION:	
I validate that the above information is complete, true and correct to the best of my knowledge and belief.	
Total weight of the shipment: _____ kg	
Name & Title	Signature
Date	Government Seal
IMPORT SECTION	
8. IMPORTER CERTIFICATION:	
I certify that the above information is complete, true and correct to the best of my knowledge and belief.	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Intermediate Country/Entity/Fishing Entity)	
Name	Address
Signature	Date
License # (if applicable)	
Importer Certification (Final Destination of Shipment)	
Name	Address
Signature	Date
License # (if applicable)	
Final Point of Import: City _____ State/Province _____ Country/Entity/Fishing Entity _____	

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION ON THIS DOCUMENT.

ICCAT SWORDFISH STATISTICAL DOCUMENT INSTRUCTION SHEET

Pursuant to the 2001 ICCAT recommendation, swordfish imported into the territory of a Contracting Party or upon first entry into a regional economic organization must be accompanied by an ICCAT Swordfish Statistical Document (SWD) beginning January 1, 2003. Swordfish dealers who export or import swordfish from all ocean areas will be required to complete the appropriate sections of the SWD. Only complete and valid documents will guarantee that shipments of swordfish will be allowed to enter the customs territory of Contracting Parties (e.g., Japan, Canada, U.S., Spain, etc.). Improperly documented swordfish shipments (i.e., the SWD is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate and contrary to ICCAT conservation efforts. Entry of improperly documented swordfish will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the customs territory of a Contracting Party or subject to administrative or other sanctions.

Please use the instructions below as a guide to complete the sections that apply to Exporters, Importers, and Government Validation. If a language other than English is used for description, please add an English translation either on the SWD or on a separate paper. Note: if a swordfish product is exported directly from the harvesting Country/Entity/Fishing Entity to a Contracting Party, without going through an intermediate Country/Entity/Fishing Entity, all fish can be identified on one document. However, if the swordfish product is exported through an intermediate Country/Entity/Fishing Entity (i.e., a Country/Entity/Fishing Entity other than the Country/Entity/Fishing Entity which is the final destination of the product), separate documents must be prepared for fish destined for different final destinations, or only one fish may be identified on a document to cope with any possible separation in an intermediate Country/Entity/Fishing Entity. Import of swordfish parts other than meat (i.e., heads, eyes, roe, guts, tails) may be allowed entry without an accompanying SWD.

DOCUMENT NUMBER: This block is for the issuing Country/Entity/Fishing Entity to designate a country coded Document Number.

(1) FLAG COUNTRY/ENTITY/FISHING ENTITY - Fill in the name of the Country/Entity/Fishing Entity of the vessel that harvested the swordfish in the shipment and issued this Document. According to the ICCAT Recommendation, only the flag state of the vessel that harvested the swordfish in the shipment, or, if the vessel is operating under a chartering arrangement, the exporting state, can issue this Document.

(2) DESCRIPTION OF VESSEL (if applicable): Fill in the name, registration number, length overall (LOA) and ICCAT Record number of the vessel that harvested the swordfish in the shipment.

(3) POINT OF EXPORT - Identify the City and State or Province, and country/entity/fishing entity from which the swordfish was exported.

(4) AREA OF CATCH - Check the area of catch. (In case of (d) or (e) checked, items 4 and 5 need not be completed).

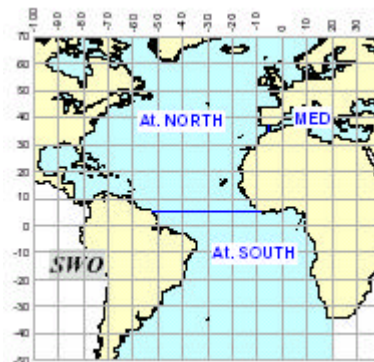
(5) DESCRIPTION OF FISH - The exporter must provide, to the highest degree of accuracy, the following information. (NOTE: One row should describe one product type.) (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment; (2) Time of Harvest: Fill in the time of harvest (month and year) of the swordfish in the shipment; (3) Gear Code: Identify the gear type which was used to harvest the swordfish using the listed codes; (5) Net weight: Net product weight in kilograms.

(6) EXPORTER CERTIFICATION - The person or company exporting the swordfish shipment must provide his/her name, signature, address, date the shipment was exported, and dealer license number (if applicable). For countries that have adopted the ICCAT alternative minimum size for swordfish the exporter must certify that the listed Atlantic swordfish are greater than 15 kg (33 lb.) or if pieces, the pieces were derived from a swordfish weighing >15 kg.

(7) GOVERNMENT VALIDATION - Fill in name and full title of the official signing the SWD. The official must be employed by a competent authority of the flag state government of the vessel that harvested the swordfish appearing on the SWD or other individual or institution authorized by the flag state or if the vessel is operating under a charter arrangement, by a government official or other authorized individual or institution of the exporting state. Net weight must also be certified and recorded. The substitutional measure described in paragraphs A-D of the *Resolution by ICCAT concerning Validation by a Government Official of the Bluefin Tuna Statistical Document* [93-2], adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Swordfish Statistical Document Program.

(8) IMPORTER CERTIFICATION - The person or company that imports swordfish must provide their name, signature, address, date the swordfish was imported, license number (if applicable) and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, signature of the importer may be substituted by person of a custom clearance company when the authority of signature is properly accredited to the company

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water trawl
PS	Purse seine
RR	Rod and reel
SPHL	Sport handline
SPOR	Sport fisheries, unclassified
SURF	Surface fisheries, unclassified
TL	Tended line
TRAP	Trap
TROL	Troll
UNCL	Unspecified methods
OT	Other type: Describe the type of gear



Original completed document must accompany exported shipment. Retain a copy for your records. The original (imports) or a copy (exports) must be postmarked and mailed, or faxed, within 24 hours of import or export to: XXXX