

INTER-AMERICAN TROPICAL TUNA COMMISSION

**74<sup>TH</sup> MEETING**

BUSAN (KOREA)  
26-30 JUNE 2006

**RESOLUTION C-06-04**

**RESOLUTION ON ESTABLISHING A PROGRAM FOR TRANSHIPMENTS  
BY LARGE-SCALE FISHING VESSELS**

*The Inter-American Tropical Tuna Commission (IATTC):*

*Taking account* of the need to combat illegal, unregulated and unreported (IUU) fishing activities because they undermine the effectiveness of the management regime already adopted by IATTC;

*Expressing grave concern* that organized tuna laundering operations have been conducted, and a significant amount of catches by IUU tuna longline fishing vessels have been transhipped under the names of duly licensed fishing vessels;

*In view therefore of the need* to ensure the monitoring of the transshipment activities by large-scale longline vessels in the Convention Area, including the control of their landings;

*Resolves as follows:*

**SECTION 1. GENERAL RULES**

1. Except under the special conditions outlined below in Section 2 and in accordance with Sections 3, 4 and 5 below for transshipment operations at sea, all transshipment operations of tuna and tuna-like species in the IATTC Convention Area must take place in port.
2. Each IATTC Party, Cooperating Non-party, fishing entity or regional economic integration organization (collectively “CPCs”) shall take the necessary measures to ensure that large-scale tuna fishing vessels<sup>1</sup> (“LSTFVs”) flying its flag comply with the obligations set out in Annex 1 when transshipping in port.
3. This resolution does not apply to troll vessels, pole-and-line vessels or vessels engaged in the transshipment of fresh fish<sup>2</sup> at sea.

**SECTION 2. PROGRAM TO MONITOR TRANSHIPMENTS AT SEA**

4. The Commission hereby establishes a program to monitor transshipments at sea, which applies initially to large-scale tuna longline fishing vessels (“LSTLFVs”) and to carrier vessels authorized to receive transshipments from LSTLFVs at sea.
5. Each CPC shall determine whether or not to authorize its LSTLFVs to tranship at sea. Any such transshipments must be conducted in accordance with the procedures defined in Sections 3, 4 and 5, and annexes 2 and 3 of this Resolution.

**SECTION 3. RECORD OF VESSELS AUTHORIZED TO RECEIVE TRANSHIPMENTS-AT-SEA IN THE CONVENTION AREA**

6. The Commission shall establish and maintain a record of carrier vessels authorized to receive tuna and tuna-like species at sea from LSTLFVs in the Convention Area (IATTC Record of Carrier

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<sup>1</sup> For the purposes of this Resolution, “large-scale tuna fishing vessels” are defined as all vessels fishing beyond areas of national jurisdiction and targeting tuna or tuna-like species.

<sup>2</sup> For the purposes of this Resolution, “fresh fish” means tuna or tuna-like species that are live, whole or dressed/gutted, but not further processed or frozen.

Vessels). For the purposes of this Resolution, carrier vessels not on this Record are deemed not to be authorized to receive tuna and tuna-like species in at-sea transshipment operations.

7. By 1 July 2008, each CPC shall submit to the Director, in electronic format if possible, the list of the carrier vessels that are authorized to receive at-sea transshipments from its LSTLFVs in the Convention Area. This list shall include the following information for each vessel:
  - a. The flag of the vessel;
  - b. Name of vessel, register number;
  - c. Previous name (if any);
  - d. Previous flag (if any);
  - e. Details of previous deletion from other registries (if any);
  - f. International radio call sign;
  - g. Type of vessels, length, gross registered tonnage (GRT) and carrying capacity;
  - h. Name and address of owner(s) and operator(s)
  - i. Time period authorized for transshipping
8. Each CPC shall promptly notify the Director, after the establishment of the initial IATTC Record, of any addition to, deletion from and/or modification of the IATTC Record, at the time such changes occur.
9. The Director shall maintain the IATTC Record and take measures to ensure publicity of the Record and through electronic means, including placing it on the IATTC website, in a manner consistent with confidentiality requirements notified by CPCs for their vessels.
10. Carrier vessels authorized for at-sea transshipment shall be required to install and operate a VMS in accordance with Resolution C-04-06 on the establishment of a Vessel Monitoring System (VMS).

#### **SECTION 4. AT-SEA TRANSHIPMENT**

11. Transshipments by LSTLFVs in waters under the jurisdiction of the CPCs are subject to prior authorization from the Coastal State concerned. CPCs shall take the necessary measures to ensure that LSTLFVs flying their flag comply with the following conditions:

##### **Flag State Authorization**

12. LSTLFVs are not authorized to tranship at sea unless they have obtained prior authorization from their Flag State.

##### **Notification obligations**

###### **Fishing vessel:**

13. To receive the prior authorization mentioned in paragraph 11 above, the master and/or owner of the LSTLFV must notify the following information to its Flag State authorities at least 24 hours in advance of the intended transshipment:
  - a. the name of the LSTLFV and its number in the LSTLFV List,
  - b. the name of the carrier vessel and its number in the IATTC Record of Carrier Vessels, and the product to be transhipped,
  - c. the tonnage by product to be transhipped,
  - d. the date and location of transshipment,
  - e. the geographic location of the tuna catches.

The LSTLFV concerned shall complete and transmit to its flag State, not later than 15 days after the transshipment, the IATTC transshipment declaration, along with its number in the IATTC LSTLFV List, in accordance with the format set out in Annex 2.

**Receiving carrier vessel:**

14. The master of the receiving carrier vessel shall complete and transmit the IATTC transshipment declaration to the Director and the flag CPC of the LSTLFV, along with its number in the IATTC Record of Carrier Vessels, within 24 hours of the completion of the transshipment.
15. The master of the receiving carrier vessel shall, 48 hours before landing, transmit an IATTC transshipment declaration, along with its number in the IATTC Record of Carrier Vessels, to the competent authorities of the State where the landing takes place.

**Regional Observer Program**

16. Each CPC shall ensure that, not later than 1 January 2009, all its carrier vessels that tranship at sea have on board an IATTC observer, in accordance with the IATTC Regional Observer Program in Annex 3. The IATTC observer shall monitor compliance with this Resolution, and notably that the transshipped quantities are consistent with the catch reported in the IATTC transshipment declaration.
17. Vessels shall be prohibited from commencing or continuing at-sea transshipping in the Convention Area without an IATTC observer on board, except in cases of *force majeure* duly notified to the Director.

**SECTION 5. GENERAL PROVISIONS**

18. To ensure the effectiveness of the IATTC conservation and management measures pertaining to species covered by Statistical Document Programs:
  - a. In validating the Statistical Document, Flag CPCs of LSTLFVs shall ensure that transshipments are consistent with the reported catch amount by each LSTLFV.
  - b. The Flag CPC of the LSTLFV shall validate the Statistical Documents for the transshipped fish, after confirming that the transshipment was conducted in accordance with this Resolution. This confirmation shall be based on the information obtained through the IATTC Observer Program.
  - c. CPCs shall require that the catches of species covered by the Statistical Document Programs by LSTLFVs in the Convention Area, when imported into the territory of a CPC, be accompanied by validated statistical documents and a copy of the IATTC transshipment declaration.
19. Each CPC shall report annually before 30 June to the Director:
  - a. The quantities by species transshipped during the previous year.
  - b. The names of its vessels on the IATTC LSTLFV List which have transhipped during the previous year.
  - c. A comprehensive report assessing the content and conclusions of the reports of the observers assigned to carrier vessels which have received transshipment from its LSTLFVs.
20. All tuna and tuna-like species landed in or imported into, the territory of a CPC, either unprocessed or after having been processed on board and which are transhipped, shall be accompanied by the IATTC transshipment declaration until the first sale has taken place.
21. Each year, the Director shall present a report on the implementation of this Resolution to the annual meeting of the Commission, which shall review compliance with this Resolution.
22. These provisions will be applicable from 1 July 2008. At its 2010 Annual Meeting, the Commission shall review and, as appropriate, revise this Resolution.

## ANNEX 1

### CONDITIONS RELATING TO IN-PORT TRANSHIPMENT BY LSTFVS

#### General

1. Transshipment operations in port may only be undertaken in accordance with the procedures detailed below.

#### Notification obligations

2. Fishing vessel:
  - 2.1. At least 48 hours prior to transshipping, the captain of the vessel must notify the following information to the Port State authorities:
    - a. the name of the vessel and its number in the IATTC Regional Vessel Register,
    - b. the name of the carrier vessel, and the product to be transhipped,
    - c. the tonnage, by product, to be transhipped,
    - d. the date and location of transshipment,
    - e. the major fishing grounds of the tuna and tuna-like species catches.
  - 2.2. The captain of a LSTFV shall, at the time of the transshipment, inform the vessel's Flag State of the following;
    - a. the products and quantities involved,
    - b. the date and place of the transshipment,
    - c. the name, registration number and flag of the receiving carrier vessel,
    - d. the geographic location of the tuna and tuna-like species catches.
  - 2.3. The captain of the LSTFV shall complete and transmit to the vessel's flag State not more than 15 days after the transshipment, the IATTC transshipment declaration, along with the vessel's number in the IATTC LSTFV List, in accordance with the format set out in Annex 2.

#### Receiving vessel

3. Not later than 24 hours before the beginning and at the end of the transshipment, the master of the receiving carrier vessel shall inform the authorities of the Port State in which the transshipment takes place of the quantities of catches of tuna and tuna-like species transhipped to the carrier vessel, and complete and transmit to the competent authorities of the vessel's flag CPC the IATTC transshipment declaration.

#### Landing State

4. The master of the receiving carrier vessel shall, 48 hours before landing, complete an IATTC transshipment declaration, and transmit it to the competent authorities of the landing State where the landing is to take place.
5. The Port State and the landing State referred to in the above paragraphs shall take the appropriate measures to verify the accuracy of the information received, and shall cooperate with the flag CPC of the LSTFV to ensure that landings are consistent with the catches reported by the vessel. This verification shall be carried out so that the vessel suffers the minimum interference and inconvenience and that degradation of the fish is avoided.
6. Each flag CPC with LSTFVs shall report each year to the IATTC the details of the transshipments by its vessels.

## ANNEX 3

### IATTC REGIONAL OBSERVER PROGRAM

1. Each CPC shall require carrier vessels included in the IATTC Record of Carrier Vessels which tranship at sea, to carry an IATTC observer during each transshipment operation in the Convention Area.
2. The Director shall appoint the observers, and shall place them on board the carrier vessels authorized to receive transshipments in the Convention Area from LSTLFVs flying the flag of Parties and of Cooperating non-Parties and fishing entities that implement the IATTC observer program established by this Resolution.

#### Designation of the observers

3. The designated observers shall have the following qualifications:
  - a. sufficient experience to identify species and fishing gear;
  - b. satisfactory knowledge of IATTC conservation and management measures;
  - c. the ability to observe and record accurately;
  - d. a satisfactory knowledge of the language of the flag of the vessel observed.

#### Obligations of the observer

4. Observers shall:
  - a. have completed the technical training required by the guidelines established by IATTC;
  - b. be nationals of one of the CPCs and, to the extent possible, not of the flag CPC of the receiving carrier vessel;
  - c. be capable of performing the duties set forth in point 5 below;
  - d. be included in the list of observers maintained by the Director;
  - e. not be a crew member of an LSTLFV or an employee of an LSTLFV company.
5. The observer tasks shall be in particular to:
  - a. monitor the carrier vessel's compliance with the relevant conservation and management measures adopted by the Commission. In particular the observers shall:
    - i. record and report upon the transshipment activities carried out;
    - ii. verify the position of the vessel when engaged in transshipping;
    - iii. observe and estimate products transhipped;
    - iv. verify and record the name of the LSTLFV concerned and its registration number;
    - v. verify the data contained in the transshipment declaration;
    - vi. certify the data contained in the transshipment declaration;
    - vii. countersign the transshipment declaration;
  - b. issue a daily report of the carrier vessel's transshipping activities;
  - c. establish general reports compiling the information collected in accordance with this paragraph and provide the captain the opportunity to include therein any relevant information.
  - d. submit to the Director the aforementioned general report within 20 days from the end of the period of observation.
  - e. exercise any other functions as defined by the Commission.
6. Observers shall treat as confidential all information with respect to the fishing operations of the LSTLFVs and of the LSTLFVs owners and accept this requirement in writing as a condition of

appointment as an observer;

7. Observers shall comply with requirements established in the laws and regulations of the flag State which exercises jurisdiction over the vessel to which the observer is assigned.
8. Observers shall respect the hierarchy and general rules of behavior which apply to all vessel personnel, provided such rules do not interfere with the duties of the observer under this program, and with the obligations of vessel personnel set forth in paragraph 9 of this program.

#### **Obligations of the Flag States of carrier vessels**

9. The responsibilities regarding observers of the flag States of the carrier vessels and their captains shall include the following, notably:
  - a. Observers shall be allowed access to the vessel personnel and to the gear and equipment;
  - b. Upon request, observers shall also be allowed access to the following equipment, if present on the vessels to which they are assigned, in order to facilitate the carrying out of their duties set forth in paragraph 5:
    - i. satellite navigation equipment;
    - ii. radar display viewing screens when in use;
    - iii. electronic means of communication.
  - c. Observers shall be provided accommodations, including lodging, food and adequate sanitary facilities, equal to those of officers;
  - d. Observers shall be provided with adequate space on the bridge or pilot house for clerical work, as well as space on deck adequate for carrying out observer duties; and
  - e. The flag States shall ensure that captains, crew and vessel owners do not obstruct, intimidate, interfere with, influence, bribe or attempt to bribe an observer in the performance of his/her duties.

The Director, in a manner consistent with any applicable confidentiality requirements, is requested to provide to the flag State of the carrier vessel under whose jurisdiction the vessel transhipped and to the Flag CPC of the LSTLFV, copies of all raw data, summaries, and reports pertaining to the trip.

The Director shall submit the observer reports to the Compliance Working Group and to the Stock Assessment Working Group.

#### **Observer fees**

- a. The costs of implementing this program shall be financed by the flag CPCs of LSTLFVs wishing to engage in transshipment operations. Each CPC shall determine the manner in which it covers the costs. The fee shall be calculated on the basis of the total costs of the program. This fee shall be paid into a special account of the Director and the Director shall manage the account for implementing the program;
- b. No observer shall be assigned to a vessel for which the fees, as required under subparagraph a), have not been paid.

**ANNEX 2  
IATTC TRANSHIPMENT DECLARATION**

Carrier Vessel	Fishing Vessel
Name of the Vessel and Radio Call Sign: Flag: Flag state license number: National Register Number, if available: IATTC Register Number, if available:	Name of the Vessel and Radio Call Sign: Flag: Flag state license number: National Register Number, if available: IATTC Register Number, if available:

Day    Month    Hour    Year    (2\_0\_ )    Agent's name:    Master's name of LSTV:    Master's name of Carrier:

Departure    |    |    |    |    from    |    |    |    |  
 Return        |    |    |    |    to    |    |    |    |    Signature:        Signature:        Signature:  
 Transhipment |    |    |    |    |    |    |    |

Indicate the weight in kilograms or the unit used (e.g. box, basket) and the landed weight in kilograms of this unit: |    | kilograms LOCATION OF TRANSHIPMENT

Species	Port	Sea	Type of product													
			Whole	Cutted	Headed	Fileted										

If transhipment effected at sea, IATTC Observer Signature: