

Resolution on the Implementation of a CCSBT Catch Documentation Scheme

(revised at the Twenty-First Annual meeting: 16 October 2014)

Referring to the principles adopted to guide the development of a catch documentation scheme (CDS) at CCSBT12 in 2005 and the ‘Resolution on the implementation of a CDS to record all catch of Southern Bluefin Tuna regardless of whether the Southern Bluefin tuna were traded’, adopted at CCSBT 13 in 2006;

Noting the need for Members and Cooperating Non-Members to provide for the tracking and validation of legitimate product flow from catch to the point of first sale on domestic or export markets;

Bearing in mind the need to achieve harmonisation of Catch Documentation Schemes across Regional Fisheries Management Organisations;

Emphasising that a CDS must be applied consistently and comprehensively across all sectors of the global SBT fishery to accurately confirm the SBT catch by each Member and Cooperating Non-member;

In accordance with Article 8.3(b) of the Convention on the Conservation of Southern Bluefin Tuna, the Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT) adopts the following measure to monitor compliance with the Commission’s conservation measures:

1. General Provisions and Application

- 1.1 All Members and Cooperating Non-Members shall implement the CCSBT CDS for southern bluefin tuna (SBT) to document the movement of all SBT as outlined in this resolution. The CCSBT CDS incorporates CCSBT CDS documentation and tagging of SBT.
- 1.2 For transshipments, landings of domestic product¹, exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member or Other State/Fishing Entity Cooperating in the CDS (OSEC²), all SBT shall be accompanied by a document described in section 3 of this resolution. There is no waiver of this requirement. However, the exportation/import of fish parts other than the meat³ (i.e. head, eyes, roe, guts, tails) may be allowed without the document.
- 1.3 Transfers of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.
- 1.4 Members or Cooperating Non-Members that prohibit the sale of fish caught by recreational fishers may exempt their recreational fisheries from the requirements of the CCSBT CDS.

¹ The term ‘landing of domestic product’ means landing of SBT into the territory of a Member or Cooperating Non-Member caught by a vessel flagged to that Member or Cooperating Non-Member or on the register of fishing vessels of that Member or Cooperating Non-Member.

² The term ‘Other State/Fishing Entity Cooperating in the CDS’ will be abbreviated to ‘OSEC’ within this resolution and means a State/Fishing Entity that has expressed its commitment, in writing, to cooperate with this resolution.

³ Any meat separated from fish parts is considered to be meat in this context.

- 1.5 The Commission shall request the cooperation of appropriate authorities of States other than Members and Cooperating Non-Members of the Extended Commission that are involved in catching, landing, transferring and/or farming of SBT in the implementation of this resolution.
- 1.6 Members, Cooperating Non-Members and OSECs shall not permit the landing as domestic product, transshipment, import, export and/or re-export of SBT caught by vessels not authorised to catch SBT and (if SBT farming is conducted under their jurisdiction) the transfer of SBT to or between, and harvest of SBT from, farms not authorised to farm SBT.
- 1.7 Members, Cooperating Non-Members and OSECs shall not permit whole SBT to be landed as domestic product, transhipped, exported, imported or re-exported without a tag, except that:
 - 1.7.1 in the case of farming operations, the SBT may be landed without a tag provided a tag is attached within 30 hours of kill;
 - 1.7.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board the vessel, the tag may be attached at landing;
 - 1.7.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no or insufficient tags on board, the tag may be attached at landing.
- 1.8 In exceptional circumstances, where a tag becomes accidentally detached and cannot be reattached, a replacement tag shall be attached as soon as possible and no later than the time of landing, transshipment or export.
- 1.9 Members and Cooperating Non-Members shall report any exceptional circumstances referred to in 1.7.2, 1.7.3 or 1.8 to the Executive Secretary within 7 days of the landing. The report shall provide details of the exceptional circumstances, the number of SBT tagged and for 1.8, the old (where known) and new tag number(s).
- 1.10 Members and Cooperating Non-Members shall require that tags be retained on whole SBT to at least the first point of sale for landings of domestic product, and shall encourage the retention of tags on whole fish thereafter.

2. Registers Required

- 2.1 A record of farms is to be established and maintained by the Executive Secretary to identify authorised farms.
- 2.2 A record of vessels, maintained by the Executive Secretary, identifies all authorised vessels.
- 2.3 CCSBT CDS Documents that record information for vessels and/or farms not included on the above-mentioned authorised registers shall not be considered valid Documents for the purposes of this scheme.

3. Documents and Information Required

- 3.1 The CCSBT CDS Documents are :
 - 3.1.1 Farm Stocking Form – records information on the catch, towing and farming of SBT
 - 3.1.2 Farm Transfer Form – records information on the transfers of SBT between farms
 - 3.1.3 Catch Monitoring Form – records information on the catch, landing, transshipment, export, and import of all SBT regardless of whether farmed or not, including unexpected catch
 - 3.1.4 Catch Tagging Form – records information on individual fish tagged as part of the CDS
 - 3.1.5 Re-export or Export after Landing of Domestic Product Form – records information on SBT already tracked on the Catch Monitoring Form to the initial point of landing of domestic product or import that is, either in full or part, exported or re-exported.
- 3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 A – D.
- 3.3 Once approved forms are adopted, only minimal modifications, such as the addition of translations, may be made⁴. No information field may be omitted from the standard form, except where the field is not applicable.
- 3.4 Any documentation modified, as described in 3.3⁵, shall be provided to the Executive Secretary for distribution to other Members, Cooperating Non-Members, as well as Non-Members known to be involved in the landing, transshipment, import, export, or re-export of SBT.
- 3.5 Significant amendments to the forms and form content may be made only with the agreement of the Commission at its annual meeting based on recommendations from the CCSBT Compliance Committee.
- 3.6 CCSBT CDS documents must be uniquely numbered.

4. Tagging

- 4.1 Members and Cooperating Non-Members shall require that an SBT tag be attached to each whole SBT at the time of kill except:
 - 4.1.1 in the case of farming operations, the tag may be attached within 30 hours of kill;
 - 4.1.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board, the tag may be attached at landing;
 - 4.1.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no, or insufficient, tags on board, the tag may be attached at landing.

⁴ However the Catch Tagging Form may be amended to include additional information at the discretion of the Member or Cooperating Non-Member.

⁵ With the exception of additions to the Catch Tagging Form.

- 4.2 As outlined at 3.1.4, a Catch Tagging Form records relevant tagging information for individual SBT. The Catch Tagging Form shall be filled in as soon as practicable after the time of kill. Length and weight measurements shall be conducted before the SBT is frozen. Where measurements cannot be accurately done on board the vessel, they may be made at the time of landing or transshipment, provided the measurements and the associated Catch Tagging Form are filled in before any further transfer of the SBT.
- 4.3 Completed Catch Tagging Forms shall be provided to the flag Members and Cooperating Non-Members which shall provide the information in the Catch Tagging Form to the Executive Secretary in an electronic format on a quarterly basis.
- 4.4 A tagging programme shall meet the minimum procedural and information standards set out in Appendix 2.
- 4.5 Members and Cooperating Non-Members shall prohibit the unauthorised use of SBT tags.

5. Validation

- 5.1 The CCSBT CDS documentation must be validated (or signed in the case of transshipments at sea) as applicable by:
 - 5.1.1 for landings of domestic product, an official of the flag Member or Cooperating Non-Member of the catching vessel or, when the fishing vessel is operating under a charter arrangement, by a competent authority or institution of the chartering Member or Cooperating Non-Member; and
 - 5.1.2 for all SBT transshipments subject to CCSBT *Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels*, the observer required by that resolution; and
 - 5.1.3 for all export of SBT, an official of the exporting Member or Cooperating Non-Member; and
 - 5.1.4 for all re-export of SBT, an official of the re-exporting Member, Cooperating Non-Member, or OSEC.
- 5.2 The authority to validate CDS documents may be delegated to an authorised person by an official of the relevant State/fishing entity. Members, Cooperating Non-Members and OSECs who utilise delegated person/s shall submit a certified copy of such delegation/s to the Executive Secretary. The individual who certifies a CCSBT CDS Document shall not be the same person who validates the Document.
- 5.3 Members, Cooperating Non-Members and OSECs shall provide to the Executive Secretary information on validation (including type of validation, name of the organization which validates the documents, title and name and signature of officials who validate the documents, sample impression of stamp or seal, and a list of all persons holding delegated authority to validate CCSBT CDS documentation prior to those officials and persons exercising the authority). Members, Cooperating Non-Members and OSECs shall inform the Executive Secretary of any changes in a timely fashion.

- 5.4 The Executive Secretary will maintain and update the information specified in 5.3 and provide it to all Members, Cooperating Non-Members and OSECs and promptly circulate any changes.
- 5.5 Members, Cooperating Non-Members and OSECs shall not validate any CCSBT CDS documentation referred to in 3.1 that is not complete, has obviously incorrect information, or has not been validated as required by this resolution.
- 5.6 No Member or Cooperating Non-Member or OSEC shall accept any SBT for transshipment, landing of domestic product, export, import, or re-export where any or all required documents do not accompany the relevant consignment of SBT, where fields of information required on the form are not completed, or where the form has not been validated as required by this resolution.
- 5.7 Full or partial consignments of untagged whole SBT must not be validated or accepted for transshipment, landing of domestic product, export (including export after landing of domestic product), import or re-export (except where the tag is no longer required to be attached to the SBT because further processing has occurred, as outlined at Appendix 2).
- 5.8 Members and Cooperating Non-Members shall undertake an appropriate level of audit, including inspections of vessels, landings, and where possible markets, to the extent necessary to validate the information contained in the CDS documentation.
- 5.9 Members and Cooperating Non-Members shall include in their annual review of SBT fisheries, details on the level of coverage and type of audit undertaken, in accordance with 5.8, and the level of compliance.

6. Exchange of Information and Confidentiality of Data

- 6.1 Members, Cooperating Non-Members and OSECs shall retain all original CCSBT CDS Documents (or scanned electronic copies of the original documents) received by them for a minimum of 3 years after the most recent signed date on the form. Members, Cooperating Non-Members and OSECs shall also retain a copy of any CCSBT CDS Documents issued by them for a minimum of 3 years after the most recent issuing state/entity signed date on the form. Copies of these CDS Documents (except the Catch Tagging Form⁶) shall be forwarded⁷ to the Executive Secretary on a quarterly basis.
- 6.2 The Executive Secretary shall compile the raw data from CDS documentation into an electronic database. The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any State/fishing entity only the raw data relating to the CCSBT CDS Documents it validated. If a State/fishing entity requests CCSBT CDS Documents relating to another State/fishing entity, the Executive Secretary may release those data only with the latter's agreement.

⁶ Requirements to provide information in the Catch Tagging Form are set out in 4.3.

⁷ Either as a copy of the original form or in electronic format containing all the information in the forms.

- 6.3 The Executive Secretary shall report to the Extended Commission on and circulate to all Members and Cooperating Non-Members the data collected by the CCSBT CDS each year by 1 June for the period of 1 January - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The information to be contained in the reports is specified in **Appendix 3**. The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member and Cooperating Non-Member.
- 6.4 The Executive Secretary will post on the public area of the CCSBT web site a subset of the report comprising:
- Flag State/fishing entity;
 - Harvest year;
 - Product destination (including landings of domestic product);
 - Gear code;
 - Net weight;
 - Estimated whole weight (calculated by applying a conversion factor to the net weight);
 - Copies of all modified CDS forms provided in accordance with paragraph 3.4.
- 6.5 On request by the Scientific Committee, Compliance Committee, or other subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the CCSBT CDS more frequently or at a greater level of detail than specified in 6.3.
- 6.6 The Executive Secretary shall analyse the data provided in 6.1 and notify the relevant Member(s) or Cooperating Non-Member(s) of any identified discrepancies.

7. Verification of CDS Documentation

- 7.1 Each Member and Cooperating Non-Member shall ensure that its competent authorities, or other authorised individual or institution, take steps to identify each consignment of SBT landed as domestic product in, imported into or exported or re-exported from its territory and examine the validated CCSBT CDS Documents for each consignment of SBT. These competent authorities, or authorised individuals or institutions, may also examine the content of the consignment to verify the information contained in the CCSBT CDS Document and in related documents and, where necessary, shall carry out verifications with the operators concerned.
- 7.2 Each Member and Cooperating Non-Member shall review information, and investigate and resolve any irregularities identified in relation to their information in the CDS reports, including any discrepancies identified during the comparison of data from the Executive Secretary. Among other matters, Members and Cooperating Non-Members shall cross-check the report provided by the Executive Secretary under 6.3 using information available to it.

- 7.3 Each Member, Cooperating Non-Member and OSEC shall, as soon as practicable, identify to the Executive Secretary and relevant Members, Cooperating Non-Members and OSECs, any consignments of SBT where there are:
- 7.3.1 doubts about the information contained in any associated CDS documentation; or
 - 7.3.2 incomplete, missing or unvalidated CCSBT CDS documentation.
- 7.4 Each Member and Cooperating Non-Member shall co-operate and take all necessary steps with relevant authorities, and within domestic law, to review, investigate and resolve any concerns identified in 7.1 and 7.2, and notify the Executive Secretary of the outcome of any such action for inclusion in its report to the Commission.
- 7.5 The Compliance Committee will consider the summary information compiled by the Executive Secretary in 6.3 and 6.4, including any irregularities and anomalies identified and the outcome of any investigations notified under 7.3.
- 7.6 The Commission, may, on the recommendation of the Compliance Committee, consider any action as may be required in relation to the findings and outcomes of any verification investigation. Such action may be, but is not limited to, a review of this or other relevant compliance measures.
- 7.7 Members, Cooperating Non-members and OSECs shall cooperate to ensure that CDS documents are not forged and/or do not contain misinformation.

8. Access to and Security of Information

- 8.1 Subject to each Member's, Cooperating Non-Member's and OSEC's national law, the information produced from the CCSBT CDS shall be confidential and may only be used in support of CCSBT purposes or for any other purpose agreed by the Commission.
- 8.2 Where necessary, in support of catch verification procedures, Members, Cooperating Non-Members and OSECs agree to exchange the necessary supporting information and, where relevant, evidence as may be necessary to verify the integrity of the flow of CDS information and to reconcile any discrepancies.

9. Implementation and Review

- 9.1 This resolution will enter into force on 1 January 2010.⁸ From that date, it replaces the CCSBT Southern Bluefin Tuna Statistical Document Programme adopted by CCSBT on 1 June 2000. For SBT caught before 1 January 2010, the tagging requirements of the CDS may be exempted until 30 June 2010 and the CCSBT CDS Documents shall be completed in the most practicable manner.
- 9.2 The Compliance Committee will review this resolution no later than at its 2011 meeting to identify any implementation issues, strengths, and weaknesses, and to recommend options to improve this resolution and its supporting procedures at the Extended Commission meeting. This review will

⁸ Japan may use its current tagging system until the end of its 2009-10 fishing season (31 March 2010).

include any concerns associated with the breakage or loss of tags and the extent of the use of exemptions in 1.8 and 1.9 as reported by Members and Cooperating Non-Members to the Executive Secretary. The date for a subsequent review will also be agreed at this time.

- 9.3 The Executive Secretary will monitor available technology associated with electronic documentation and fish tags to assist the Compliance Committee in its reviews.

Catch Documentation Scheme Forms



CATCHING VESSEL SECTION

Name of Catching Vessel	Registration Number	Flag State/Fishing Entity
Date Range of Fishing		CCSBT Statistical Area of Fishing
First	Last	

TOWING SECTION

Name of Towing Vessel	Registration Number	Flag State/Fishing Entity	Date Tow Commenced

Description of Mortalities during Towing

Number of tow cages	Date Range		Number of Mortalities	Weight of Mortalities (kg)
	First Tow	Last Tow		

FARM TRANSFER SECTION

Name of SBT Farm	Date Range of Transfers		Average Weight of Fish (kg)	Method of Weight Estimation	Total Weight (kg)	Number of Fish
	First	Last				

VALIDATION SECTION

Certification by Quota Holder: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Signature	Date

Validation by Authority: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title	Signature	Date

OFFICIAL SEAL



This form is to be completed by the authority of the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken. This form must be completed at the end of the fishing season and before the SBT are recorded on a Catch Monitoring Form.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Farm Stocking Form has 4 main sections: (1) Catching Vessel; (2) Towing; (3) Farm Transfer; and (4) Validation
All sections of this form must be completed

The top of the form contains 1 additional piece of information that must always be completed. This is -
Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

CATCHING VESSEL SECTION

Name of Catching Vessel: Enter the name of the catching vessel.
Registration Number: Enter the Registration Number of the catching vessel.
Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the catching vessel.
Date Range of Fishing: Enter dates for the First and Last day of fishing.
CCSBT Statistical Area of Fishing: Enter the area in which the southern bluefin tuna were caught using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas areas (11 to 13) where there is no corresponding main area.

Where fish have been placed in a tow cage by more than one catching vessel, the number and weight of mortalities and the number and weight of SBT transferred to each farm are to be equally proportioned between the vessels.

TOWING SECTION

NOTE: One row shall describe one Towing Vessel.

Name of Towing Vessel: Enter the name of the Towing vessel.
Registration Number: Enter the Registration Number of the Towing vessel.
Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the Towing vessel.
Date Tow Commenced: Enter the date on which the towing commenced.

Description of Mortalities during Towing

Information for the total mortalities that occurred during all tows should be recorded.

Number of Tow Cages: Enter the total number of tow cages.
Date Range: Enter the first and last dates of the tows.
Number of mortalities: Enter the total number of mortalities that occurred.
Weight of mortalities (kg): Enter the total weight (kg) of all mortalities that occurred.

* Note that any SBT mortalities occurring during towing that are landed for commercial sale should not be recorded on this form, but instead, should be recorded on a Catch Monitoring Form as wild caught fish.

FARM TRANSFER SECTION

Name of SBT Farm: Enter the name of the SBT farm to which SBT were transferred.
Date Range of transfers: Enter dates for the First and Last days of transfer.
Average Weight (kg) of fish: Enter the average weight (kg) of all fish transferred.
Method of weight estimation: Enter the method used to estimate the average weight. Use 40FS for the 40 fish sampling method. For other methods, codes will be provided as they are developed.
Total Weight (kg): Enter the total verified weight (kg) for all fish transferred.
Number of Fish: Enter the total number of fish transferred, verified from the fish count.

VALIDATION SECTION

Certification by Quota Holder: The Quota holder shall complete this section, with his/her full name, signature and date to certify that the form correctly records the fish that were transferred to the farm(s).
Validation by Authority: Enter the name and full title of the official¹ signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal.

¹ The official must be in the employment of, or delegated by, the competent authority of the Member, or Co-operating Non-Member where the farm sites are located. The Member or Co-operating Non-Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.



• TRANSFERRING SECTION

CCSBT Farm Serial Number	Name of Transferring Farm	State/Fishing Entity

• TOWING SECTION

Name of Towing Vessel	Registration Number	Flag State/Fishing Entity	Date of Tow

Estimated Weight (kg) of Fish	Estimated Number of Fish

• RECEIVING SECTION

CCSBT Farm Serial Number	Name of Receiving Farm	State/Fishing Entity

• CERTIFICATION SECTION

Certification **by Transferring Farm**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Signature	Date

Certification **by Receiving Farm**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Signature	Date



This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must accompany each transfer of SBT between farms and a copy of the form must be provided to the issuing State/Fishing Entity.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

The Farm Transfer Form has 4 main sections: (1) Transferring Section; (2) Towing Section; (3) Receiving Section; and (4) Certification Section. All sections of this form must be completed

The top of the form contains 1 additional piece of information that must always be completed. This is -

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

• TRANSFERRING SECTION

CCSBT Farm Serial Number: Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

Name of Transferring Farm: Enter the name of the SBT Farm that the fish are being transferred from.

State / Fishing Entity: Enter the State or Fishing Entity of the transferring farm.

• TOWING SECTION

Name of Towing Vessel: Enter the name of the towing vessel.

Registration Number: Enter the Registration Number of the towing vessel.

State / Fishing Entity: Enter the Flag State or Fishing Entity of the towing vessel.

Date of Tow: Enter the date of the tow (dd/mm/yyyy).

Estimated Weight (kg) of Fish: Enter the estimated weight (kg) of the fish being transferred.

Estimated number of fish: Enter the estimated number of fish being transferred during the tow.

• RECEIVING SECTION

CCSBT Farm Serial Number: Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

Name of Receiving Farm: Enter the name of the SBT Farm that is receiving the fish.

Flag State / Fishing Entity: Enter the State or Fishing Entity of the receiving farm.

• CERTIFICATION SECTION

Certification by Transferring Farm: The representative of the transferring farm shall provide , his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that are being transferred to the receiving farm.

Certification by Receiving Farm: The representative of the receiving farm shall provide , his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that are being received from the transferring farm.



Catch Tagging Form Document Numbers

CATCH / HARVEST SECTION - Tick and complete only one part

For Wild Fishery Name of Catching Vessel _____ Registration Number _____ Flag State/Fishing Entity _____

Or

For Farmed SBT CCSBT Farm Serial Number _____ Name of Farm _____

Document Number(s) of associated Farm Stocking (FS) Form(s) _____

Description of Fish

Product: F (Fresh) / FR (Frozen)	Type: RD/GGO/GGT/DRO/DRT/FL/OT*	Month of Catch/Harvest (mm/yy)	Gear Code	CCSBT Statistical Area	Net Weight (kg)	Total Number of whole Fish (including RD/GGO/GGT/DRO/DRT)
* For Other (OT): Describe the Type of Product			* For Other (OT): Specify Conversion Factor			

Name of Processing Establishment (if applicable) _____ Address of Processing Establishment (if applicable) _____

Validation **by Authority (not required for exports transhipped at sea)**: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title _____ Signature _____ Date _____

OFFICIAL SEAL

INTERMEDIATE PRODUCT DESTINATION SECTION - (only for transhipments and/or exports) - tick and complete required part(s)

Transhipment Certification **by Master of Fishing Vessel**: I certify that the catch/harvest information is complete, true and correct to the best of my knowledge and belief.

Name _____ Date _____ Signature _____

Name of Receiving Vessel _____ Registration Number _____ Flag State/Fishing Entity _____

Certification **by Master of Receiving Vessel**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name _____ Date _____ Signature _____

Signature **of Observer (only for transhipment at sea)**:

Name _____ Date _____ Signature _____

Export _____ **Point of Export*** _____ **Destination** (State/Fishing Entity) _____

City _____ State or Province _____ State/Fishing Entity _____

* For transhipments on the high seas, enter the CCSBT Statistical Area instead of State/Fishing Entity and leave other fields blank.

Certification **by Exporter**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name _____ Licence No. / Company Name _____ Date _____ Signature _____

Validation **by Authority**: I validate that the above information is complete, true and correct to the best of my knowledge and belief.

Name and Title _____ Signature _____ Date _____

OFFICIAL SEAL

FINAL PRODUCT DESTINATION SECTION - tick and complete only one destination

Landing of Domestic Product for Domestic sale. Certification of Domestic Sale: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Address	Date	Signature	Type: RD/GGO/GGT/DRO/DRT/FL/OT	Weight (kg)

Import _____ **Final Point of Import** _____

City _____ State or Province _____ State/Fishing Entity _____

Certification **by Importer**: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Name	Address	Date	Signature	Type: RD/GGO/GGT/DRO/DRT/FL/OT	Weight (kg)



INSTRUCTION SHEET

This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form (CMF) must accompany all transshipments, landings of domestic product, exports, imports and re-exports of SBT, and a copy of this form must be provided to the issuing State/Fishing Entity. The only exception is that exportation/import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails) may be allowed without this document. Please note that:

- For farms, Farm Stocking Form(s) must have been produced by the State/Fishing Entity for all SBT on the CMF and the document number of those Farm Stocking Form(s) must be recorded on the CMF.
- Catch Tagging Form(s) must have been completed for all SBT on the CMF and copies must be provided to the issuing State/Fishing Entity. The document numbers of these Catch Tagging Form(s) must be recorded on the CMF.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document. The Catch Monitoring Form has 3 main sections: (1) Catch/Harvest; (2) Intermediate Product Destination; and (3) Final Product Destination. The Catch/Harvest and Final Product Destination sections must always be completed. However, the Intermediate Product Destination section only needs to be completed if the product is being exported and/or transhipped.

The top of the form contains 2 additional pieces of information that must always be completed. These are:

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Catch Tagging Form Document numbers: Enter the unique document number of each Catch Tagging Form that is associated with this form. If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.

CATCH HARVEST SECTION - tick and complete only one part

Tick the box to specify whether the catch is for the Wild fishery or for Farmed SBT.

You must complete the part of the Catch Harvest section corresponding to the box that you ticked, and then complete the remainder of the section.

For Wild Fishery - This should only be completed for the wild fishery (not for farmed SBT)

Name of Catching Vessel: Enter the name of the catching vessel.

Registration Number: Enter the Registration Number of the catching vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the catching vessel.

For Farmed SBT- This should only be completed for farmed SBT

CCSBT Farm Serial Number: Enter the serial number of the farm as recorded on the CCSBT list of authorised farms.

Name of Farm: Enter the Name of the Farm.

Document number(s) of the Associated Farm Stocking (FS) Form(s): Enter the unique document number of each Farm Stocking Form that is associated with this form. The Farm Stocking Form Number(s) associated with this form should all be for fish stocked in the same fishing season. If there is insufficient room to enter all document numbers, enter this information on a separate page and attach that page.

Description of Fish

All SBT described in this section must be sent to the Final Product Destination. Split shipments are not permitted, so if SBT are to go to two or more different destinations, a separate Catch Monitoring Form must be completed for the catch sent to each destination.

The shipment of SBT must be described, to the highest degree of accuracy using the following information.

NOTE: One row shall describe one product type

Product: Enter the type of product being shipped as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
GGT	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
DRO	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed
DRT	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed
FL	Fillet	Processed further than DRT, with the trunk cut into fillets
OT	Other	None of the above

Month of Catch/Harvest (mm/yy): Enter the month and year of harvest of the southern bluefin tuna; in the case of farmed fish, this applies to the month of kill, not the month of initial harvest.

Gear code: Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. In the case of farmed fish, write "FARM".

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl
PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method



INSTRUCTION SHEET

CATCH HARVEST SECTION - (continued)

CCSBT Statistical Area: Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas (11 to 13) where there is no corresponding main area. In the case of farmed fish, it is not necessary to fill in this column. A map showing the statistical areas is provided on page 3 of these instructions.

Net Weight (kg): Enter the net product weight in kilograms. In the case of farmed SBT, this is the weight at the time of harvest from the farm (as opposed to when originally caught)

Total Number of whole Fish (including RD, GGO, GGT, DRO, DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

For Other (OT) Describe the type of product: If Product Type is Other (OT), then describe the product.

For Other (OT) Specify the Conversion Factor: If Product Type is Other (OT) then specify the Conversion Factor to be used to convert this weight to a whole weight equivalent.

Name of Processing Establishment (if applicable): Enter the name of the establishment that processed the southern bluefin tuna (if applicable).

Address of Processing Establishment (if applicable): Enter the address of the establishment that processed the southern bluefin tuna (if applicable).

Validation

Validation by Authority (not required for exports transhipped at sea): If this is not an export being transhipped at sea, enter the name and full title of the official¹ signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal. For SBT transhipped at sea and then landed domestically, validation should occur at the point of domestic landing (i.e. after transhipment).

INTERMEDIATE PRODUCT DESTINATION SECTION - only for transhipments and/or exports - tick and complete required part(s)

This section only needs to be completed if the product is being exported and/or transhipped.

Tick the box to specify whether the product is being transhipped or exported. If this is both a transhipment and an export then tick both boxes.

You must then complete the parts of the intermediate product destination section corresponding to the box(es) that you ticked.

Transhipment

Certification by Master of Fishing Vessel: In the case of all transhipments, the master of the fishing vessel shall complete this section, with his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the catch/harvest information.

The next part should be completed by the Master of the vessel receiving the southern bluefin tuna.

Name of Receiving Vessel: Enter the name of the Receiving vessel.

Registration Number: Enter the Registration Number of the Receiving vessel.

Flag State / Fishing Entity: Enter the Flag State or Fishing Entity of the Receiving vessel.

Certification by Master of Receiving Vessel: The master of the receiving vessel shall complete this section, with his/her full name, signature and date (dd/mm/yyyy) to certify that the form correctly records the fish that were transferred to the receiving vessel.

Signature of Observer (only for transhipments at sea): If a transhipment is covered by the CCSBT Resolution on Establishing a Program for Transhipment by Large-Scale Fishing Vessels (i.e. is transhipped at sea), then the observer must enter his/her full name, signature and date (dd/mm/yyyy). If there were any discrepancies between the observed transhipment and the information recorded on the Catch Monitoring Form, the observer's transhipment report should document those discrepancies.

Export

Point of Export

City: Enter the City of Export.

State or Province: Enter the State or Province of Export.

State/Fishing Entity: Enter the State/Fishing Entity of Export. For transhipments on the high seas, enter the CCSBT Statistical Area where the transhipment occurred and leave other fields blank.

Destination

State/Fishing Entity: Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

Certification by Exporter: The Exporter² must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field.

Validation by Authority: Enter the name and full title of the official¹ signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal.

FINAL PRODUCT DESTINATION SECTION - tick and complete only one Destination

Tick the box to specify whether the final destination of the product is for landing of domestic product or for import.

You must then complete the part of the final product destination section corresponding to the box that you ticked.

Landing of Domestic Product for Domestic Sale

Certification of domestic sale: The initial person or company that receives southern bluefin tuna from a domestic vessel for the purpose of domestic sale must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was landed/received, signature, type³, and weight (kg) of the complete domestic landing of SBT.

Import

Final Point of Import

City: Enter the City of Import.

State or Province: Enter the State or Province of Import.

State/Fishing Entity: Enter the State/Fishing Entity of Final Import.

Certification by Importer: The person or company that imports southern bluefin tuna must provide his/her/its name, address, date (dd/mm/yyyy) on which the southern bluefin tuna was imported, signature, type³, and weight (kg). For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

¹ The official must be in the employment of, or delegated by, the competent authority of the Member, or Co-operating Non-Member that issued the Catch Monitoring Form. The Member or Co-operating Non-Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

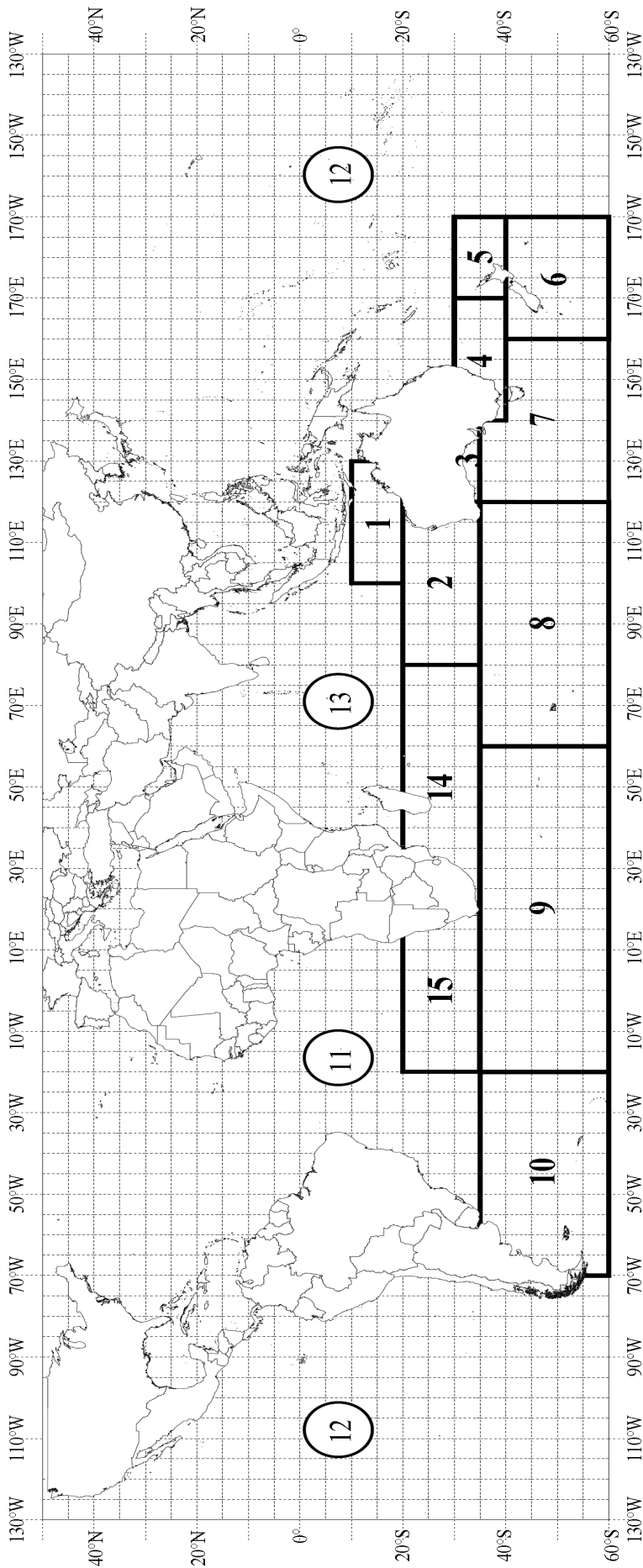
² The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

³ A list of types is provided in the 'Description of Fish' section.



INSTRUCTION SHEET

Map of CCSBT Statistical Areas





Re-Export ← Or → Export after Landing of Domestic Product (tick only one)

Within this form, the term "Export" includes both exports and re-exports

Full Shipment ← Or → Partial Shipment (tick only one)

Form Number of Preceding Document (Catch Monitoring Form, or Re-Export/Export After Landing of Domestic Product Form)	
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EXPORT SECTION

Exporting State/Fishing Entity	Point of Export		
	City	State or Province	State/Fishing Entity

Name of Processing Establishment (if applicable)	Address of Processing Establishment (if applicable)

Catch Tagging Form Document Numbers (if applicable)

Description of Fish from previous CDS Document			
Flag State/Fishing Entity	Date of previous Import/Landing		
Product: F (Fresh) / FR (Frozen)	Type: RD/GGO/ GGT/DRO/DRT/ FL/OT*	Weight (kg)	Total Number of whole Fish (including RD/ GGO/ GGT/ DRO/ DRT)
* For Other (OT): Describe the type of product			

Description of Fish being Exported			
Product: F (Fresh) / FR (Frozen)	Type: RD/GGO/ GGT/DRO/DRT/ FL/OT*	Weight (kg)	Total Number of whole Fish (including RD/GGO/ GGT/ DRO/ DRT)
* For Other (OT): Describe the type of product			

Destination (State/Fishing Entity)

Certification by Exporter : I certify that the above information is complete, true and correct to the best of my knowledge and belief.			
Name	Signature	Date	Licence No. / Company Name

Validation by Authority : I validate that the above information is complete, true and correct to the best of my knowledge and belief.			OFFICIAL SEAL
Name and Title	Signature		

IMPORT SECTION

Final Point of Import		
City	State or Province	State/Fishing Entity

Certification by Importer : I certify that the above information is complete, true and correct to the best of my knowledge and belief.			
Name	Address	Signature	Date

NOTE: The organization/person which validates the Export section shall verify the copy of the original CCSBT CDS Document. Such a verified copy of the original CCSBT CDS document must be attached to the Re-export/Export after Landing of Domestic Product (RE) Form. When SBT is Exported, all verified copies of concerned forms must be attached.



This form must accompany all re-exports of SBT and all exports of SBT that have previously been landed as domestic product, and a copy must be provided to the issuing State/Fishing Entity.

One REEF Form must be issued for:

- Each CMF that was previously landed as domestic product but is now being exported, OR
- Each REEF shipment that was imported and is being re-exported, together with a copy of its previously associated REEF(s) and CMF(s).

In addition, each REEF form must be accompanied by a copy of the associated Catch Monitoring Form and copies of any previously issued Re-Export/Export after Landing of Domestic Product Forms for the SBT being exported.

This form is not required for the "first" export of the particular SBT if those SBT are being landed for the sole purpose of exporting. In this circumstance, only a Catch Monitoring Form needs to be created and accompany the product.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document. The Re-export/Export after Landing of Domestic Product Form has 2 main sections: (1) Export; and (2) Import.

Within this form, the term "Export" includes both Exports and Re-exports.

The top of the form contains 4 additional pieces of information that must always be completed. These are -

Re-Export or Export after Landing of Domestic Product: Tick only one box to indicate whether this is a Re-Export, or an Export after Landing of Domestic Product.

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Full Shipment or Partial Shipment: Tick only one box to specify whether this Information is for a full or partial shipment. A Full shipment is where all the SBT in the preceding document are being exported.

Form number of Preceding Document: Enter the unique Document Number of the CDS form that precedes this. (Catch Monitoring Form or Re-Export/Export after Landing of Domestic Product Form).

EXPORT SECTION

Exporting State/Fishing Entity: Enter the Exporting State/Fishing Entity.

Point of Export: Enter the City, State or Province, and State/Fishing Entity of the point of Export.

Name of Processing Establishment (If applicable): Enter the full name of the Processing establishment (only required if further processing has taken place since the preceding CDS form).

Address of Processing Establishment (If applicable): Enter the full Address of the Processing establishment (only required if further processing has taken place since the preceding CDS form).

Catch Tagging Form Document Number(s) (if applicable): Enter the Document number of any Catch Tagging Forms that are associated with this form. This will be a subset of the Catch Tagging Forms that were recorded on the previous CDS document. The subset should include the Catch Tagging Forms for all whole SBT (including RD,GG,DR etc) that are being exported on this form. If no whole SBT are being exported, this field can be left empty.

Description of Fish from previous CDS Document

This section must describe all the SBT from the previous CDS document.

Flag State/ Fishing Entity: Enter the original catch/harvest Flag State/Fishing Entity.

Date of previous Import/Landing: Enter the date (dd/mm/yyyy) of the import or landing from the previous CDS document.

NOTE: Each row shall describe only one product type

Product: Enter the product as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table below that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
GGT	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
DRO	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed
DRT	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed
FL	Fillet	Processed further than DRT, with the trunk cut into fillets
OT	Other	None of the above

Weight(kg): Enter the weight (kg) of the fish.

Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

Other: Describe the type of product (if type is Other).

Description of Fish being Exported

This Export of SBT must be described, the the highest degree of accuracy using the following information.

NOTE: Each row shall describe only one product type.

Product: Enter the product being exported as either FRESH (F) or FROZEN (FR).

Type: Enter the type code from the table of 'Types' in the previous section of these instructions that most closely matches the type of the SBT. For OT, describe the type of product, and specify a conversion factor.

Weight(kg): Enter the weight (kg) of the fish being Exported.

Total Number of whole Fish (including RD,GGO,GGT,DRO,DRT): Enter the number of fish that remain whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.

Other: Describe the type of product (if type is Other).

Destination (State/Fishing Entity): Enter the State/Fishing Entity to which the southern bluefin tuna is being exported.

Certification and Validation

Certification by Exporter: The Exporter¹ must provide his/her name, signature, date (dd/mm/yyyy) and either the exporter license number or the exporter company name to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported). If the exporter does not have a licence number or exporter company name, then they should write their own name in this field.

Validation by Authority: Enter the name and full title of the official² signing the document, together with the signature of the official, date (dd/mm/yyyy) and official seal.

¹ The individual certifying as 'Exporter' must be an appropriate authority approved by the exporting company to make this declaration on the company's behalf, but it must not be the same individual as the authority validating the export.

² The official must be in the employment of, or delegated by, the competent authority of the State/Fishing Entity that exported the SBT appearing on the document. The Member, Co-operating Non-Member or Other State/Fishing Entity Cooperating in the CDS which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.



• **IMPORT SECTION**

Final Point of Import

City: Enter the City of Import.

State or Province: Enter the State or Province of Import.

State/Fishing Entity: Enter the State/Fishing Entity of Final Import.

Certification

Certification by Importer: The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date (dd/mm/yyyy) on which the southern bluefin tuna was imported. For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.



This form is to be issued by the State/Fishing Entity that holds the national quota allocation against which these SBT have been taken.

This form must be completed and provided to the issuing State/Fishing Entity when completing the associated Catch Monitoring Form.

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document. Completed Catch Tagging Forms shall be provided to the Flag State/Fishing Entity, who shall provide the information in the Catch Tagging Form to the CCSBT Executive Secretary in an electronic format on a quarterly basis.

The Catch Tagging Form has one main section (1) Catch.

The top of the form contains 3 additional pieces of information that must always be completed. These are -

Document Number: Enter the unique document number that was allocated by the State/Fishing Entity of origin for this form.

Wild Harvest or Farmed: Tick only one box to specify whether this information is from a Wild Capture, or from a Farm.

Document number of Associated Catch Monitoring Form: Enter the unique Document Number of the Catch Monitoring Form that relates to this form.

CATCH SECTION

Name of Fishing Vessel (or Farm): For farmed SBT, enter the name of the farm from which the SBT were harvested. For other SBT enter the name of the catching vessel.

Vessel Registration Number (or CCSBT Farm Serial Number): Enter the Registration Number of the Catching Vessel (or the CCSBT Farm Serial Number from the CCSBT Record of Authorised Farms).

Flag State/Fishing Entity: Enter the State or Fishing Entity of the Vessel or Farm.

Information on Other forms of Capture: Enter any relevant information on the form of capture (eg. Trap).

Tag Information

The Tagging information for each fish must be recorded.

NOTE: One row shall describe one tagged southern bluefin tuna.

CCSBT Tag Number: Enter the unique tag number of the tag that has been inserted into the fish.

Type: Enter the type code from the table below that most closely matches the type of the SBT.

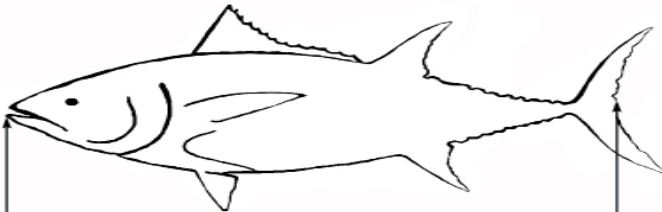
CODE	NAME	DESCRIPTION
RD	Round	SBT Without any processing
GGO	Gilled and Gutted - Tail on	Processed with gills and gut removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
GGT	Gilled and Gutted - Tail off	Processed with gills, gut and tail removed. Operculae (gill plates/covers) and dorsal, pelvic and anal fins may or may not be removed
DRO	Dressed - Tail on	Processed with gills, gut, operculae (gill plates/covers) and head removed. Dorsal, pelvic and anal fins may or may not be removed
DRT	Dressed - Tail off	Processed with gills, gut, operculae (gill plates/covers), head and tail removed. Dorsal, pelvic and anal fins may or may not be removed

Weight (kg): Enter the weight (kg) of the fish.

Fork Length (cm): Enter the fork length of the fish, rounded to the nearest whole centimetre.

In cases where SBT can be measured at the time of kill: measure the straight line horizontal (not curved over body) length of the fish from the closed mouth to the fork of the tail before freezing and tailing as shown in the diagram below.

In cases where length cannot be measured immediately after the time of kill, but is instead measured upon landing, and after tailing and before freezing: Measure the straight line horizontal (not curved over body) length of the fish from the closed mouth up to the point where the tail was removed, and then apply an appropriate conversion factor to this length measurement to convert it to a fork length measurement.



Gear code (if applicable): Identify the gear type that was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear. In the case of farmed fish, write "FARM".

GEAR CODE	GEAR TYPE
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl
PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method
OT	Other Type

CCSBT Statistical Area of Catch (if applicable): Enter the area in which the southern bluefin tuna were harvested using the main CCSBT Statistical Areas (1 to 10 and 14 to 15) or the other CCSBT Statistical Areas areas (11 to 13) where there is no corresponding main area. In the case of farmed fish, it is not necessary to fill in this column.

Month of Harvest (mm/yy): Enter the month and year of harvest of the southern bluefin tuna tagged; in case of farmed fish, this applies to the time of kill, not the time of initial harvest.

Certification and Validation

Certification: An appropriate authority should complete this section, with his/her full name, signature, date and title to certify that the form correctly records the tagging information.

Minimum Procedural and Information Standards for CCSBT Member and Cooperating Non-Member Tagging Programmes

General requirements of an SBT tagging system

1. As outlined in section 1.7 and 1.8 of the Resolution, the SBT tag shall remain on each individual fish while the fish carcass remains whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, operculae (gill plates/covers) and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.
2. Members and Cooperating Non-Members shall take steps to ensure that SBT tags cannot be reused.

Specifications for SBT tags

3. Each SBT tag shall meet the following minimum standards:
 - a. have a unique pre-recorded tag number in an easily readable form;
 - b. tag numbering shall include a unique flag state identifier and a fishing year identifier (e.g. NZ-2008-000001);
 - c. be able to be securely fastened to SBT;
 - d. be non-reusable, tamper-proof and secure from counterfeiting or replication;
 - e. be able to withstand at least negative sixty (60) degrees Celsius temperatures, salt water and rough-handling; and
 - f. be food safe.

General requirements for tag related information

4. Members and Cooperating Non-Members shall record the distribution of SBT tags to entities they authorise to fish for, or farm, SBT.
5. In relation to each tag, Members and Cooperating Non-Members shall ensure their vessels and operators, and the relevant authorities have reporting procedures and formats allowing the collection of the required tagging information which must include month, area, and method of capture, and weight and length for each SBT.
6. All requirements of the CCSBT CDS Resolution outlined in Sections 5 – 9 of this resolution apply to tagging documentation and information for Members and Cooperating Non-Members implementing tagging programmes.

Content of the Executive Secretary's Six Monthly/Annual Reports to the Extended Commission

The reports in this Appendix are only to be provided to a designated authority of each Member.

With this Appendix, the following abbreviations are used to refer to different form types:

- FSF – Farm Stocking Form
- FTF – Farm Transfer Form
- CMF – Catch Monitoring Form
- REEF – Re-Export/Export after Landing of Domestic Product Form
- CTF – Catch Tagging Form

During the first year of the CDS, there will not be sufficient information from the CDS for all reports to be produced. It is also accepted that there will be delays in producing reports during the first year of the CDS while the Secretariat is in the process of developing the CDS database and associated reporting mechanisms.

Production Reports

Two production reports are to be produced.

(1) Production summary and reported catch comparison report

This report uses data from the CMF together with mortalities from the FSF to estimate the quota year catch of each Member and Cooperating Member. This should only be conducted for completed quota years and where sufficient CDS data is available. Processed weights from the CDS should be converted to whole weights using conversion factors supplied by each Member if available and the “best” alternative conversion factor where the Member has not provided a conversion factor to use. All conversion factor values used in the report should be listed. The report should also provide the reported quota year catch from the Member/Cooperating Non-Member (if that is available) for comparative purposes.

- Flag State/Fishing Entity;
- Quota year¹;
- Total estimated whole weight of SBT by fishing gear from the CDS;
- Total whole weight of SBT by fishing gear as reported by the Member (if available); and

¹ Members have different quota years, so the actual quota year period of each Member should be used and these periods should be shown in the report to prevent confusion. This report should also contain a history of recent quota years (with any updated estimates from both CDS and Member's reports) for which there is sufficient CDS data.

- Comments².

(2) Detailed production summary report

This report is similar to the Annex 2 report from the TIS. It uses data from CMF and CTF to provide the following information:

- Flag Member/Cooperating Non-Member;
 - Captured, farm harvest, or farm catch/towing mortality
 - Product (F/FR);
 - Processed Type (RD/GGO/GGT/DRO/DRT//FL/OT etc.).
 - Month and year of harvest;
 - Gear code;
 - Statistical area;
 - Point of export (only if exported);
 - Month and year of export (only if exported);
 - State/Fishing Entity at final destination;
 - Month and year at arrival at final destination;
- and within the above groupings, the following quantities
- Number of SBT; and
 - Net weight of SBT.

REEF Reports

Two reports relating to the REEF form are to be produced.

(1) Detailed REEF summary report

This report is similar to the Annex 4 report from the TIS. This report uses data from both the CMF and REEF to provide the information below:

- Original catching State/Fishing Entity
 - Currently exporting State/Fishing Entity³;
 - Point of export;
 - Year and month of export⁴
 - Importing State/Fishing Entity;
 - Product exported (F/FR);
 - Type exported (RD/GGO/GGT/DRO/DRT//FL/OT); and
- within the above groupings, the
- Net weight and Number of SBT exported.

² Comments may be required to provide additional information for interpreting the figures, for example, to indicate that a Member's report includes catches (such as recreational catch) that is not covered by the CDS, or that the CDS data may not be fully up to date for the most recent year etc.

³ This is the exporting State/Fishing Entity on the REEF form in question, not from preceding REEF forms or the CMF.

⁴ As determined by the export certification date.

(2) REEF discrepancy report

This report should examine all REEF forms and associated CMFs, and produce a list of any CMF forms that have been “over utilised⁵” in subsequent exports and re-exports. The list should identify:

- The document number, flag, product type(s) and weight(s) in each over utilised CMF form;
- The document number, exporting flag, product type(s) and weight(s) of the associated REEF form(s); and
- Other information agreed by the Compliance Committee.

Tagging Reports:

One tagging report is to be produced.

(1) Tag summary report

This report uses data from both the CTF and CMF to provide the following information

- Flag State/Fishing Entity;
- Total number and net weight of SBT tagged; and
- Total number and net weight of SBT reported on associated CMF’s.

Transshipment Reports

Two transshipment reports are to be produced.

(1) Transshipment summary report

This report uses data from the CMF together with transshipment declarations and observer reports to provide the following summary information for transshipments of SBT.

- Flag of catching vessel;
- Month and year of transshipment;
- Flag of transshipment vessel;
- State/Fishing Entity at final destination;
and within the above groupings, the following quantities
 - Number of transshipments;
 - Number of SBT according to the CMF;
 - Net weight of SBT according to the CMF;
 - Net weight of SBT according to the transshipment declaration; and
 - Net weight of SBT according to the transshipment observer report.

⁵ An over-utilised CMF is where subsequent exports/re-exports of fish from the CMF have exceeded the original quantity of fish reported on the CMF.

(2) Transhipment discrepancy report

This report produces details of each transhipment in which the weight of SBT in the CMF differs from the weight of SBT in either the transhipment declaration, or the transhipment observer report.

- Flag, name and registration number of catching vessel;
- Date of transhipment;
- Flag, name and registration number of transhipment vessel;
- Net weight and Type of SBT according to the CMF;
- Net weight and Type of SBT according to the transhipment declaration; and
- Net weight and Type of SBT according to the transhipment observer report.

Farm Reports

Two farm reports are to be produced. These reports should be produced to cover a catching and farming period that covers the usual “season” for the relevant State/Fishing Entity.

(1) Farm summary report

This report uses data from the FSF and CMF to provide the following aggregate information for farmed SBT from each Flag State/Fishing Entity. This report is similar to the 6 monthly farms reports produced by Australia as part of the Trade Information Scheme.

- Flag and total number of catching vessels;
- Date range of capture;
- Statistical area(s) of capture;
- Total number and weight of mortalities during towing;
- Date range of transfers to farms;
- Total number and weight of SBT transferred into farms;
- Minimum, maximum and median of the average weights over all transfers;
- Date range of harvest from farms; and
- Total number and weight of SBT harvested from farms.

(2) Farm discrepancy report

This report produces details for each farm in which the number of SBT transferred into the farm (according to the FSF and adjusted according to the FTF) is greater than the number of SBT harvested from that farm according to the CMF.

- Flag, and name of the farm;
- Date range of transfers to this farm from initial tow cages;
- Total number and weight of SBT transferred into this farm from initial tow cages;
- Date range of transfers to/from this farm from/to other farms;
- Total number and weight of SBT transferred to/from this farm from/to other farms;
- Date range of harvest from this farm; and
- Total number and weight of SBT harvested from this farm.

Reconciliation Reports

CDS documentation will be sent to the Secretariat on a quarterly basis from multiple sources. The same document may be sent to the Secretariat at different times. For example, when SBT are exported or transhipped, and again when the same SBT are imported or landed as domestic product. The reconciliation reports should be designed to provide aggregate information concerning the number and types of documents that are expected, but that have yet to be received from each State/Fishing Entity. These reports should also identify if any discrepancies occur between the figures in the forms from the different sources. The Secretariat should design and produce these reports after the CDS has been in operation for 12 months.