

Resolution on the Implementation of a CCSBT Catch Documentation Scheme

Referring to the principles adopted to guide the development of a catch documentation scheme (CDS) at CCSBT12 in 2005 and the ‘Resolution on the implementation of a CDS to record all catch of Southern Bluefin Tuna regardless of whether the Southern Bluefin tuna were traded’, adopted at CCSBT 13 in 2006;

Noting the need for Members and Cooperating Non-Members to provide for the tracking and validation of legitimate product flow from catch to the point of first sale on domestic or export markets;

Bearing in mind the need to achieve harmonisation of Catch Documentation Schemes across Regional Fisheries Management Organisations;

Emphasising that a CDS must be applied consistently and comprehensively across all sectors of the global SBT fishery to accurately confirm the SBT catch by each Member and Cooperating Non-member;

In accordance with Article 8.3(b) of the Convention on the Conservation of Southern Bluefin Tuna, the Extended Commission for the Conservation of Southern Bluefin Tuna (CCSBT) adopts the following measure to monitor compliance with the Commission’s conservation measures:

1. General Provisions and Application

- 1.1 All Members and Cooperating Non-Members shall implement the CCSBT CDS for southern bluefin tuna (SBT) to document the movement of all SBT as outlined in this resolution. The CCSBT CDS incorporates CCSBT CDS documentation and tagging of SBT.
- 1.2 For transshipments, landings of domestic product¹, exports, imports and re-exports under the jurisdiction of a Member or Cooperating Non-Member, all SBT shall be accompanied by a document described in section 3 of this resolution. There is no waiver of this requirement. However, the exportation/import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails) may be allowed without the document.
- 1.3 Transfers of SBT into and between farms under the jurisdiction of a Member or Cooperating Non-Member shall be documented on the Farm Stocking Form and Farm Transfer Form as applicable.
- 1.4 Members or Cooperating Non-Members that prohibit the sale of fish caught by recreational fishers may exempt their recreational fisheries from the requirements of the CCSBT CDS.

¹ The term ‘landing of domestic product’ means landing of SBT into the territory of a Member or Cooperating Non-Member caught by a vessel flagged to that Member or Cooperating Non-Member or on the register of fishing vessels of that Member or Cooperating Non-Member.

For farming operations, the term ‘landing of domestic product’ includes movement of SBT from a farm under the jurisdiction of a Member or Cooperating Non-Member directly to an exporting vessel in the vicinity of the authorised farm site.

- 1.5 The Commission shall request the cooperation of appropriate authorities of States other than Members and Cooperating Non-Members of the Extended Commission that are involved in catching, landing, transferring and/or farming of SBT in the implementation of this resolution.
- 1.6 Members and Cooperating Non-Members shall not permit the landing as domestic product, transshipment, import, export and/or re-export of SBT caught by vessels not authorised to catch SBT and (if SBT farming is conducted under their jurisdiction) the transfer of SBT to or between, and harvest of SBT from, farms not authorised to farm SBT.
- 1.7 Members and Cooperating Non-Members shall not permit whole SBT to be landed as domestic product, transhipped, exported, imported or re-exported without a tag, except that:
 - 1.7.1 in the case of farming operations, the SBT may be landed without a tag provided a tag is attached within 30 hours of kill;
 - 1.7.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board the vessel, the tag may be attached at landing;
 - 1.7.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no or insufficient tags on board, the tag may be attached at landing.
- 1.8 In exceptional circumstances, where a tag becomes accidentally detached and cannot be reattached, a replacement tag shall be attached as soon as possible and no later than the time of landing, transshipment or export.
- 1.9 Members and Cooperating Non-Members shall report any exceptional circumstances referred to in 1.7.2, 1.7.3 or 1.8 to the Executive Secretary within 7 days of the landing. The report shall provide details of the exceptional circumstances, the number of SBT tagged and for 1.8, the old (where known) and new tag number(s).
- 1.10 Members and Cooperating Non-Members shall require that tags be retained on whole SBT to at least the first point of sale for landings of domestic product, and shall encourage the retention of tags on whole fish thereafter.

2. Registers Required

- 2.1 A record of farms is to be established and maintained by the Executive Secretary to identify authorised farms.
- 2.2 A record of vessels, maintained by the Executive Secretary, identifies all authorised vessels.
- 2.3 CCSBT CDS Documents that record information for vessels and/or farms not included on the above-mentioned authorised registers shall not be considered valid Documents for the purposes of this scheme.

3. Documents and Information Required

- 3.1 The CCSBT CDS Documents are :
 - 3.1.1 Farm Stocking Form – records information on the catch, towing and farming of SBT
 - 3.1.2 Farm Transfer Form – records information on the transfers of SBT between farms
 - 3.1.3 Catch Monitoring Form – records information on the catch, landing, transshipment, export, and import of all SBT regardless of whether farmed or not, including unexpected catch
 - 3.1.4 Catch Tagging Form – records information on individual fish tagged as part of the CDS
 - 3.1.5 Re-export or Export after Landing of Domestic Product Form – records information on SBT already tracked on the Catch Monitoring Form to the initial point of landing of domestic product or import that is, either in full or part, exported or re-exported.
- 3.2 The information to be contained in the CCSBT CDS documentation referred to in 3.1, along with associated instruction sheets, is in Appendix 1 A – D.
- 3.3 Once approved forms are adopted, only minimal modifications, such as the addition of translations, may be made. No information field may be omitted from the standard form, except where the field is not applicable.
- 3.4 Any documentation modified, as described in 3.3, shall be provided to the Executive Secretary for distribution to other Members, Cooperating Non-Members, as well as Non-Members known to be involved in the landing, transshipment, import, export, or re-export of SBT.
- 3.5 Significant amendments to the forms and form content may be made only with the agreement of the Commission at its annual meeting based on recommendations from the CCSBT Compliance Committee.
- 3.6 CCSBT CDS documents must be uniquely numbered.

4. Tagging

- 4.1 Members and Cooperating Non-Members shall require that an SBT tag be attached to each whole SBT at the time of kill except:
 - 4.1.1 in the case of farming operations, the tag may be attached within 30 hours of kill;
 - 4.1.2 in exceptional circumstances, where a vessel on the CCSBT Record of Authorised Vessels does not have sufficient tags on board, the tag may be attached at landing;
 - 4.1.3 in exceptional circumstances, where a vessel catches SBT as unexpected bycatch and has no, or insufficient, tags on board, the tag may be attached at landing.

- 4.2 As outlined at 3.1.4, a Catch Tagging Form records relevant tagging information for individual SBT. The Catch Tagging Form shall be filled in as soon as practicable after the time of kill. Length and weight measurements shall be conducted before the SBT is frozen. Where measurements cannot be accurately done on board the vessel, they may be made at the time of landing or transshipment, provided the measurements and the associated Catch Tagging Form are filled in before any further transfer of the SBT.
- 4.3 Completed Catch Tagging Forms shall be provided to the flag Members and Cooperating Non-Members which shall provide the information in the Catch Tagging Form to the Executive Secretary in an electronic format on a quarterly basis.
- 4.4 A tagging programme shall meet the minimum procedural and information standards set out in Appendix 2.
- 4.5 Members and Cooperating Non-Members shall prohibit the unauthorised use of SBT tags.

5. Validation

- 5.1 The CCSBT CDS documentation must be validated as applicable by:
 - 5.1.1 for landings of domestic product, an official of the flag Member or Cooperating Non-Member of the catching vessel; and
 - 5.1.2 for all SBT transshipments subject to CCSBT *Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels*, the observer required by that resolution; and
 - 5.1.3 for all export or re-export of SBT, an official of the exporting or re-exporting Member or Cooperating Non-Member.
- 5.2 The authority to validate CDS documents may be delegated to an authorised person by an official of the relevant State/fishing entity. Members and Cooperating Non-Members who utilise delegated person/s shall submit a certified copy of such delegation/s to the Executive Secretary. The individual who certifies a CCSBT CDS Document shall not be the same person who validates the Document.
- 5.3 Members and Cooperating Non-Members shall provide to the Executive Secretary information on validation (including type of validation, name of the organization which validates the documents, title and name and signature of officials who validate the documents, sample impression of stamp or seal, and a list of all persons holding delegated authority to validate CCSBT CDS documentation prior to those officials and persons exercising the authority). Members and Cooperating Non-Members shall inform the Executive Secretary of any changes in a timely fashion.
- 5.4 The Executive Secretary will maintain and update the information specified in 5.3 and provide it to all Members and promptly circulate any changes.
- 5.5 Members and Cooperating Non-Members shall not validate any CCSBT CDS documentation referred to in 3.1 that is not complete, has obviously incorrect information, or has not been validated as required by this resolution.

- 5.6 No Member or Cooperating Non-Member shall accept any SBT for transshipment, landing of domestic product, export, import, or re-export where any or all required documents do not accompany the relevant consignment of SBT, where fields of information required on the form are not completed, or where the form has not been validated as required by this resolution.
- 5.7 Full or partial consignments of untagged whole SBT must not be validated or accepted for transshipment, landing of domestic product, export (including export after landing of domestic product), import or re-export (except where the tag is no longer required to be attached to the SBT because further processing has occurred, as outlined at Appendix 2).
- 5.8 Members and Cooperating Non-Members shall undertake an appropriate level of audit, including inspections of vessels, landings, and where possible markets, to the extent necessary to validate the information contained in the CDS documentation.
- 5.9 Members and Cooperating Non-Members shall include in their annual review of SBT fisheries, details on the level of coverage and type of audit undertaken, in accordance with 5.8, and the level of compliance.

6. Exchange of Information and Confidentiality of Data

- 6.1 Members and Cooperating Non-Members shall retain all original CCSBT CDS Documents received by them. Members and Cooperating Non-Members shall also retain a copy of any CCSBT CDS Documents issued by them. Copies of these CDS Documents (except the Catch Tagging Form²) shall be forwarded³ to the Executive Secretary on a quarterly basis.
- 6.2 The Executive Secretary shall compile the raw data from CDS documentation into an electronic database. The Executive Secretary shall ensure the confidentiality of the raw data in its database and release to any State/fishing entity only the raw data relating to the CCSBT CDS Documents it validated. If a State/fishing entity requests CCSBT CDS Documents relating to another State/fishing entity, the Executive Secretary may release those data only with the latter's agreement.
- 6.3 The Executive Secretary shall report to the Extended Commission on and circulate to all Members and Cooperating Non-Members the data collected by the CCSBT CDS each year by 1 June for the period of 1 July - 31 December of the preceding year and by 1 December for the period of 1 January - 30 June of the current year. The information to be contained in the reports is specified in **Appendix 3**. The Executive Secretary shall provide an electronic copy of the report only to a designated authority of each Member.

² Requirements to provide information in the Catch Tagging Form are set out in 4.3.

³ Either as a copy of the original form or in electronic format containing all the information in the forms.

- 6.4 The Executive Secretary will post on the public area of the CCSBT web site a subset of the report comprising:
- Flag State/fishing entity;
 - Harvest year;
 - Product destination (including landings of domestic product);
 - Gear code;
 - Net weight.
- 6.5 On request by the Scientific Committee, Compliance Committee, or other subsidiary body of the Commission, the Executive Secretary shall, with the approval of the Commission, provide to that body data collected by the CCSBT CDS more frequently or at a greater level of detail than specified in 6.3.
- 6.6 The Executive Secretary shall analyse the data provided in 6.1 and notify the relevant Member(s) or Cooperating Non-Member(s) of any identified discrepancies.

7. Verification of CDS Documentation

- 7.1 Each Member and Cooperating Non-Member shall ensure that its competent authorities, or other authorised individual or institution, take steps to identify each consignment of SBT landed as domestic product in, imported into or exported or re-exported from its territory and examine the validated CCSBT CDS Documents for each consignment of SBT. These competent authorities, or authorised individuals or institutions, may also examine the content of the consignment to verify the information contained in the CCSBT CDS Document and in related documents and, where necessary, shall carry out verifications with the operators concerned.
- 7.2 Each Member and Cooperating Non-Member shall review information, and investigate and resolve any irregularities identified in relation to their information in the CDS reports, including any discrepancies identified during the comparison of data from the Executive Secretary. Among other matters, Members and Cooperating Non-Members shall cross-check the report provided by the Executive Secretary under 6.3 using information available to it.
- 7.3 Each Member and Cooperating Non-Member shall, as soon as practicable, identify to the Executive Secretary and relevant Members and Cooperating Non-Members, any consignments of SBT where there are:
- 7.3.1 doubts about the information contained in any associated CDS documentation; or
 - 7.3.2 incomplete, missing or unvalidated CCSBT CDS documentation.
- 7.4 Each Member and Cooperating Non-Member shall co-operate and take all necessary steps with relevant authorities, and within domestic law, to review, investigate and resolve any concerns identified in 7.1 and 7.2, and notify the Executive Secretary of the outcome of any such action for inclusion in its report to the Commission.

- 7.5 The Compliance Committee will consider the summary information compiled by the Executive Secretary in 6.3 and 6.4, including any irregularities and anomalies identified and the outcome of any investigations notified under 7.3.
- 7.6 The Commission, may, on the recommendation of the Compliance Committee, consider any action as may be required in relation to the findings and outcomes of any verification investigation. Such action may be, but is not limited to, a review of this or other relevant compliance measures.
- 7.7 Members and Cooperating Non-members shall cooperate to ensure that CDS documents are not forged and/or do not contain misinformation.

8. Access to and Security of Information

- 8.1 Subject to each Member's and Cooperating Non-Member's national law, the information produced from the CCSBT CDS shall be confidential and may only be used in support of CCSBT purposes or for any other purpose agreed by the Commission.
- 8.2 Where necessary, in support of catch verification procedures, Members and Cooperating Non-Members agree to exchange the necessary supporting information and, where relevant, evidence as may be necessary to verify the integrity of the flow of CDS information and to reconcile any discrepancies.

9. Implementation and Review

- 9.1 This resolution will enter into force on 1 January 2010.⁴ From that date, it replaces the CCSBT Southern Bluefin Tuna Statistical Document Programme adopted by CCSBT on 1 June 2000. For SBT caught before 1 January 2010, the tagging requirements of the CDS may be exempted until 30 June 2010 and the CCSBT CDS Documents shall be completed in the most practicable manner.
- 9.2 The Compliance Committee will review this resolution no later than at its 2011 meeting to identify any implementation issues, strengths, and weaknesses, and to recommend options to improve this resolution and its supporting procedures at the Extended Commission meeting. This review will include any concerns associated with the breakage or loss of tags and the extent of the use of exemptions in 1.8 and 1.9 as reported by Members and Cooperating Non-Members to the Executive Secretary. The date for a subsequent review will also be agreed at this time.
- 9.3 The Executive Secretary will monitor available technology associated with electronic documentation and fish tags to assist the Compliance Committee in its reviews.

⁴ Japan may use its current tagging system until the end of its 2009-10 fishing season (31 March 2010).

Appendix 1A

Farm Stocking Form (example only)

DOCUMENT NUMBER		CCSBT CDS FARM STOCKING FORM			
A. CATCHING VESSEL SECTION:					
1. NAME OF VESSEL		REGISTRATION NUMBER		FLAG STATE/ FISHING ENTITY	
PERIOD OF FISHING			AREA OF FISHING		
B. TOWING SECTION:					
2. NAME OF VESSEL		REGISTRATION NUMBER	FLAG STATE/ FISHING ENTITY	DATE TOW COMMENCED	
3. DESCRIPTION OF MORTALITIES DURING TOWING					
Number of tow cages		Date from – to		No of mortalities	Weight of mortalities
C. FARM TRANSFER SECTION:					
SBT Farm Entity	Period of transfers	Average weight of fish	Method of estimation	Whole weight (kg)	No. of fish
VALIDATION					
CERTIFICATION BY QUOTA HOLDER					
I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.					
Name & Title		Signature		Date	
8. VALIDATION BY AUTHORITY					
I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.					
Name & Title		Signature		Date	

Farm Stocking Form instruction sheet

Document number

A unique document number allocated by the State/fishing entity of origin.

Catching vessel section

Enter flag State/fishing entity of catching vessel(s)

Enter name of catching vessel(s) and vessel registration number(s)

Enter period fishing took place

Enter fishing location(s) using Statistical Area of SBT (1 to 10 and 14 to 15) or Other Areas (11 to 13)

Towing section

Enter name of each vessel which undertakes a tow of fish caught by the catching vessel and its vessel registration number(s) and flag state

For each tow vessel enter the number of mortalities and estimated weight of mortalities that occurred during tow.

Where fish have been placed in a tow cage by more than one catching vessel the number of mortalities are to be equally proportioned between the vessels.

Any mortalities that occur during tow that are landed for commercial sale must be accompanied by CDS forms.

Farm transfer section

Enter the name of the farming entity.

Indicate the method of estimation used.

Details of fish received are to include verified information from the count.

Validation

Fill in full name and title of official signing the document. The official must be in the employment of the competent authority of the flag state/fishing entity where the farm sites are located.

Farm Transfer Form

DOCUMENT NUMBER	CCSBT CDS FARM TRANSFER FORM		
A. TRANSFERRING FARM:			
1. SBT FARM ENTITY		FLAG STATE/ FISHING ENTITY	
B. TOWING SECTION:			
2. NAME OF VESSEL	REGISTRATION NUMBER	FLAG STATE/ FISHING ENTITY	DATE OF TOW
ESTIMATED NUMBER OF FISH		ESTIMATED WEIGHT OF FISH	
C. RECEIVING FARM:			
1. SBT FARM ENTITY		FLAG COUNTRY/ FISHING ENTITY	
VALIDATION			
CERTIFICATION BY TRANSFERRING FARM			
I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.			
Name & Title		Signature	Date
CERTIFICATION BY RECEIVING FARM			
I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.			
Name & Title		Signature	Date

Appendix 1B

Catch Monitoring Form (example only)

DOCUMENT NUMBER	CCSBT CDS CATCH MONITORING FORM <input type="checkbox"/> Wild Harvest <input type="checkbox"/> Farmed					
1. CATCH TAGGING FORM DOCUMENT NUMBER(S):						
_____ _____ _____						
CATCH SECTION: (wild fishery only)						
2. FLAG STATE/FISHING ENTITY: _____						
3. NAME AND REGISTRATION NUMBER OF VESSEL:						

FARMING SECTION:						
4. FARM AUTHORISATION NUMBER						
5. FARM NAME AND LOCATION						

6. DOCUMENT NUMBER(S) OF ASSOCIATED FARM STOCKING FORM(S)						

PRODUCT DESCRIPTION:						
7. DESCRIPTION OF FISH						
Product (a) F/FR	Type (b) RD/GG/DR/ FL/OT	Time of Harvest (mm/yy)	Gear code	Area (c)	Net Weight (kg)	No. of Fish (when RD, GG or DR)
(a): F=Fresh, FR=Frozen (b): RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet, OT=Other (describe the type of product and the conversion factor used; _____.) (c): Statistical Area of SBT (1 to 10 and 14 to 15) or Other Areas (11 to 13)						
8. PROCESSING ESTABLISHMENT (if applicable)						
NAME AND ADDRESS						

CATCH / HARVEST VALIDATION						
9. VALIDATION BY AUTHORITY (for transhipments, fill in only 10. Certification by master of fishing vessel)						
I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.						
Name & Title	Signature	Date	Official Seal			
10. FOR TRANSHIPMENTS ONLY: CERTIFICATION BY MASTER OF FISHING VESSEL						
I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.						
Name & Title	Signature	Date				

DOCUMENT NUMBER (cont)		CCSBT CDS CATCH MONITORING FORM				
INTERMEDIATE PRODUCT DESTINATION: (can select more than one if applicable)						
11. TRANSHIPMENT <input type="checkbox"/>		EXPORT <input type="checkbox"/>				
A. TRANSHIPMENT SECTION						
12. NAME AND REGISTRATION NUMBER OF RECEIVING VESSEL:						
13. FLAG STATE / FISHING ENTITY OF RECEIVING VESSEL:						
14. I certify that the above information is complete, true, and correct to the best of my knowledge and belief. Vessel master of receiving vessel						
Name		Address		Signature		Date
TRANSHIPMENT VALIDATION (for transshipments at sea)						
15. VALIDATION BY OBSERVER						
I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.						
Name & Title		Signature		Date		
16. Record document number for the associated transshipment form:						
B. EXPORT SECTION						
17. DESCRIPTION OF FISH (omit if identical to section 7 above)						
Product (a) F/FR	Type (b) RD/GG/DR/ FL/OT	Time of Harvest (mm/yy)	Gear code	Area (c)	Net Weight (kg)	No. of Fish (when RD, GG or DR)
(a): F=Fresh, FR=Frozen						
(b): RD=Round, GG=Gilled and Guttled, DR=Dressed, FL=Fillet, OT=Other (describe the type of product and the conversion factor used; _____.)						
(c): Statistical Area of SBT (1 to 10 and 14 to 15) or Other Areas (11 to 13)						
18. POINT OF EXPORT (CITY, STATE OR PROVINCE AND STATE/FISHING ENTITY)						
19. EXPORTER CERTIFICATION						
I certify that the information listed above is complete, true, and correct to the best of my knowledge and belief.						
Name & Title		Signature		Date	Licence number	
EXPORT VALIDATION						
20. VALIDATION BY AUTHORITY						
I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.						
Name & Title		Signature		Date	Official Seal	
FINAL PRODUCT DESTINATION:						
21. LANDING OF DOMESTIC PRODUCT <input type="checkbox"/>		IMPORT /EXPORT <input type="checkbox"/>				
A. LANDING OF DOMESTIC PRODUCT SECTION						
22. I certify that the above information is complete, true, and correct to the best of my knowledge and belief.						

Certification of domestic sale

Name	Address	Signature	Date
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Fill in if more than one buyer. If more than one buyer, record also the weight of SBT taken by each buyer:

Name	Address	Signature	Date
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Name	Address	Signature	Date
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Name	Address	Signature	Date
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B. IMPORT SECTION

23. I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Importer Certification (Final Destination of Shipment)

Name	Address	Signature	Date
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Final Point of Import: City _____ State or Province _____

State/Fishing Entity _____

Catch Monitoring Form instruction sheet

If a language other than one of the CCSBT official languages (English and Japanese) is used in completing the form, please add the English or Japanese translation on the Document.

Document Number

A unique document number allocated by the State/fishing entity of origin.

1. Document number(s) of Catch Tagging Form (s)

Record the document number of the associated Catch Tagging Form or forms.

Catch Section

2. Enter flag State or fishing entity of catching vessel(s).

3. Enter name of catching vessel(s) and vessel registration number(s).

Farming Section

4. Farm Authorisation Number

Fill in the number of the farm as found on the CCSBT list of authorised farms.

5. Farm Name and Location

Fill in the name and location of the farm from which the southern bluefin tuna were harvested.

6. Document number(s) of the associated Farm Stocking Form(s)

Product Description

7. Description of Fish

The shipment of SBT must be described, to the highest degree of accuracy using the following information. NOTE: One row shall describe one product type.

- (i) Product: Identify the type of product being shipped as either FRESH (F) or FROZEN (FR),
- (ii) Type: Identify the type of product being shipped as either ROUND (RD), GILLED AND GUTTED (GG), DRESSED (DR), FILLET (FL) or OTHER form (OT); for OTHER, describe the type of product in the shipment,

Each Member or Cooperating Non-Member shall advise the Executive Secretary of the product states and conversion factors they are using.

- (iii) Time of Harvest: Fill in the time of harvest (in month and year) of the southern bluefin tuna in the shipment; in case of farmed fish, this applies to the time of kill, not the time of initial harvest,
- (iv) Gear code: Identify the gear type which was used to harvest the southern bluefin tuna using the list below; for OTHER TYPE, describe the type of gear; in case of farmed fish, write "Farmed",

<u>GEAR CODE</u>	<u>GEAR TYPE</u>
BB	Baitboat
GILL	Gillnet
HAND	Handline
HARP	Harpoon
LL	Longline
MWT	Mid-water Trawl

PS	Purse Seine
RR	Rod and Reel
SPHL	Sport Handline
SPOR	Sport Fisheries Unclassified
SURF	Surface Fisheries Unclassified
TL	Tended Line
TRAP	Trap
TROL	Troll
UNCL	Unspecified Method
OT	Other Type

- (v) Area of Catch: Identify the area in which the southern bluefin tuna was harvested using the CCSBT Statistical Areas. In case of farmed fish, it is not necessary to fill in this column,
- (vi) Net Weight: Net product weight in kilograms; in case of farmed fish, fill in the raised product's weight,
- (vii) No. of Fish: If type is RD, GG or DR, fill in the number of fish.

8. Processing Establishment

Fill in the name and address of the processing establishment which processed the southern bluefin tuna in the shipment (if applicable).

Catch / Harvest Validation

9. Validation by Authority

Fill in the name and full title of the official signing the Document. The official must be in the employment of the competent authority of the flag state/fishing entity of the vessel that harvested the southern bluefin tuna appearing on the Document. This requirement with respect to any Member or Cooperating Non-Member may be met by an entity duly delegated by the authority of the flag State/fishing entity. The Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

10. In the case of transshipments, the master of the fishing vessel shall fill in this section to validate that the form correctly records the fish that are being transferred to the receiving vessel.

Intermediate Product Destination

11. Indicate if the intermediate destination of the product is for transshipment and/or export.

A. Transshipment Section

12 The name and registration number of the receiving vessel is to be completed by the master of the vessel receiving the southern bluefin tuna.

13. The flag state/fishing entity to be entered by the master of the vessel receiving the southern bluefin tuna.

14. Master of the receiving vessel to complete name, address, signature and date to certify that the information provided as a record of transshipment is true and correct.

Transshipment Validation

15. Validation by Authority/Observer

If a transshipment is covered by the *CCSBT Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels* then the observer must complete this section.

16. Record the document number for the associated transshipment form

A. Export Section

17. Complete the description of fish in the same manner as specified for item 7 on this form.

Note: This item (17) of the form may be deleted from the final version of this form.

18. Point of Export (City, State or Province and State/Fishing Entity)

Identify the City, State or Province and State/Fishing Entity from which the southern bluefin tuna is to be exported. If the tuna is to be sold on the domestic market, leave blank.

19. The exporter must provide his/her/ name, address, date and signature to certify the information provided in relation to the export shipment (i.e. that the form correctly records what is being exported).

Export Validation

20. Validation by Authority

Fill in the name and full title of the official signing the Document. The official must be in the employment of the competent authority of the flag state/fishing entity of the vessel that harvested the southern bluefin tuna appearing on the Document. This requirement with respect to any Member of the Commission may be met by an entity duly delegated by the authority of the flag State/fishing entity. The Member which utilizes a delegated entity shall submit a certified copy of such delegation to the Executive Secretary.

Final Product Destination

21. Indicate whether the final destination of the product is for landing of domestic product or export.

A. Landing of Domestic Product Section

22. The person or company that receives southern bluefin tuna from a domestic vessel for the purpose of domestic sale must provide his/her/its name, address, signature, and date on which the southern bluefin tuna was received. Each buyer must also provide his/her name, address, signature, and date on which the southern bluefin tuna was received. If more than one buyer, the weight of SBT taken by each buyer must also be recorded.

B. Import Section

23. The person or company that imports southern bluefin tuna must provide his/her/its name, address, signature, date on which the southern bluefin tuna was imported, and final point of import. This includes imports into intermediate State (if applicable). For fresh and chilled product, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

Appendix 1C

**Re-export or Export after Landing of Domestic Product Form
(example only)**

“Re-export” should be read to include export after landing of domestic product as appropriate.

DOCUMENT NUMBER:	CCSBT CDS RE-EXPORT OR EXPORT AFTER LANDING OF DOMESTIC PRODUCT CERTIFICATE Full shipment <input type="checkbox"/> Partial shipment <input type="checkbox"/>			
FORM NUMBER OF PRECEDING DOCUMENT (i.e. Catch Monitoring Form, or Re-export or Export after Landing of Domestic Product Form):				
RE-EXPORT SECTION:				
1. RE-EXPORTING STATE/FISHING ENTITY				
2. POINT OF EXPORT (CITY, STATE OR PROVINCE AND STATE/FISHING ENTITY)				
3. PROCESSING ESTABLISHMENT (if applicable)				
NAME AND ADDRESS				
4. DESCRIPTION OF (IMPORTED) FISH				
Product (a) F/FR	Type (b) RD/GG/DR/FL/OT	Weight (kg)	Flag State/ Fishing Entity	Imported Date
(a) F=Fresh, FR=Frozen				
(b) RD=Round, GG=Gilled and Guttred, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____.)				
5. TAG NUMBERING (if applicable) Attached <input type="checkbox"/>				
6. DESCRIPTION OF RE-EXPORTING FISH				
Product (a) F/FR	Type (b) RD/GG/DR/FL/OT	Weight (kg)		
(a) F=Fresh, FR=Frozen				
(b) RD=Round, GG=Gilled and Guttred, DR=Dressed, FL=Fillet, OT=Other (describe the type of product; _____.)				
7. RE-EXPORTER CERTIFICATION <u>I certify that the above information is complete, true, and correct to the best of my knowledge and belief.</u>				
Name	Address	Signature	Date	

8. VALIDATION BY AUTHORITY I validate that the information listed above is complete, true, and correct to the best of my knowledge and belief.

Name & Title

Signature

Date

Official Seal

IMPORT SECTION:

9. IMPORT SECTION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Importer Certification (Final Destination of Shipment)

Name

Address

Signature

Date

Final Point of Import: City _____ State or Province _____ State/Fishing Entity _____

NOTE 1: The organization/person which validates the Re-export section shall verify the copy of the original CCSBT CDS Document. Such a verified copy of the original CCSBT CDS document must be attached to the Re-export or Export after Landing of Domestic Product (RELDP) Form. When southern bluefin tuna is re-exported more than twice, all verified copies of concerned RELDP Forms must be also attached to a RELDP Form.

NOTE 2: If a language other than English or Japanese is used in completing this form, please add the English or Japanese translation on this Document.

Appendix 2

Minimum Procedural and Information Standards for CCSBT Member and Cooperating Non-Member Tagging Programmes

General requirements of an SBT tagging system

1. As outlined in section 1.7 and 1.8 of the Resolution, the SBT tag shall remain on each individual fish while the fish carcass remains whole. A fish remains whole despite cleaning, gilling and gutting, freezing, removing fins, gill plates and tail and removing the head or parts of the head. A fish is no longer considered to be whole if it has undergone processes such as filleting or loining.
2. Members and Cooperating Non-Members shall take steps to ensure that SBT tags cannot be reused.

Specifications for SBT tags

3. Each SBT tag shall meet the following minimum standards:
 - a. have a unique pre-recorded tag number in an easily readable form;
 - b. tag numbering shall include a unique flag state identifier and a fishing year identifier (e.g. NZ-2008-000001);
 - c. be able to be securely fastened to SBT;
 - d. be non-reusable, tamper-proof and secure from counterfeiting or replication;
 - e. be able to withstand at least negative sixty (60) degrees Celsius temperatures, salt water and rough-handling; and
 - f. be food safe.

General requirements for tag related information

4. Members and Cooperating Non-Members shall record the distribution of SBT tags to entities they authorise to fish for, or farm, SBT.
5. In relation to each tag, Members and Cooperating Non-Members shall ensure their vessels and operators, and the relevant authorities have reporting procedures and formats allowing the collection of the required tagging information which must include month, area, and method of capture, and weight and length for each SBT.
6. All requirements of the CCSBT CDS Resolution outlined in Sections 5 – 9 of this resolution apply to tagging documentation and information for Members and Cooperating Non-Members implementing tagging programmes.

Appendix 3

Content of the Executive Secretary's Six Monthly Reports to the Extended Commission

(to be developed and then agreed by the Extended Commission)